



**CONVENTION ON  
BIOLOGICAL DIVERSITY**

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**QUARTERLY REPORT ON THE ADMINISTRATION OF  
THE CONVENTION ON BIOLOGICAL DIVERSITY  
(October - December 2002)**

Note by the Executive Secretary

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## I. INTRODUCTION

1. This report is prepared in keeping with decision III/24 of the Conference of the Parties which requested the Executive Secretary to prepare a quarterly report on the administration of the Convention including such matters as the staff lists, status of contributions, progress on the implementation of the medium term work programme and financial expenditures. The requirements to report on a regular basis to the Parties were further elaborated under the Administrative Arrangements between the Secretariat and UNEP, which were endorsed by the Conference of the Parties in decision IV/17.
2. The Executive Secretary has prepared this Quarterly Report, which contains a summary of key activities implementing the decisions of the Conference of the Parties and other relevant matters during the period 1 October to 31 December 2002.

## II. EXECUTIVE DIRECTION AND MANAGEMENT

3. During the fourth quarter of 2002, the CBD Secretariat organized four meetings, namely: the Liaison Group Meeting on Capacity Building for Biosafety, the Open-ended Expert Workshop on Capacity Building for Access and Benefit sharing, the Expert Meeting on Methodologies and Regional Guidelines for the Rapid Assessment of Inland Water Biodiversity, and the Workshop on Liability and Redress in the Context of the Cartagena Protocol on Biosafety. These meetings were held in Montreal, with the exception of the latter, which was held in Rome, Italy, thanks to the generous financial support of the Italian government and the European Community.
4. The CBD Secretariat participated in the 20<sup>th</sup> GEF Council meeting and the Second Assembly of the Global Environment Facility (GEF), which were held in Beijing, China, from 16-18 October 2002. On the margins of the GEF Assembly and in accordance with paragraph 11 (d) of COP-6 decision VI/16, the Secretariat organized a Workshop for Developing a Global Initiative on Banking, Business and Biodiversity. Furthermore, the Executive Secretary held bilateral discussions with key Chinese government officials on issues of mutual importance.
5. The CBD had an active presence at the MEA-UNEP meeting on enhancing MEA and WTO Information exchange and observer status, which was held on 11 November in Geneva, Switzerland. The CBD provided a well-received detailed presentation focusing on relevant issues and interlinkages, including trade implications, between the CBD and its Biosafety Protocol and the World Trade Organization (WTO). The CBD also participated in the WTO special session of the Committee on Trade and Environment (CTE) on 12 November. On the margins of these meetings, very productive bilateral meetings were held between the CBD Executive Secretary and the chairmen of the Trade Related Intellectual Property Rights (TRIPs) Council, Sanitary and Phytosanitary Measures (SPS), Technical Barriers to Trade (TBT) and Agriculture Committees as well as the Deputy Director General of WTO.
6. During the last quarter of 2002, three countries (Slovenia, Mozambique and Ukraine) ratified the Cartagena Protocol on Biosafety bringing the total number of Parties to the Protocol to 39.
7. With the imminent possible entry into force of the Cartagena Protocol on Biosafety, a joint meeting of the Bureaux of the sixth meeting of the Conference of the Parties (COP) and the Intergovernmental Committee for the Cartagena Protocol on Biosafety (ICCP) was held in December in Montreal. Prominent on the meeting's agenda were the scenarios and modalities of the organization of the first meeting of the Conference of the Parties serving as the Meeting of the Parties to the Protocol (COP/MOP-1) including the related legal, institutional and procedural issues.
8. The CBD Secretariat was represented in several important international fora including: the meeting of the Collaborative Partnership on Forests (CPF) (New York, USA, 3-4 October); a workshop on the International Biosafety Governance after the Johannesburg Summit (London, United Kingdom, 7-8

