Governance and Equity

Key concepts

- **Governance diversity / governance type**
    - Governance by government
    - Private governance
    - Community governance incl. ICCAs
    - Shared governance

- **Governance quality / good governance.** Five principles:
    - Legitimacy and voice
    - Accountability
    - Fairness and rights
    - Performance
    - Direction

IUCN

Governance of Protected Areas

From understanding to action

Grazia Borrini-Feyerabend, Nigel Dudley, Tilman Jaeger, Barbara Lassen, Neema Pathak Broome, Adrian Phillips and Trevor Sandwith
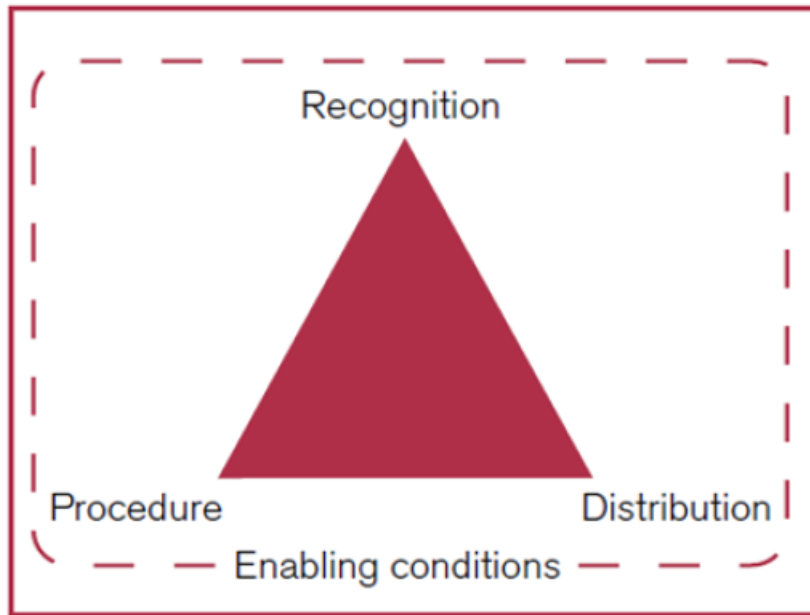
Developing capacity for a protected planet

Best Practice Protected Area Guidelines Series No.20

WCPA · Convention on Biological Diversity · BIOPAMA · BMZ Federal Ministry for Economic Cooperation and Development · The ICCA Consortium

Governance and equity

Equity/fairness in conservation is an aspect of good governance. It has 3 dimensions:
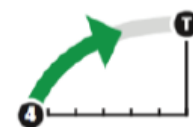

- ○ **Recognition:** acknowledgement of, and respect for, actor's rights and their identities, knowledge systems, values and institutions.

- ○ **Procedure:** inclusiveness of rule and decision-making, transparency, accountability, access to justice and dispute resolution.

- ○ **Distribution:** sharing of costs and benefits among different actors, and how the costs experienced by some actors are mitigated.

From: CBD/COP/DEC/14/8 **Voluntary guidance on effective and equitable governance models**

