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Global Partnership on AICHI TARGET 11





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# GLOSSARY

AZEs	Alliance for Zero Extinction sites					
CEPF	Critical Ecosystem Partnership Fund					
EBSA	Ecologically or Biologically Significant Marine Area					
EEZ	Exclusive Economic Zone					
GCF	Green Climate Fund					
<b>GD-PAME</b>	Global Database on Protected Area Management Effectiveness					
GEF	Global Environment Facility					
IBA	Important Bird and Biodiversity Area					
ICCAs	Indigenous and Community Conserved Area Area (may also be referred to as					
territories	and areas conserved by Indigenous peoples and local communities or					
"territories	of life")					
IPLC	Indigenous Peoples and Local Communities					
KBA	Key Biodiversity Area					
MEOW	Marine Ecosystems of the World					
MPA	Marine Protected Area					
NBSAP	National Biodiversity Strategy and Action Plan					
OECM	Other Effective Area-Based Conservation Measures					
PA	Protected Area					
PAME	Protected Area Management Effectiveness					
PPA	Privately Protected Area					
PPOW	Pelagic Provinces of the World					
ProtConn	Protected Connected land indicator					
SOC	Soil Organic Carbon					
TEOW	Terrestrial Ecosystems of the World					
WDPA	World Database on Protected Areas					
WD-OECM	World Database on Other Effective Area-Based Conservation Measures					

#### Disclaimer

The designations employed and the presentation of material in this dossier do not imply the expression of any opinion whatsoever on the part of the Secretariat of the Convention on Biological Diversity (SCBD) or United Nations Development Programme (UNDP) concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. The information contained in this publication do not necessarily represent those of the SCBD or UNDP.

This country dossier is compiled by the UNDP and SCBD from publicly available information. It is prepared, within the overall work of the Global Partnership on Aichi Biodiversity Target 11, for the purpose of attracting the attention of the Party concerned and other national stakeholders to facilitate the verification, correcting, and updating of country data. The statistics might differ from those reported officially by the country due to differences in methodologies and datasets used to assess protected area coverage and differences in the base maps used to measure terrestrial and marine area of a country or territory. Furthermore, the suggestions from the UNDP and SCBD are based on analyses of global datasets, which may not necessarily be representative of national policy or criteria used at the national level. The analyses are also subject to the limits inherent in global indicators (precision, reliability, underlying assumptions, etc.). Therefore, they provide useful information but cannot replace analyses at a national level nor constitute a future benchmark for national policy or decision-making.

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# **EXECUTIVE SUMMARY**

This document provides information on the coverage of protected areas (PAs) and other effective area-based conservation measures (OECMs), as currently reported in global databases (the World Database on Protected Areas (WDPA) and World Database on Other Effective Area-Based Conservation Measures (WD-OECM)). It also includes details on the status of the other qualifying elements of Aichi Biodiversity Target 11 based on this data. These statistics might differ from those reported officially by countries due to difference in methodologies and datasets used to assess protected area coverage, differences in the base maps used to measure terrestrial and marine area of a country or territory, or if global datasets differ from the criteria and indicators used at the national level. This dossier also provides a summary of commitments made under Aichi Biodiversity Target 11, and a summary of potential opportunities regarding elements of the target for future planning.

The dossier has been developed in consultation with the UN Environment Programme World Conservation Monitoring Centre (UNEP-WCMC), which manages the WDPA, WD-OECM and Global Database on Protected Area Management Effectiveness (GD-PAME).

Parties to the CBD are requested to contact protectedareas@unep-wcmc.org with any updates to the information in these databases.

# Aichi Biodiversity Target 11 Elements: Current status and opportunities for action

### Coverage - Terrestrial & Marine

- **Status:** as of May 2021, terrestrial coverage in Kazakhstan is 272,794.9 km<sup>2</sup> (10.0%) and marine coverage is 60,409.6 km<sup>2</sup> (50.7%).
- **Opportunities for action:** opportunities for the near-term include updating the WDPA with any unreported PAs, and the recognizing and reporting OECMs to the WD-OECM. In the future, focus on relatively intact areas, while addressing the elements in the following sections, could be considered when planning new PAs or OECMs.

#### **Ecological Representativeness**

- **Status:** Kazakhstan contains 19 terrestrial ecoregions, 0 marine ecoregions, and 0 pelagic provinces: the mean coverage by reported PAs and OECMs is 18.5% (terrestrial); all terrestrial ecoregions have at least partial coverage.
- **Opportunities for action:** there is opportunity for Kazakhstan to increase protection in terrestrial ecoregions that have lower levels of coverage by PAs or OECMs.

#### Areas Important for Biodiversity

- **Status:** Kazakhstan has 126 Key Biodiversity Areas (KBAs): the mean protected coverage of KBAs by reported PAs and OECMs is 31.7%, while 76 KBAs have no coverage by reported PAs and OECMs.
- **Opportunities for action:** there is opportunity for Kazakhstan to increase protection of KBAs that have lower levels of coverage by PAs and OECMs; priority could be given to those with no current coverage.

#### Areas Important for Ecosystem Services

- **Status:** coverage of areas important for ecosystem services: In Kazakhstan, 25.8% of aboveground biomass carbon, 14.7% of belowground biomass carbon, and 9.8% of soil organic carbon is covered by PAs and OECMs.
- **Opportunities for action:** for carbon, there is opportunity for Kazakhstan to increase PA and OECM coverage in terrestrial areas with high carbon stocks. Protecting areas with high carbon stocks secures the benefits of carbon sequestration in the area.
- For water, there is opportunity to increase the area of the water catchment under protection by PAs and OECMs, or in cases where there is high levels of protection, focus on effective management for these areas. Protecting the current area of forested land and potentially reforesting would have benefits for improving water security.

#### **Connectivity and Integration**

- Status: coverage of protected-connected lands is 3.9%.
- **Opportunities for action:** there is opportunity for a targeted increase in connecting PAs or OECMs and to focus on PA and OECM management for enhancing and maintaining connectivity. Increasing connectivity increases the effectiveness of PAs and OECMs and reduces the impacts of fragmentation.
- As well, a range of suggested steps for enhancing and supporting integration are included in the voluntary guidance on the integration of PAs and OECMs into the wider land- and seascapes and mainstreaming across sectors to contribute, inter alia, to the SDGs (Annex I of COP Decision 14/8).