October); a scoping meeting on Access and Benefit sharing (Kuala Lumpur, Malaysia, 7-9 October); the 5<sup>th</sup> Governing Board Meeting of the Global Biodiversity Information Facility (GBIF-5) (San José, Costa Rica, 9-11 October); the International Workshop on the Further Development of the Ecosystem Approach (Vilm, Germany, 10-12 October); a workshop to set up technical cooperation network on taxonomy in Andean countries (Maracay, Venezuela, 13-18 October); the Latin American Botanical Congress (Cartagena, Colombia, 14-15 October); the OECD Working Group Meeting on Environmental Information and Outlooks (Paris, France, 16-18 October); the 7<sup>th</sup> International Wildlife Law Conference (Washington, D.C, USA, 18 October); the United Nations General Assembly (New York, 21 October); the First Egyptian International Conference on Protected Areas and Sustainable Development (Sharm El Sheikh, Egypt, 23-26 October); the 8<sup>th</sup> meeting of the Conference of the Parties to the United Nations Framework Convention on Climate Change (UNFCCC COP-8) including the 17<sup>th</sup> session of its Subsidiary Body for Scientific and Technological Advice (SBSTA) (New Delhi, India, 24-26 October); the World Commission on Protected Areas Steering Committee meeting (Durban, South Africa, 28 October – 1 November); the First Consultative Meeting on International Assessment on the Role of Agriculture, Science and Technology in Reducing Hunger and Improving Livelihoods (6-8 November, Dublin, Ireland); a National Workshop on Access and Benefit Sharing (Berne, Switzerland, 7 November); the meeting of the UNCCD Committee for the Review of the Implementation (Rome, Italy, 11-15 November); the UNEP/GEF sub-regional workshop for Anglophone Africa (Windhoek, Namibia, 12-15 November); the CPF Task Force meeting (Bonn, Germany, 19-20 November); the 8<sup>th</sup> meeting of the Conference of the Parties to the Ramsar Convention (Valencia, Spain, 20-22 November); the Workshop on Developing National Biosafety Systems (Nairobi, Kenya, 26-28 November); the Workshop on Access to Genetic Resources, Traditional Knowledge and Intellectual Property (Cuzco, Peru, 27-29 November); the EC CHM Steering Committee (Copenhagen, Denmark, 28-29 November); the Workshop on the Transboundary Movement of LMOs and the Cartagena Protocol on Biosafety (Sofia, Bulgaria, 4-6 December); and the UNEP/GEF Regional Training Workshop for Latin American and the Caribbean (Mexico City, Mexico, 10-13 December)

9. Two professional posts have been filled and recruitment procedures are underway for some five professional posts with the expectation of filling them during the first quarter of 2003.

### **III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS**

#### **A. Personnel Arrangements**

10. During the reporting period, the Secretariat had **30** regularized Professional staff members and **21** regularized General Service staff members funded from the core budget. Additionally, **5** Professional posts and **5** General Service posts were funded from other sources. Additionally, there are **3** short-term staff on approved posts. A total of 52 consultants/contractors were hired during the period under review: 21 interpreters/revisors; 23 translators, 1 consultant and 7 corporate contractors. For more information, please refer to the attached staff list and organigram (Annex I Appendix 1, and Annex II respectively).

11. The Secretariat initiated a new Fellowship Programme in 2002. Candidates from developing countries were eligible to apply to this six month Programme. The purpose of the SCBD Fellowship Programme is to give nationals from developing countries the opportunity to gain knowledge in the area of biodiversity in order to assist their organizations and communities in protecting and promoting the conservation and sustainable use of biodiversity. It is expected that these fellows will use this opportunity to broaden their professional knowledge and experience on issues they are working on in their countries by acquainting themselves with the issues facing biodiversity conservation and sustainable use worldwide and the functioning of the intergovernmental processes that deal with it. Four fellows are currently working at the Secretariat. For more information please refer to the attached Annex I.

#### **B. Financial Arrangements**

12. With regard to budgetary matters, as at 31 December 2002, of the total pledged contributions of US\$6,914,379 for 2002 to the General Trust Fund for the Convention on Biological Diversity (**BY Trust**

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**Fund**), the total contributions received amounted to US\$5,610,823. Additionally US\$572,351 has been collected as advance payments for 2003, and US\$1,179,564 has been collected as additional contributions to the BY Trust Fund in 2002. Total unpaid pledges to the BY Trust Fund for prior years amount to US\$487,688. Details of the BY Trust Fund are contained in Annex V.