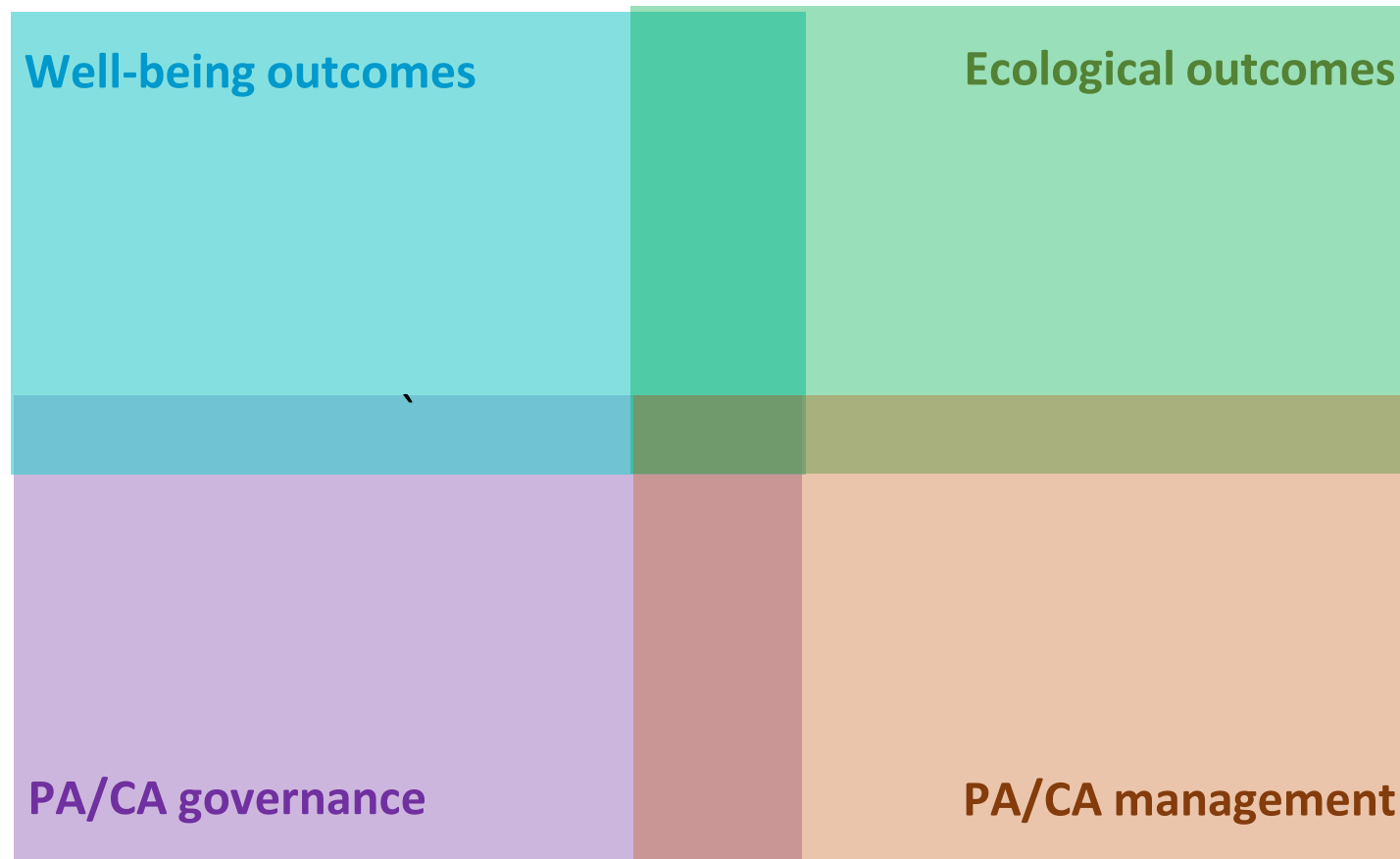# Progress on governance and equity
From CBD/SBSTTA/22/INF/30