#### **Governance Diversity**

- **Status:** the most common governance type(s) for reported PAs in Kazakhstan is: 90.6% under Government (89.1% Federal or national ministry or agency;1.6% Subnational ministry or agency).
- **Opportunities for action:** explore opportunities for governance types that have lower representation, for Kazakhstan this could relate to shared governance, etc.
- There is also opportunity for Kazakhstan to complete governance and equity assessments, to establish baselines and identify relevant actions for improvement.

As well, a range of suggested actions are included in the voluntary guidance on effective governance models for management of protected areas, including equity (Annex II of COP Decision 14/8).

#### Protected Area Management Effectiveness

- **Status:** 9.7% of terrestrial PAs and 0% of marine PAs have completed Protected Area Management Effectiveness (PAME) assessments reported.
- **Opportunities for action:** the 60% target for completed management effectiveness assessments (per COP Decision X/31) **has not** been met for terrestrial PAs and **has not** been met for marine PAs. Therefore, there is opportunity to increase protected area management effectiveness (PAME) evaluations for terrestrial PAs and marine PAs to achieve the target.
- There is also opportunity to implement the results of completed PAME evaluations, to improve the quality of management for existing PAs and OECMs (e.g. through adaptive management and information sharing, increasing the number of sites reporting 'sound management') and to increase reporting of biodiversity outcomes in PAs and OECMs.

# **INTRODUCTION**

The Strategic Plan for Biodiversity 2011-2020 was adopted at the tenth meeting of the Conference of the Parties (COP) to the Convention on Biological Diversity (CBD) held in Nagoya, Aichi Prefecture, Japan from 18-29 October 2010. The vision of the Strategic Plan is one of "Living in harmony with nature" where "By 2050, biodiversity is valued, conserved, restored and wisely used, maintaining ecosystem services, sustaining a healthy planet and delivering benefits essential for all people" (CBD, 2010). In addition to this vision, the Strategic Plan is composed of 20 targets, under five strategic goals. Aichi Biodiversity Target 11 states that "By 2020, at least 17 per cent of terrestrial and inland water, and 10 per cent of coastal and marine areas, especially areas of particular importance for biodiversity and ecosystem services, are conserved through effectively and equitably managed, ecologically representative and well-connected systems of protected areas and other effective area-based conservation measures, and integrated into the wider landscapes and seascapes."

With the conclusion of the Aichi Biodiversity Targets in 2020, Target 11 on area-based conservation has seen success in the expansion of the global network of protected areas (PA) and other effective area-based conservation measures (OECMs). The negotiation of the post-2020 Global Biodiversity Framework (GBF) and its future targets provide an essential opportunity to further improve the coverage of PAs and OECMs, to improve other aspects of area-based conservation, to accelerate progress on biodiversity conservation more broadly, while also addressing climate change, and the Sustainable Development Goals. This next set of global biodiversity targets are to be adopted at the fifteenth meeting of the Conference of the Parties to the Convention on Biological Diversity. These new targets must aim to build upon lessons learned from the last decade of progress to deliver transformative change for the benefit of nature and people, to realize the 2050 Vision for biodiversity.

The United Nations Development Programme (UNDP) and the Secretariat of the Convention on Biological Diversity have developed the Aichi Biodiversity Target 11 Country Dossiers, which provide countries with an overview of the status of Target 11 elements, opportunities for action, and a summary of commitments made by Parties over the last decade. Each dossier can support countries in assessing their progress on key elements of Aichi Biodiversity Target 11 and identifying opportunities to prioritize new protected areas and OECMs.

This dossier provides an overview of area-based conservation in Kazakhstan. Section I of the dossier presents data on the current status of Kazakhstan's PAs and OECMs. The data presented in Section I relates to each element of Target 11. Section I also presents the PA and OECM coverage for two critical ecosystem services: water security and carbon stocks. In addition, the dossier presents potential opportunities for action for Kazakhstan, in relation to each Target 11 element. The analyses present options for improving Kazakhstan's area-based conservation network to achieve enhanced protection and benefits for livelihoods and climate change. Section II presents details on Kazakhstan's existing PA and OECM commitments as a summary of existing efforts towards achieving Target 11. This gives focus not only to national policy and actions but also voluntary

commitments to the UN. Furthermore, where data is available, this dossier provides information on potential OECMs, Indigenous and Community Conserved Areas (ICCAs; also, often referred to as territories and areas conserved by Indigenous peoples and local communities or "territories of life") and Privately Protected Areas (PPAs) and the potential contribution they will have in achieving the post-2020 targets.

The information on PAs and OECMs presented here is derived from the World Database on Protected Areas (WDPA) and World Database on Other Effective Area-Based Conservation Measures (WD-OECM). These databases are joint products of UNEP and IUCN, managed by UNEP-WCMC, and can be viewed and downloaded at www.protectedplanet.net. Parties are encouraged to provide data on their PAs and OECMs to UNEP-WCMC for incorporation into the databases (see e.g., Decisions 10/31 and 14/8). The significant efforts of Parties in updating their data in the build up to the publication of the Protected Planet Report 2020 (UNEP-WCMC and IUCN, 2021) were greatly appreciated. UNEP-WCMC welcomes further updates, following the data standards described here (www.wcmc.io/WDPA\_Manual), and these should be directed to protectedareas@unep-wcmc.org. The statistics presented in this dossier are derived from the May 2021 WDPA and WD-OECM releases, unless explicitly stated otherwise. Readers should consult www.protectedplanet.net for the latest coverage statistics (updated monthly).

Some data from the WDPA and WD-OECM are not made publicly available at the request of the data-provider. This affects some statistics, maps, and figures presented in this dossier. Statistics provided by UNEP-WCMC (terrestrial and marine coverage) are based upon the full dataset, including restricted data. All other statistics, maps, and figures are based upon the subset of the data that is publicly available.

Where data is less readily available, such as for potential OECMs, ICCAs and PPAs, data has also been compiled from published reports and scientific literature to provide greater awareness of these less commonly recorded aspects. These data are provided to highlight the need for comprehensive reporting on these areas to the WDPA and/or WD-OECM. Parties are invited to work with indigenous peoples, local communities and private actors to submit data under the governance of these actors, with their consent, to the WDPA and/or WD-OECM.

Overall, PAs and OECMs are essential instruments for biodiversity conservation and to sustain essential ecosystem services that support human well-being and sustainable development, including food, medicine, and water security, as well as climate change mitigation and adaptation and disaster risk reduction. The data in this dossier, therefore, aims to celebrate the current contributions of PAs and OECMs, whilst the gaps presented hope to encourage greater progress, not just for the benefit of biodiversity and the post-2020 GBF, but also to recognize the essential role of PAs and OECMs to the Sustainable Development Goals and for addressing the climate crisis.