13. Several Parties have contributed additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**). As of 31 December 2002, the total amount pledged for 2002 amounts to US\$926,290. The total collected for 2002 as at 31 December 2002 was US\$675,833 and an additional \$615,262 has been collected in 2002 for prior years. Total unpaid pledges for 2002 and prior years to the BE Trust Fund is US\$512,393. Reminders have been sent out to governments requesting payment of the arrears. Details of the BE Trust Fund are contained in Annex III.

14. Pledges totalling US\$604,345 have so far been made as additional voluntary contributions to facilitate the participation of Parties to the Convention Process (**BZ Trust Fund**) in 2002, of which US\$521,072 has already been paid. Total unpaid pledges for 2002 and prior years to the BZ Trust Fund amount to US\$98,785. Details of the BZ Trust Fund are contained in Annex IV.

15. Invoices have been sent to the 186 Parties to the Convention, inviting them to pay their contributions to the General Trust Fund for 2002. As at 31 December 2002 one hundred and five countries have totally or partially paid their assessed contributions for 2002 representing 93.7% of the total amount pledged to the Trust Fund in 2002.

#### **IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES**

##### **SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS**

#### **DECISION V/2 PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK ON THE BIOLOGICAL DIVERSITY OF INLAND WATER ECOSYSTEMS**

#### **AND**

#### **DECISION VI/2 BIOLOGICAL DIVERSITY OF INLAND WATERS**

16. In decision VI/2, the Conference of the Parties requested the Executive Secretary to (i) implement paragraph 5 of Decision V/2 i.e., review the programme of work and include in its review advice on the further elaboration and refinement of the programme of work, and (ii) to facilitate the implementation of the third joint work plan with the Ramsar Convention in Wetlands.

##### (a) Review of the implementation of work

17. The Executive Secretary prepared for consideration by SBSTTA-8, the following documents presenting the results of his review of the implementation of the programme of work:

- Review of the implementation of the programme of work on biological diversity of inland water ecosystems and advice for its further elaboration and refinement (UNEP/CBD/SBSTTA/8/8);
- Summary of the status and trends of, and threats to, inland water biodiversity (UNEP/CBD/SBSTTA/8/8/ADD1);
- Work plan for developing methods and techniques for the valuation of goods and services of inland water ecosystems, incentives and policy reform and understanding of ecosystem function (UNEP/CBD/SBSTTA/8/8/ADD3);

- Provision of scientific advice and further guidance to assist in the national elaboration of Annex I of the Convention (as pertaining to inland water biodiversity) (UNEP/CBD/SBSTTA/8/8/ADD4).

18. Additional information documents on biodiversity of inland waters are under preparation.

(b) Implementation of the third joint work plan with the Ramsar Convention

19. The Executive Secretary strengthened collaboration with the Ramsar Bureau through participation in the Ramsar COP 8 and through consultations held in connection with the preparation of documents for SBSTTA-8. The Executive Secretary also strengthened collaboration, in particular with Conservation International and World Resources Institute (WRI), by inviting them to contribute to the drafting of documents prepared for SBSTTA-8, and to participate in meetings organized by the CBD Secretariat.

20. As part of his efforts to promote the implementation of the third joint work plan, the Executive Secretary also strengthened his collaboration with UNEP-Global Environment Monitoring System (GEMS), FAO, The Nature Conservancy, IUCN - The World Conservation Union, and Conservation International.

21. The Executive Secretary convened, in collaboration with the Ramsar Convention Bureau, an expert meeting to develop guidelines on the rapid assessment of biological diversity of inland waters (Montreal, 2-4 December 2002). Based on the results of the expert meeting the Executive Secretary prepared documents on the methods and regional guidelines for the rapid assessment of inland water biodiversity for all types of inland water ecosystems (UNEP/CBD/SBSTTA/8/8/ADD5), and report of the expert meeting on methods and guidelines for the rapid assessment of biological diversity of inland water ecosystems (UNEP/CBD/SBSTTA/8/INF/5).