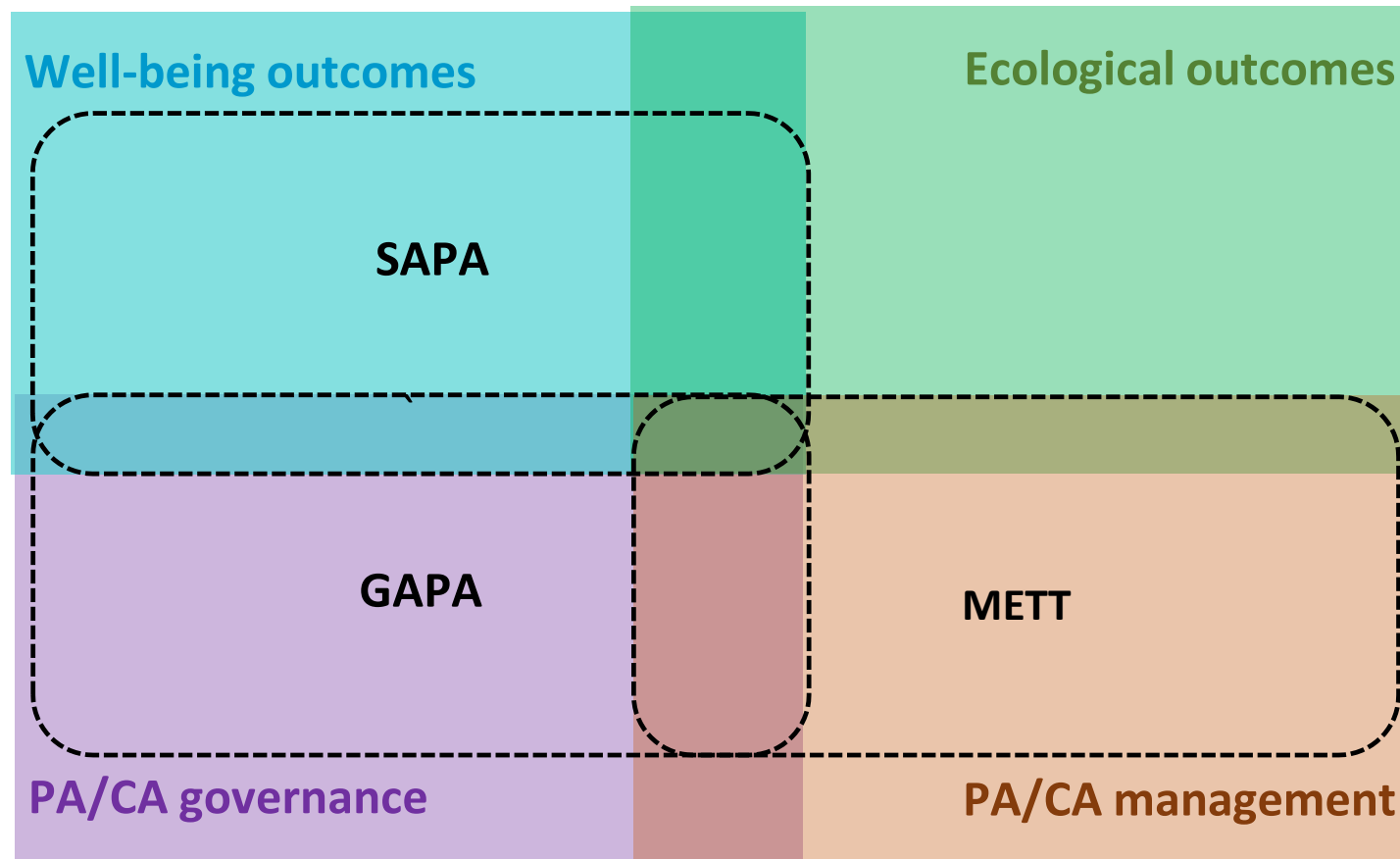| Element of Target 11 (and status from GBO-4 in 2014)		Status in 2016 (as per the *Protected Planet Report 2016*)	Current Status (as per the May 2018 release of the WDPA)	Opportunities for 2020
Equitably managed	% of sites governed by government	84%	81.5%	Continued reporting on governance type for all PAs. Increase in the number of sites governed by non-state actors. Systematic application of governance and social assessments at PA (and OECM) site and system level, to establish baselines and identify relevant actions.
	% private	4.5%	5.7%	
	% shared	1.8%	3.3%	
	% IPLC governance	0.6%	0.6%	
	% not reported	9%	9%	
		Information lacking for other aspects of this element.	Development of voluntary guidance.	

Governance assessment:
- System level assessment currently focuses mainly on governance diversity (1 methodology)
- Site level assessment focuses mainly on governance quality (at least 14 different methodologies)
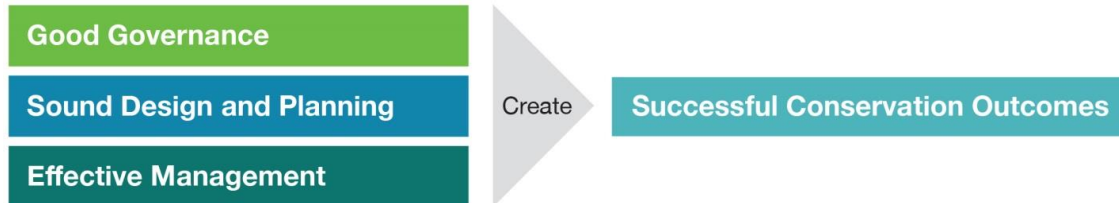
Four overlapping domains of PA assessment