# **SECTION I: CURRENT STATUS**

Aichi Biodiversity Target 11 refers to both protected areas (PAs) and other effective areabased conservation measures (OECMs). This section provides the current status for all elements of Aichi Biodiversity Target 11 where indicators with global data are available. Statistics for all elements are presented using data on both PAs and OECMs (where this data is available and reported in global databases like the WDPA and WD-OECM). It is recognized that statistics reported in the WPDA and WD-OECM might differ from those reported officially by countries due to differences in methodologies and datasets used to assess protected area coverage and differences in the base maps used to measure terrestrial and marine area of a country or territory. Details on UNEP-WCMC's methods for calculating PA and OECM coverage area available here. The global indicators adopted here for presenting the status of other elements of Target 11 may also differ from those in use nationally.

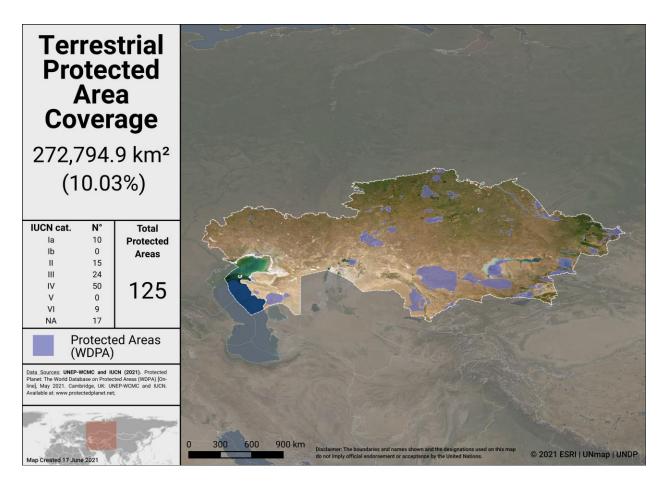
# **COVERAGE - TERRESTRIAL & MARINE**

As of May 2021, Kazakhstan has **127** protected areas reported in the World Database on Protected Areas (WDPA).

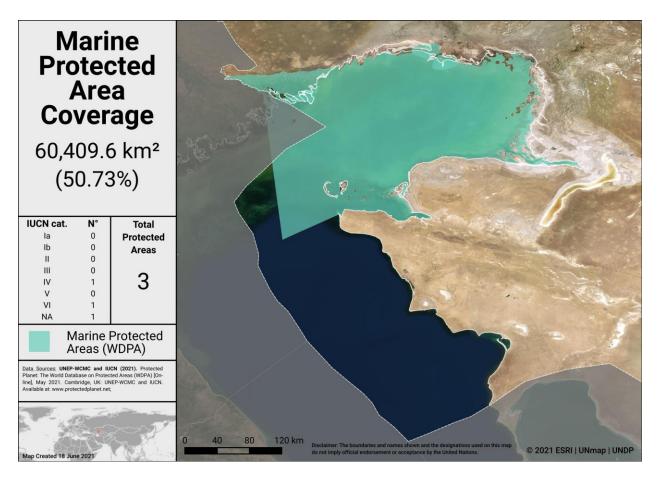
As of May 2021, Kazakhstan has **0** OECMs reported in the world database on OECMs (WD-OECM).

Current coverage for Kazakhstan:

- 10.0% terrestrial (125 protected areas, 272,794.9 km<sup>2</sup>)
- 50.7% marine (3 protected areas, 60,409.6 km<sup>2</sup>)



Terrestrial Protected Areas in Kazakhstan



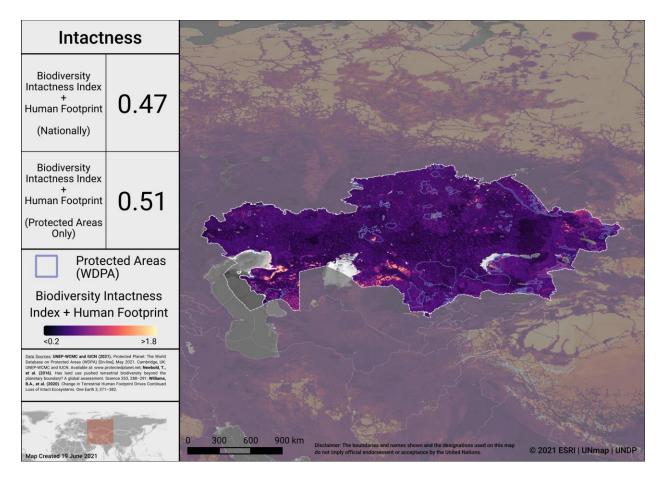
Marine Protected Areas in Kazakhstan

#### **Potential OECMs**

There are **93** unprotected Key Biodiversity Areas (KBAs) in Kazakhstan managed in a way consistent with the OECM definition (see Donald et al 2019 for further details, including a full list of sites).

#### **Opportunities for action**

Opportunities for the near-term include updating the WDPA with any unreported PAs, and the recognizing and reporting OECMs to the WD-OECM. In the future, as Kazakhstan considers where to add new PAs and OECMs, the map below identifies areas in Kazakhstan where intact terrestrial areas are not currently protected. Focus on relatively intact areas, while addressing the elements in the following sections, could be considered when planning new PAs or OECMs.



Intactness in Kazakhstan

To explore more on intactness visit the UN Biodiversity Lab: map.unbiodiversitylab.org.

# ECOLOGICAL REPRESENTATIVENESS

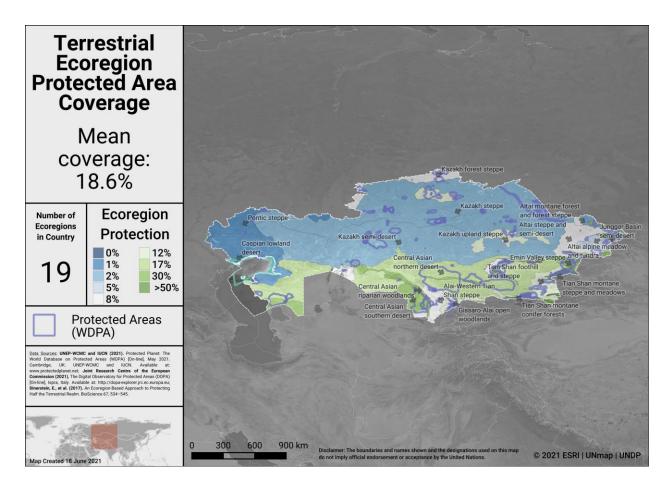
Ecological representativeness is assessed based on the PAs and OECMs coverage of broadscale biogeographic units. Globally, ecoregions have been described for terrestrial areas (Dinerstein et al, 2017), marine coastal and shelf ecosystems (to a depth of 200m; Spalding et al 2007) and surface pelagic waters (Spalding et al 2012).