22. During the expert meeting to develop guidelines on the rapid assessment of biological diversity of inland waters, the Executive Secretary held discussions with representatives from Small Island Developing States to define areas of future collaboration. In this regard, the representatives developed a recommendation included in document UNEP/CBD/SBSTTA/8/8/ADD5.

23. The Executive Secretary posted notification 2002-074 reminding Parties and other Governments to inform the Secretariat whether their inland waters have suffered from ecological disasters. As of 25 November 2002, Bahrain, Estonia, Morocco Oman, Spain, Latvia, Denmark, Nepal and Poland provided the Secretariat with relevant information.

24. Case studies on community involvement in river basin or water resources management; planning procedures and mechanisms; monitoring and research; policies and legal instruments; management interventions; transboundary/international cooperation; and institutional arrangement, received in response to notification 2002-072, were made available on the web page on inland water biodiversity.

(c) Preparation of the 2003 International Year of Freshwater

25. The Executive Secretary interacted with the Commission on Sustainable Development in preparation of the 2003 International Year of Freshwater and contributed to the UN water brochure.

**DECISION V/3 PROGRESS REPORT ON THE IMPLEMENTATION OF THE  
PROGRAMME OF WORK ON MARINE AND COASTAL BIOLOGICAL DIVERSITY**



**AND**  
**DECISION VI/3 MARINE AND COASTAL BIOLOGICAL DIVERSITY**

26. In decision VI/3, the Conference of the Parties requested the Executive Secretary to:
- (i) Continue facilitating the implementation of the specific work plan on coral bleaching and the work plan on physical degradation and destruction of coral reefs;
  - (ii) Continue developing the work plan on physical degradation and destruction of coral reefs; and
  - (iii) Strengthen collaboration with the Regional Seas Conventions and Action Plans.

27. In response to these requests, the Executive Secretary is continuing its collaboration with the Regional Seas Conventions, the Intergovernmental Oceanographic Commission (IOC) and, particularly, the [International Coral Reef Initiative](#) (ICRI) and the Global Coral Reef Monitoring Network (GCRMN), through which most of the activities in the work plans on coral bleaching and on physical degradation and destruction of coral reefs are being carried out. The Status of Coral Reefs of the World 2002 Report was released in mid-December. This report is the most authoritative report of its kind, and provides decision-makers and coastal managers with comprehensive information about the health of coral reefs worldwide. The CBD Secretariat was a co-sponsor of this report, and a foreword by the Executive Secretary appears in the report. An official CBD event launching the report is planned during SBSTTA-8.

(a) Questionnaire on the status of implementation of the Work Programme

28. The Secretariat has finalized a review of the implementation of the programme of work on marine and coastal biological diversity. This review is based on the National Reports and National Biodiversity Strategies and Action Plans submitted by Parties, and on questionnaires sent to international and regional organizations. The review also considered actions undertaken by the Executive Secretary in facilitating the implementation of the programme of work. The main conclusion of the review was that although much work is being undertaken on the national, regional and global levels to implement the programme of work, more needs to be done to halt the degradation and loss of marine and coastal biological diversity globally. The review provided suggestions for potential refinement of the programme of work, based on priorities identified by the World Summit on Sustainable Development, by Parties and by international organizations. The review will be presented for consideration at the eighth meeting of SBSTTA.

(b) Ad Hoc Technical Expert Groups

29. The ad hoc technical expert groups on marine and coastal protected areas and on mariculture have now finalized their work. The respective reports will be presented as information documents for the eighth meeting of SBSTTA.