Well-being outcomes

Ecological outcomes

PA/CA governance

PA/CA management

Four overlapping domains of PA assessment

Governance in METT – IP and LC participation

Issue	Criteria	Score: Tick only one box per question	
24. Local communities Do local communities resident or near the protected area have input to management decisions? *Process*	Local communities have no input into decisions relating to the management of the protected area	0	
	Local communities have some input into discussions relating to management but no direct role in management	1	
	Local communities directly contribute to some relevant decisions relating to management but their involvement could be improved	2	
	Local communities directly participate in all relevant decisions relating to management, e.g. co-management	3	

The IUCN Green List Global Standard

POWPA (2004)

- Goal 2.1: To promote equity and benefit-sharing (focus on distributive equity)
- Goal 2.2: To enhance and secure involvement of indigenous and local communities and relevant stakeholders (focus on participation)

Progress to date (2011-8)

- Launch of IUCN Green List certification – governance is one of three pillars
- Development of equity principles and merger with governance principles
- CBD decisions from COP13 and COP14 on governance and equity and voluntary guidance for effective and equitable governance
- Development of methodologies and tools for governance and equity assessment (eg GAPA from IIED, NRGT from WCS)
- System-level governance assessments (c 6 countries)
- Site-level governance assessment –32 parties report some form of assessment, at least 50 comprehensive assessments in at least 10 countries

Governance principles for PA/CA governance and equity assessment

EQUITY: RECOGNITON	1. Recognition and respect for the **rights** of all relevant actors
	2. Recognition and respect of all relevant **actors and their knowledge, values and institutions**
EQUITY: PROCEDURE	3. Full and effective **participation** of all relevant actors in decision making
	4. **Transparency** supported by timely access to relevant information in appropriate forms
	5. **Accountability** for fulfilling responsibilities and other actions and inactions
	6. **Access to justice**, including effective dispute resolution processes
	7. Effective and fair **law enforcement**
EQUITY: DISTRIBUTION	8. Effective measures to mitigate **negative impacts** on indigenous peoples and local communities
	9. **Benefits** equitably shared among relevant actors based on one or more agreed targeting options
OTHER GOVERNANCE	10. **Achievement of** conservation and other **objectives**
	11. Effective **coordination and collaboration** between actors, sectors and levels

What can be done by 2020 (IIED/IUCN/GIZ)

1. Facilitate and engage in capacity-building initiatives on governance and equity for protected and conserved areas for stronger emphasis on governance and equity post 2020

2. An accelerated programme of site-level social, governance and equity assessment linked to the Green List and/or system level assessment

3. Develop a simpler METT-like tool for quantitative governance and equity assessment with multi-stakeholder process

4. WDPA to include data on governance quality and equity

5. Document and share case studies on progress in enhancing PA/CA governance and equity and its contribution to conservation outcomes (and new research using quantitative governance data from #3 above?)

6. CBD Technical document on social, governance and equity assessment

What should be incorporated into the Post-2020 framework?

- Shift language from *equitable management* to *equitable governance* of PAs and CAs (since equity is primarily a governance issue)

- Post 2020 target relating to PAs/CAs should include indicators for governance and equity (or equitable governance)

- Fully integrate gender considerations into work on PA/CA governance and equity (intersectionality from the gender perspective)

- Stronger emphasis on strengthening shared governance and progressive forms of governance by government that are near the boundary with shared governance

authority, responsibility and accountability in governing protected areas: a continuum

Full control by governmental agency
Type A

Sharing control by governmental agency & local rightsholders and stakeholders
Type B

Full control by local rightsholders and stakeholders
Type C & D

Taking management decisions without consultation

Consulting local actors & seeking their consent (at times via benefit sharing)

Negotiating specific agreements

Ceding authority & responsibility in a formal way (e.g., relinquishing most seats in a governance body)

Recognising/ transferring full authority & responsibility to local actors

... from the perspective of a governmental agency vis-a-vis local rightsholders and stakeholders

Thanks

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