Kazakhstan has 19 terrestrial ecoregions. Out of these:

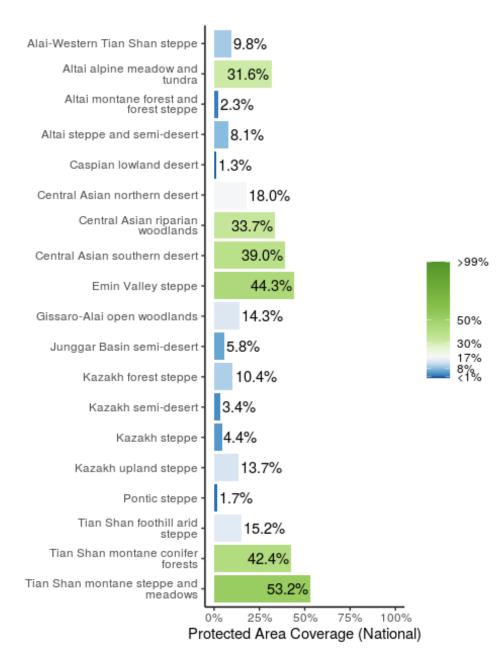
- All 19 ecoregions have at least some coverage from PAs and OECMs.
- 7 ecoregions have at least 17% protected within the country.
- The average terrestrial coverage of ecoregions is 18.5%.

Kazakhstan has 0 marine ecoregions and 0 pelagic provinces.

A full list of ecoregions in Kazakhstan is available in Annex I.



Terrestrial ecoregions in Kazakhstan



Terrestrial ecoregions of the World (TEOW) in Kazakhstan

#### **Opportunities for action**

There is opportunity for Kazakhstan to increase protection in terrestrial ecoregions that have lower levels of coverage by PAs or OECMs.

# AREAS IMPORTANT FOR BIODIVERSITY

#### Key Biodiversity Areas (KBAs)

Protected area and OECM coverage of Key Biodiversity Areas (KBAs) provide one proxy for assessing the conservation of areas important for biodiversity at national, regional and global scales. KBAs are sites that make significant contributions to the global persistence of biodiversity (IUCN, 2016). The KBA concept builds on four decades of efforts to identify important sites for biodiversity, including Important Bird and Biodiversity Areas, Alliance for Zero Extinction sites, and KBAs identified through Hotspot ecosystem profiles supported by the Critical Ecosystem Partnership Fund. Incorporating these sites, the dataset of internationally significant KBAs includes Global KBAs (sites shown to meet one or more of 11 criteria in the Global Standard for the Identification of KBAs, clustered into five categories: threatened biodiversity; geographically restricted biodiversity; ecological integrity; biological processes; and irreplaceability), Regional KBAs (sites identified using pre-existing criteria and thresholds, that do not meet the Global KBA criteria based on existing information), and KBAs whose Global/Regional status is Not yet determined, but which will be assessed against the global KBA criteria within 8-12 years. Regional KBAs are often of critical international policy relevance (e.g., in EU legislation and under the Ramsar Convention on Wetlands), and many are likely to gualify as Global KBAs in future once assessed for their biodiversity importance for other taxonomic groups and ecosystems. To date, nearly 16,000 KBAs have identified globally, and information on each of these is presented in the World Database of Key Biodiversity Areas: www.keybiodiversityareas.org.

Kazakhstan has 132 Key Biodiversity Areas (KBAs) [126 used in the analysis]

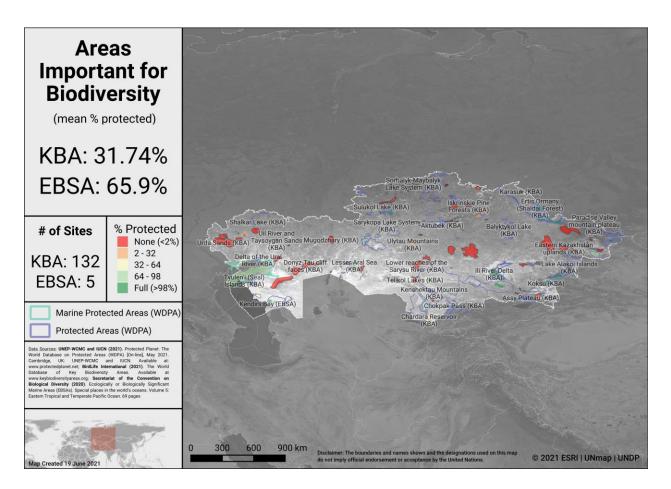
- Mean percent coverage of all KBAs by PAs and OECMs in Kazakhstan is **31.7%**.
- 23 KBAs have full (>98%) coverage by PAs and OECMs.
- **27** KBAs have partial coverage by PAs and OECMs.
- **76** KBAs have no (<2%) coverage by PAs and OECMs.
- 6 KBAs lack spatial data to allow PA/OCM coverage to be determined

The unprotected portion of **93** of the KBAs with low coverage from reported PAs are managed in a way that is consistent with the OECM definition (See Donald et al., 2019 for full details, including the full list of sites and information on their management).

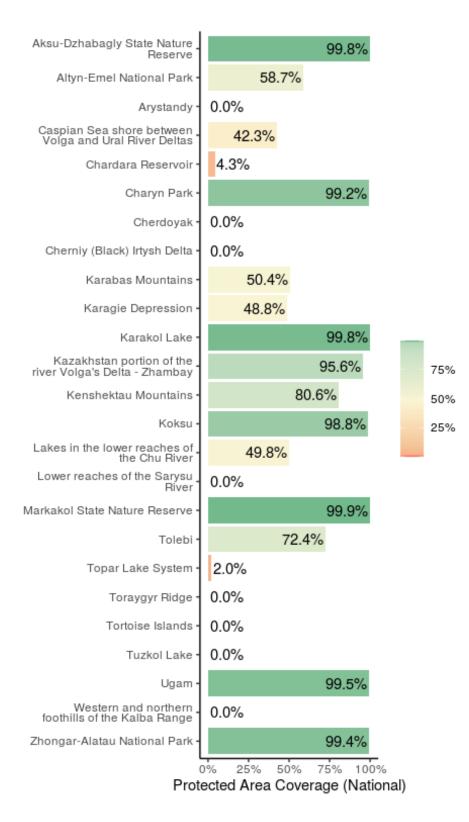
#### **Ecologically or Biologically Significant Marine Areas (EBSAs)**