(c) Integrated marine and coastal area management

30. The CBD web site is providing a platform for an internet-based discussion on integrating biodiversity concerns into integrated marine and coastal area management (IMCAM) objectives. This activity is part of the effort undertaken by the Government of the Netherlands (in cooperation with SCBD, the GPA and international agencies such as Wetlands International), aiming for improved integration of the Convention's objectives in IMCAM projects at the regional, national and local levels. The final product from these activities will be a guidance document on undertaking IMCAM projects from a biodiversity perspective, and can be utilized by Parties for implementation of decisions IV/5 and V/3. In order to make this document comprehensive, the Coastal Zone Management Centre of the Netherlands is conducting a web discussion amongst IMCAM practitioners from around the world in order to incorporate their views on IMCAM and biodiversity, and to contribute to the final document.

**DECISION V/4 PROGRESS REPORT ON THE IMPLEMENTATION OF THE  
PROGRAMME OF WORK FOR FOREST BIOLOGICAL DIVERSITY  
AND  
DECISION VI/22 FOREST BIOLOGICAL DIVERSITY**

31. In decision VI/22, the Conference of the Parties requested the Executive Secretary to:
- (i) Initiate, in collaboration with the United Nations Forum on Forests (UNFF) Secretariat and the Collaborative Partnership on Forests (CPF), actions on the following areas identified as important first steps towards the implementation of the expanded work programme: ecosystem approach, collaboration with other bodies, cross-sectoral integration, protected areas, forest law enforcement and related trade, sustainable use, benefit-sharing and servicing capacity-building (paragraph 19);
  - (ii) Develop, in consultation with other partners, a format to report on the implementation of the expanded programme of work (paragraph 22);
  - (iii) Develop a format for voluntary thematic national reports (paragraph 27); and
  - (iv) Implement, in collaboration with the United Nations Forum on Forests, collaborative actions for selected items in the expanded programme of work (paragraph 37).

32. In response to these decisions, the following activities were carried out:

(a) Implementation of the expanded work programme

33. At its meeting held in New York from 3 to 4 October 2002, the members of the CPF agreed to appoint the CBD Secretariat as the focal point for forest biological diversity within the CPF. Concurrently, the CPF members agreed to provide information to the Secretariat on their current activities and possible future contributions related to decision VI/22 (paragraph 39).

34. Preparations of the pre-session documents for the ninth meeting of SBSTTA on the ecosystem approach and sustainable forest management are underway.

35. The Secretariat participated in the first meeting of the CPF Task Force on Streamlining Forest-related Reporting in November 2002. Members of the Task Force are currently analyzing different reporting formats and working towards drafting a set of concrete recommendations to be disseminated among CPF members and through the UNFF.

36. The Secretariat is working on the development of the report pertaining to the "Management of forest biodiversity, sustainable use to derive products and services and benefit-sharing". A notification together with a questionnaire were both sent in October 2002 to Parties to request their contribution and inputs.

(b) Report formats

37. The draft format for the voluntary thematic report was sent to the national focal points and the COP Bureau in November 2002 for review. The format for the third national report which includes a set of questions based on the goals of the expanded programme of work on forest biological diversity was also prepared, and was shared at the first meeting of the CPF Task Force for a comparative analysis among different reporting formats related to forests.

(c) Collaboration with UNFF

38. A meeting has been agreed between the Coordinator of UNFF and the Executive Secretary of the CBD Secretariat to identify ways to strengthen cooperation between UNFF and CBD, and collaboration within the CPF.

**DECISION V/5 AGRICULTURAL BIOLOGICAL DIVERSITY: REVIEW OF PHASE I OF  
THE PROGRAMME OF WORK AND ADOPTION OF A MULTI-YEAR WORK  
PROGRAMME  
AND  
DECISION VI/5 AGRICULTURAL BIOLOGICAL DIVERSITY**