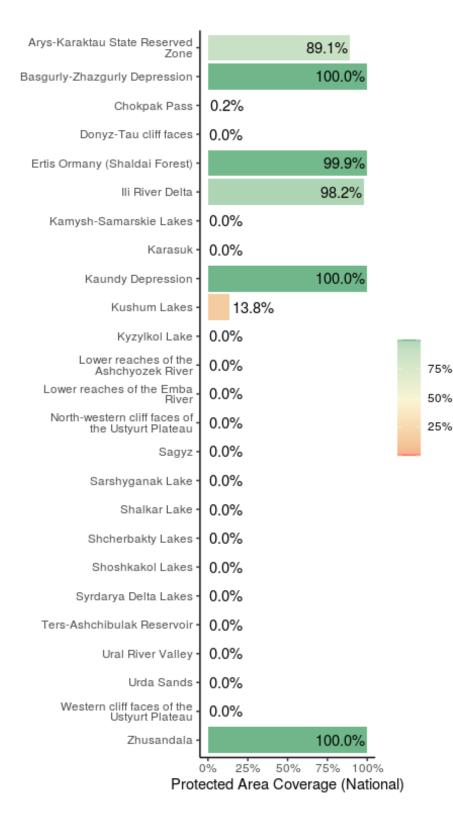
Other important areas for biodiversity also include Ecologically or Biologically Significant Marine Areas (EBSAs), which were identified following the scientific criteria adopted at COP-9 (Decision IX/20; see more at: https://www.cbd.int/ebsa/). Sites that meet the EBSA criteria may require enhanced conservation and management measures; this could be achieved through means including MPAs, OECMs, marine spatial planning, and impact assessment.

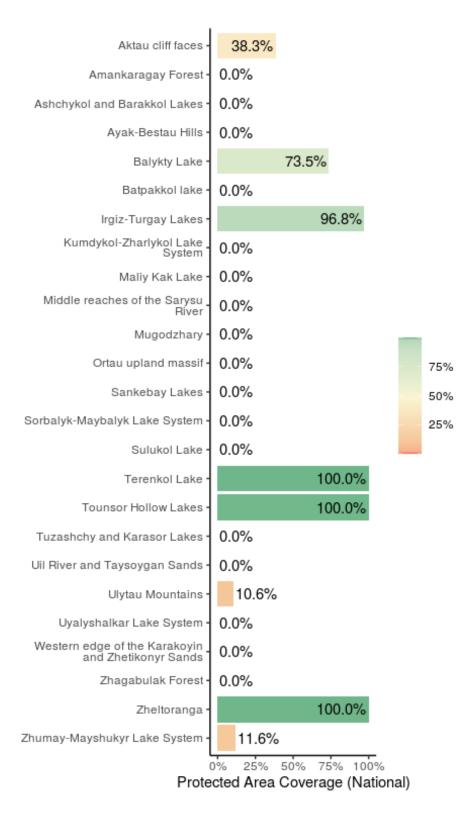
Kazakhstan has 5 EBSAs with some portion of their extent within Kazakhstan's EEZ, all of which have at least partial coverage from PAs and OECMs.

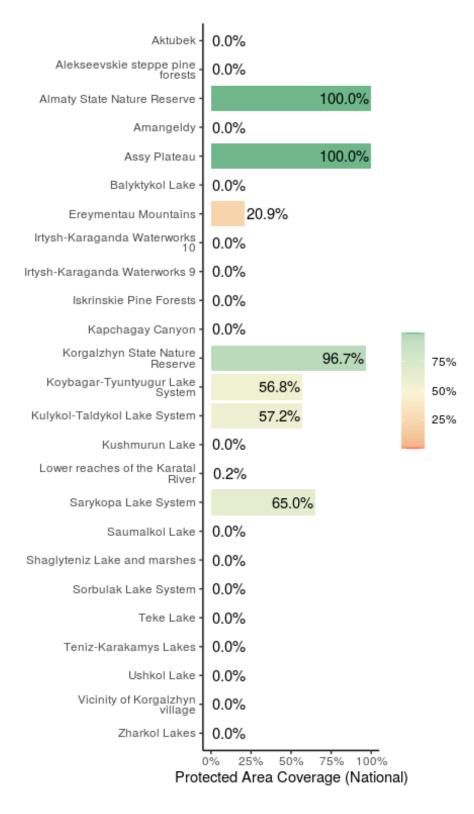


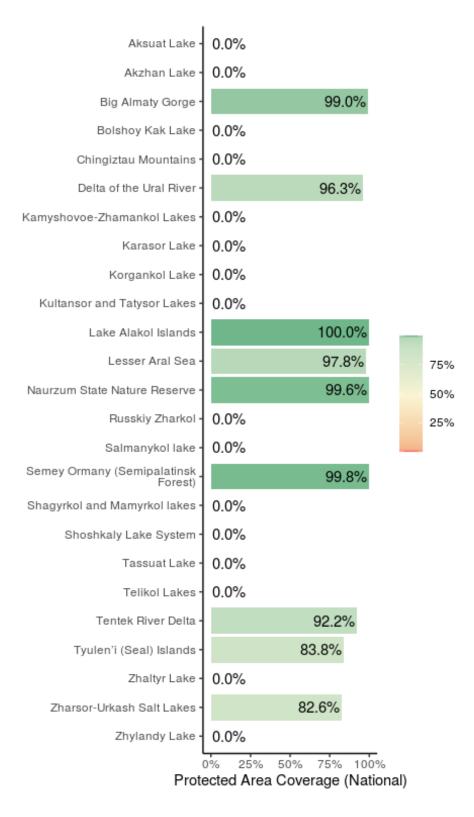
Areas Important for Biodiversity in Kazakhstan

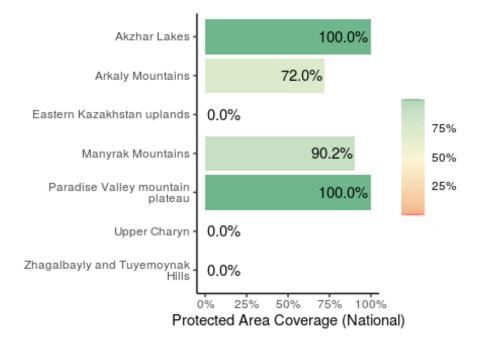




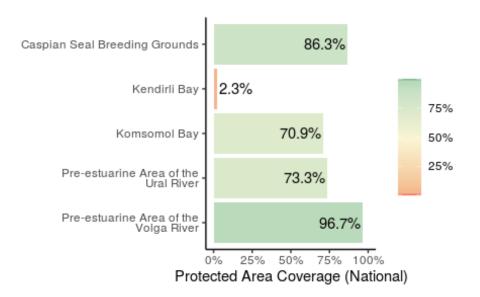








Key Biodiversity Area Coverage (KBA) in Kazakhstan



Ecologically or Biologically Significant Marine Areas (EBSAs) in Kazakhstan

#### **Opportunities for action**

There is opportunity for Kazakhstan to increase protection of KBAs that have lower levels of coverage by PAs and OECMs; priority could be given to those with no current coverage.