39. In decision VI/5, the Conference of the Parties requested the Executive Secretary to:
- (i) Undertake, in collaboration with other institutions, the necessary steps for the full implementation of the programme of work as contained in Table 1 of the annex to the decision and to report:
    - a preliminary assessment of status and trends of agricultural biodiversity, in collaboration with FAO and the Millennium Ecosystem Assessment, to be submitted for consideration by SBSTTA prior to the seventh meeting of the Conference of the Parties; and,
    - an analysis of lessons learned from case-studies, in collaboration with FAO, WTO, Parties and other relevant organizations, to be submitted for consideration by SBSTTA prior to the seventh meeting of the Conference of the Parties, including on the following issues: cost-effective practices and technologies, partnerships and forums, and mainstreaming;
    - Prepare a draft format for the thematic report on agrobiodiversity and report to the seventh meeting of the Conference of the Parties; and
    - Study further the impacts of trade liberalization on agrobiodiversity in collaboration with UNEP, FAO, WTO and other relevant organizations.
40. In the same decision, the COP:
- (ii) Established an ad hoc technical expert group on the potential impacts of genetic use restriction technologies on smallholder farmers, indigenous and local communities and Farmers' Rights, and requested the ad hoc technical expert group to report to the Ad Hoc Open-ended Wording Group on Article 8(j) and related provisions and to SBSTTA prior to the seventh meeting of the Conference of the Parties (paragraph 21).
41. In response to these decisions, the following activities were carried out:
- (a) Programme of work and reporting schedule
42. The Secretariat continued its work on preparing proposals of draft outlines for assessments and case-studies to be discussed with the relevant organizations.
- (b) Draft format for the thematic report
43. A draft format has been prepared and sent to the SBSTTA Bureau and SBSTTA focal points for their comments. Further discussions will take place during a satellite meeting at SBSTTA-8.
- (c) Further study on the impacts of trade liberalization on agrobiodiversity

44. The Secretariat is preparing the discussion paper on “positive incentive measures and negotiation process under WTO Agreement on Agriculture” as planned based on the comments received from organizations.

(d) Ad hoc technical expert group on genetic use restriction technologies

45. Arrangements are being made to convene a meeting of the ad hoc technical expert group in Montreal, 17-19 February 2003, and to invite Parties, smallholder farmers, local and indigenous communities and other organizations to provide views and comments on the subject prior to the meeting.

(e) The review of the International Pollinators Initiative

46. The Secretariat participated in the ninth regular session of the Commission on Genetic Resources for Food and Agriculture held in Rome from 14 to 18 October 2002. Prior to this meeting, the Secretariat was also represented in a satellite event organized by the Inter-Departmental Working Group on Biological Diversity for Food and Agriculture on “Biodiversity and Ecosystem Approach in Agriculture, Forestry and Fisheries” held from 12 to 13 October 2002.

## DECISION V/6 AND DECISION VI/12

### ECOSYSTEM APPROACH

47. In paragraph 2 of decision VI/12, the Conference of the Parties requested the Executive Secretary to:

- (i) Continue the compilation and dissemination of case-studies and lessons learned and report to SBSTTA prior to the seventh meeting of the Conference of the Parties;
- (ii) Carry out the activity specified in subparagraph (a) above in collaboration with relevant organizations and bodies, in particular the United Nations Forum on Forests, to convene a meeting of experts to compare the ecosystem approach with sustainable forest management and develop proposals for their integration; and
- (iii) Develop proposals for the refinement of the principles and operational guidance.

48. In response to this request, the Executive Secretary executed the following activities:

(a) Case studies

49. A Workshop “Further Development of the Ecosystem Approach” organized by the German Federal Agency for Nature Conservation at the International Academy for Nature Conservation in the Isle of Vilm, Germany (October, 2002), was attended by the Secretariat. The workshop considered lessons learned from recent experiences and proposed ways to foster an enabling environment for applying the ecosystem approach including the development of mechanisms to monitor progress. It also discussed how to improve the formulation and understanding of the ecosystem approach.

(b) Proposals

50. Proposals are being further developed by incorporating critical outputs from the Workshop mentioned above as well as information from the pathfinder workshops organized by the IUCN Commission on Ecosystem Management in collaboration with the CBD Secretariat and other organizations in 2000. In this regard, a meeting took place at the Secretariat to discuss ways and means for the finalization of the report of the pathfinder workshops.