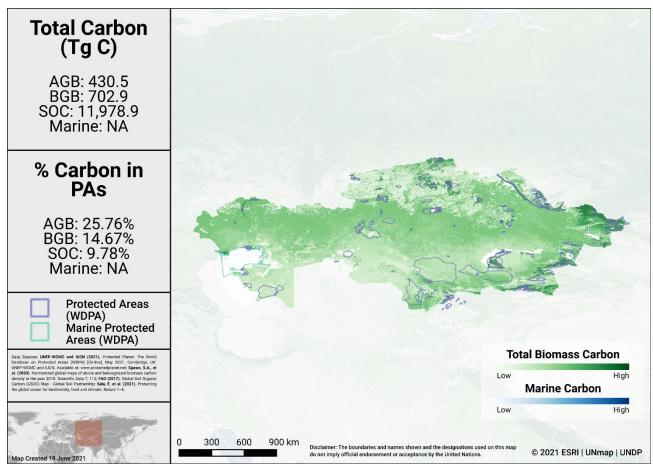
# AREAS IMPORTANT FOR ECOSYSTEM SERVICES

There is no single indicator identified for assessing the conservation of areas important for ecosystem services. For simplicity, two services with available global datasets are assessed here (carbon and water). In future, other critical ecosystem services could be explored.

#### Carbon

Data for biomass carbon comes from temporally consistent and harmonized global maps of aboveground biomass and belowground biomass carbon density (at a 300-m spatial resolution); the maps integrate land-cover specific, remotely sensed data, and land-cover specific empirical models (see Spawn et al., 2020 for details on methodology). The Global Soil Organic Carbon Map present an estimation of SOC stock from 0 to 30 cm (see FAO, 2017 for details).

The map below presents the total carbon stocks in Kazakhstan and the percent of carbon in protected areas. The total carbon stocks is 430.5 Tg C from aboveground biomass (AGB), with 25.8% in PAs; 702.9 Tg C from below ground biomass (BGB), with 14.7% in PAs; and 11,978.9 Tg C from soil organic carbon (SOC), with 9.8% in PAs.



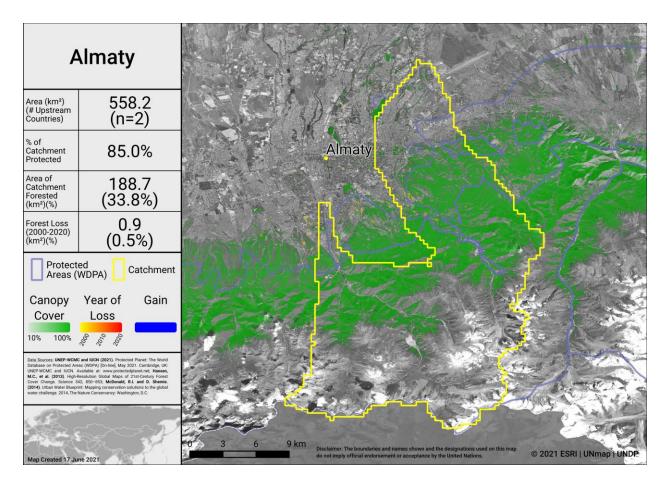
Carbon Stocks in Kazakhstan

#### Water

Information on the water sources for 534 cities is available via the City Water Map (CWM) and provides details on the catchment area of the watershed that supplies these cities (see McDonald et al., 2014 for details on methodology).

Forests support stormwater management and clean water availability, especially for large urban populations. Research that has examined the role of forests for city drinking water supplies shows that of the world's 105 largest cities, more than 30% (33 cities) rely heavily on the local protected forests, which provide ecosystem services that underpin local drinking water availability and quality (Dudley & Stolton, 2003)

Drinking water supplies for cities in Kazakhstan may similarly depend on protected forest areas within and around water catchments. The map below shows the percentage forest cover and the forest loss from 2000-2020 in the most heavily populated water catchment of Kazakhstan. Intact catchments can support more consistent water supply and improved water quality.



Water supply area for the city of Almaty

#### **Opportunities for action**

For carbon, there is opportunity for Kazakhstan to increase PA and OECM coverage in terrestrial areas with high carbon stocks, as identified in the map above. Protecting areas with high carbon stocks secures the benefits of carbon sequestration in the area.

For water, there is opportunity to increase the area of the water catchment under protection by PAs and OECMs, or in cases where there is high levels of protection, focus on effective management for these areas. Protecting the current area of forested land and potentially reforesting would have benefits for improving water security.

# **CONNECTIVITY & INTEGRATION**

Two global indicators, the Protected Connected land indicator (ProtConn; EC-JRC, 2021; Saura et al., 2018) and the PARC-Connectedness indicator (CSIRO, 2019), have been proposed for assessing the terrestrial connectivity of PA and OECM networks (to date there is no global indicator for assessing marine connectivity).

#### Protected Connected Land Indicator (Prot-Conn)

As of January 2021, as reported in the Joint Research Centre of the European Commission's Digital Observatory for Protected Areas (DOPA) (JRC, 2021), the coverage of protected-connected lands (a measure of the connectivity of terrestrial protected area networks, assessed using the ProtConn indicator) in Kazakhstan was 3.9%.

#### PARC-Connectedness Index

In 2019, as assessed using the PARC-Connectedness Index (values ranging from 0-1, indicating low to high connectivity), connectivity in Kazakhstan is 0.37. This represents no significant change since 2010.

#### Corridor case studies

There are currently no corridor case studies available for Kazakhstan (but see general details on conserving connectivity through ecological networks and corridors in Hilty et al 2020).

#### **Opportunities for action**

There is opportunity for a targeted designation of PAs or OECMs in strategic locations for connectivity and to focus on PA and OECM management for enhancing and maintaining connectivity. Improving connectivity increases the effectiveness of PAs and OECMs and reduces the impacts of fragmentation.

As well, a range of suggested steps for enhancing and supporting integration are included in the voluntary guidance on the integration of PAs and OECMs into the wider land- and seascapes and mainstreaming across sectors to contribute, inter alia, to the SDGs (Annex I of COP Decision 14/8).

# GOVERNANCE DIVERSITY

There is a lack of comprehensive global data on governance quality and equity in PAs and OECMs. Here, we provide data on the diversity of governance types for reported PAs and OECMs.

As of May 2021, PAs in Kazakhstan reported in the WDPA have the following governance types:

- 90.6% are governed by **governments** 
  - 89.1% by federal or national ministry or agency
  - 1.6% by sub-national ministry or agency
  - 0.0% by government-delegated management
- 0.0% are under **shared** governance
- 0.0% are under **private** governance
- 0.0% are under **IPLC** governance
  - 0.0% by Indigenous Peoples
  - 0.0% by local communities
- 9.4% **do not** report a governance type

#### OECMs

As of May 2021, there are **0** OECMs in Kazakhstan reported in the WD-OECM, however, for 93 potential OECMs overlapping unprotected KBAs:

- 51 are governed by **governments**
- 42 are under private governance (43 under private governance, 1 managed by Business/corporate interests)

See details in Donald et al., 2019.

#### Privately Protected Areas (PPAs)

From Gloss et al. (2019), a UNDP study on PPA data for Kazakhstan:

- PPAs **are not** formally defined in PA legislation.
- PPAs **are not** directly identified in Kazakhstan's recent NBSAP.
- PPAs **are not** included as part of the current PA network.

See additional info in Kazakhstan's country profile.

Territories and areas conserved by Indigenous Peoples and local communities (ICCAs)

There is currently no data available on ICCAs for Kazakhstan (see Kothari et al., 2012 and the ICCA Registry for further details).

#### Other Indigenous lands

There is currently no data available on lands managed and/or controlled by Indigenous Peoples in Kazakhstan (see Garnett et al 2018 for details).

#### **Opportunities for action**

Explore opportunities for governance types that have lower representation, for Kazakhstan this could relate to shared governance, etc.

There is also opportunity for India to complete governance and equity assessments, to establish baselines, and identify relevant actions for improvement. Examples of existing tools and methodologies include: Governance Assessment for Protected and Conserved Areas (Franks & Brooker, 2018), Social Assessment of Protected Areas (Franks et al 2018), and Site-level assessment of governance and equity (IIED, 2020). As well, a range of suggested actions are included in the voluntary guidance on effective governance models for management of protected areas, including equity (Annex II of COP Decision 14/8).

# PROTECTED AREA MANAGEMENT EFFECTIVENESS

This section provides information on the coverage of PAs and OECMs with completed protected area management effectiveness (PAME) assessments as reported in the global database (GD-PAME). The proportion of terrestrial and marine PAs with completed PAME assessments is also calculated and compared with the 60% target agreed to in COP-10 Decision X/31. Information is also included regarding changes in forest cover nationally within PAs and OECMs.

#### Protected area management effectiveness (PAME) assessments

As of May 2021, Kazakhstan has 127 PAs reported in the WDPA; of these PAs, 19 (14.8%) have management effectiveness evaluations reported in the global database on protected area management effectiveness (GD-PAME).

- 1.0% (26,578 km<sup>2</sup>) of the terrestrial area of the country is covered by PAs with completed management effectiveness evaluations.
  - 9.7% of the area of terrestrial PAs have completed evaluations.
- 0% (0 km<sup>2</sup>) of the marine area of the country is covered by PAs with completed management effectiveness evaluations.
  - 0% of the area of marine PAs have completed evaluations.

The 60% target for completed management effectiveness assessments (per COP Decision X/31) **has not** been met for terrestrial PAs and **has not** been met for marine PAs.

#### OECMs

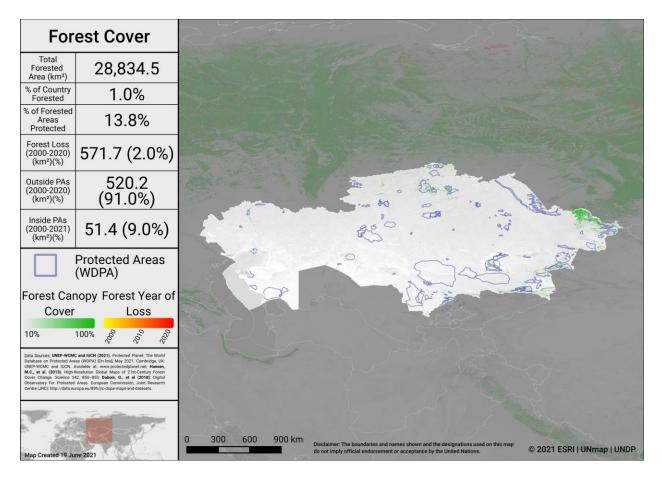
As of May 2021, there are 0 OECMs in Kazakhstan reported in the WD-OECM; however, there are 93 unprotected KBAs which may fit the OECM definition. Responding to 'How effective is the management in conserving biodiversity?':

- 14 potential OECMs are 'Effective'
- 5 potential OECMs are 'Partly effective'
- For 72 potential OECMs, the response was 'Don't know'
- For the remaining 2 potential OECMs, there is no info

See details in Donald et al., 2019.

### Changes in forest cover in protected areas and OECMs

Forested areas in Kazakhstan cover approximately 1.0% of the country, an area of 28,834.5 km<sup>2</sup>. Approximately 13.8% (3,977.1 km<sup>2</sup>) of this is within the protected area estate of Kazakhstan. Over the period 2000-2020 loss of forest cover amounted to over 571.7 km<sup>2</sup>, or 0.0% of the country (2.0% of forest area), of which 51.4 km<sup>2</sup> (9.0% of forest loss) occurred within protected areas. The map below shows how forest cover has changed in Kazakhstan from 2000-2020 both inside and outside of PAs. This can indicate how effective PAs are in reducing forest cover loss.



Forest Cover and Forest Loss in Kazakhstan

#### **Opportunities for action**

The 60% target for completed management effectiveness assessments (per COP Decision X/31) **has not** been met for terrestrial PAs and **has not** been met for marine PAs. Therefore, there is opportunity to increase protected area management effectiveness (PAME) evaluations for terrestrial PAs and marine PAs to achieve the target.

There is also opportunity to implement the results of completed PAME evaluations, to improve the quality of management for existing PAs and OECMs (e.g. through adaptive management and information sharing, increasing the number of sites reporting 'sound management') and to increase reporting of biodiversity outcomes in PAs and OECMs.

# SECTION II: EXISTING PROTECTED AREA AND OECM COMMITMENTS

# PRIORITY ACTIONS FROM 2015-2016 REGIONAL WORKSHOPS

National priority actions for Aichi Biodiversity Target 11 were provided by Parties following a series of regional workshops in 2015 and 2016. The Capacity-building workshop for South, Central and West Asia on achieving Aichi Biodiversity Targets 11 and 12 took place 7 - 10 December 2015 in New Delhi, India. Progress towards the quantitative targets for marine and terrestrial coverage has been assessed based on data reported in the WDPA and WD-OECM as of 2021. For more information, see the workshop report at: https://www.cbd.int/meetings/

The following actions were identified during the workshops:

**Ecological representation:** Increase mountain-and-valley desert to 8% and the Southern Kazakh desert to 25%.

#### Management effectiveness:

- 1) Infrastructure and equipment's 2)
- 2) Increase the METT score by 25% of new or extended Pas.

Governance and Equity: Micro-credit program (biodiversity and compatible livelihoods).

**No actions** were identified for the following elements of Target 11: Terrestrial and marine coverage; Areas Important for biodiversity and ecosystem services; Connectivity; Integration into the wider landscape and seascape

# NATIONAL BIODIVERSITY STRATEGY AND ACTION PLANS (NBSAPs)

Kazakhstan has not submitted an NBSAP during the Strategic Plan for Biodiversity 2011-2020 (most recent NBSAP is available at: https://www.cbd.int/nbsap/search/).

NBSAP submitted prior to the adoption of the Strategic Plan (1999) - revision underway

# OTHER ACTIONS/COMMITMENTS

Kazakhstan's statement at the 2020 UN Biodiversity Summit mentions PAs, OECMs or corridors:

The next step is to expand the network of specially protected national areas, create ecological corridors for animal migrations, and protect and reproduce forest lands.

# APPROVED GEF-5 & GEF-6 PROTECTED AREA PROJECTS

#### Approved GEF-5 and GEF-6 PA-related biodiversity projects

This includes biodiversity projects from the fifth and sixth replenishment of the Global Environment Facility (GEF-5 and GEF-6) with a clear impact of the quantity or quality of PAs; also including some projects occurring within the wider landscapes/seascapes around PAs. Only those with a status of 'project approved' or 'concept approved' as of June 2019 were considered. The qualifying elements likely benefiting from each GEF project is assessed based on a keyword search of Project Identification Forms (PIF). Where spatial data for the proposed PAs was available, further details (based on an analysis by UNDP) regarding their impacts for ecological representation, coverage of KBAs, and coverage of areas important for carbon storage is included.

GEF ID	PA increase?	Area to be added (km²)	Type of new protected area	Qualitative elements potentially benefitting (based on keyword search of PIFs)
4584	Yes	already in WDPA	Terrestrial	All except Connectivity
9193	Yes	32,465	Terrestrial	All except Integration

Based on spatial data available for GEF project 1148, 2836, 3293, 4584 and 9193, benefits will arise for several elements of Target 11:

#### **Coverage of Terrestrial and Marine Ecoregions:**

- 17 Terrestrial Ecoregion(s) will have improved coverage. These Ecoregions are: Alai-Western Tian Shan steppe; Altai alpine meadow and tundra; Altai montane forest and forest steppe; Altai steppe and semi-desert; Caspian lowland desert; Central Asian northern desert; Central Asian riparian woodlands; Central Asian southern desert; Emin Valley steppe; Gissaro-Alai open woodlands; Junggar Basin semi-desert; Kazakh semi-desert; Kazakh steppe; Pontic steppe; Tian Shan foothill arid steppe; Tian Shan montane conifer forests; Tian Shan montane steppe and meadows.
  - The average increase in coverage of Terrestrial Ecoregions will be 9.64%.

#### **Coverage of KBAs:**

• Coverage will improve for 27 KBAs.

#### **Ecosystem services:**

- 9.29 % increase in the PA coverage of aboveground biomass.
- 9.99 % increase in the PA coverage of important aboveground biomass areas.
- 6.07 % increase in the PA coverage of soil organic carbon (SOC).
- 4.56 % increase in the PA coverage of areas important for SOC.

# ANNEX I

# FULL LIST OF ECOREGIONS

Ecoregion Name	Area (km²)	% of Global Ecoregion in Country	% of Country in Ecoregion	Area Protected (km²)	% Protected in Country
Alai-Western Tian Shan steppe	47,968.8	37.6	1.8	4,714.1	9.8
Altai alpine meadow and tundra	21,222.1	23.5	0.8	6,710.6	31.6
Altai montane forest and forest steppe	10,091.4	7.1	0.4	228.1	2.3
Altai steppe and semi-desert	80,988.1	97.3	3.0	6,577.2	8.1
Caspian lowland desert	152,664.6	57.0	5.6	1,972.2	1.3
Central Asian northern desert	554,735.7	83.6	20.4	100,060.7	18.0
Central Asian riparian woodlands	46,879.6	52.8	1.7	15,817.3	33.7
Central Asian southern desert	70,752.2	12.5	2.6	27,620.3	39.0
Emin Valley steppe	18,862.4	29.0	0.7	8,350.1	44.3
Gissaro-Alai open woodlands	20,437.1	12.2	0.8	2,917.8	14.3
Junggar Basin semi- desert	31,814.2	10.4	1.2	1,837.9	5.8
Kazakh forest steppe	47,389.1	11.2	1.7	4,923.0	10.4
Kazakh semi-desert	680,440.6	100.0	25.0	22,962.3	3.4
Kazakh steppe	665,489.1	82.4	24.5	29,321.5	4.4
Kazakh upland steppe	72,199.3	100.0	2.7	9,890.1	13.7
Pontic steppe	74,188.9	7.4	2.7	1,262.8	1.7

Ecoregion Name	Area (km²)	% of Global Ecoregion in Country	% of Country in Ecoregion	Area Protected (km²)	% Protected in Country
Tian Shan foothill arid steppe	69,351.7	53.7	2.6	10,514.3	15.2
Tian Shan montane conifer forests	4,767.7	17.3	0.2	2,020.6	42.4
Tian Shan montane steppe and meadows	19,336.0	6.9	0.7	10,281.1	53.2

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