(c) Participation in a consultative meeting on forest landscape restoration

51. The Secretariat participated in a Consultative Meeting organized by the United Kingdom Forestry Commission, IUCN and WWF on "Building Assets for People and Nature: A Global Initiative to Catalyze and Reinforce Forest Landscape Restoration". As the Convention on Biological Diversity and other biodiversity-related conventions have provisions on restoration of forests, afforestation and reforestation, the Secretariat will continue to liaise with the global initiative on Forest Landscape Restoration. A follow-up meeting was held at the Secretariat with IUCN representatives to agree on future steps prior to SBSTTA-9. The Secretariat discussed with IUCN representatives on the possibility of them attending SBSTTA-8 to present ongoing efforts regarding landscape restoration (currently undertaken along with CPF members, ITTO and CIFOR) as a means to further promoting its inclusion as an item for in-depth consideration at future SBSTTA meetings. IUCN also plans to carry out an intersessional meeting on landscape restoration prior to UNFF-5 and asked for the CBD Secretariat's cooperation on this issue.

### **DECISION V/7 AND DECISION VI/7 IDENTIFICATION, MONITORING, INDICATORS AND ASSESSMENT**

#### Impact assessment

52. In paragraph 3 of decision VI/7 A, the Conference of the Parties requested the Executive Secretary to:

- (i) Compile and disseminate, through the Clearing-house Mechanism and other means of communication, current experiences in environmental impact assessment and strategic environmental assessment procedures that incorporate biodiversity-related issues, as well as experiences of Parties in applying the guidelines;
- (ii) Prepare, in collaboration with relevant organizations, in particular the International Association for Impact Assessment, proposals for further development and refinement of the guidelines; and
- (iii) Provide a report of this work to the SBSTTA prior to the seventh meeting of the Conference of the Parties.

53. In response to this decision, the Secretariat is continuing its collaboration with the International Association for Impact Assessment (IAIA) in promoting the integration of biodiversity considerations into impact assessment through the exchange of case studies and relevant information. Following the adoption of the draft resolution on the CBD Guidelines for incorporating biodiversity-related issues into environmental impact assessment (EIA) legislation by the eighth conference of the Contracting Parties to the Ramsar Convention on Wetlands, held in Valencia, Spain, from 18 to 26 November 2002, the CBD Secretariat and the Ramsar Convention Bureau is currently considering holding a joint session on incorporating biodiversity-related issues into impact assessment at the next IAIA annual conference scheduled in June 2003.

#### Indicators

54. In decision VI/7 B, the Conference of the Parties requested the Executive Secretary to:

- (i) Report on the development and use of indicators in all thematic areas and cross-cutting issues to SBSTTA prior to the seventh meeting of the Conference of the Parties;
- (ii) Convene a technical expert meeting to further develop the three annexes on ongoing work on indicators on the following issues:
  - Principles for developing national-level monitoring and indicators;

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- A set of standard questions for developing national-level indicators; and
  - A list of available and potential indicators based on a conceptual framework that has qualitative and quantitative approach.
- (iii) Report to a SBSTTA meeting prior to seventh meeting of the Conference of the Parties.

55. In response to this decision, the following activities were carried out:

(a) Indicators questionnaire.

56. In mid-October, a notification was sent to the national focal points of the Parties that have not yet responded to the May 2001 questionnaire. The Secretariat is planning on updating the analysis based on additional responses received, as well as using this information for preparing background documents for upcoming meetings on biodiversity indicators.

(b) Report on development and use of indicators

57. Indicators were considered in the two ad hoc technical expert group meeting reports on biodiversity and climate change and dry and sub-humid lands, These reports will be finalized and made available as an information document for SBSTTA-8.

(c) Expert group meeting

58. The expert group meeting on indicators of biological diversity has been postponed and will be held from 10 to 12 February 2003 instead of December 2002. Experts for the meeting have been nominated and selected in consultation with SBSTTA Bureau. Documentation of the meeting is being finalized.

(d) 33rd meeting of the OECD Working Group on Environmental Information and Outlooks

59. The Secretariat participated in the 33rd meeting of the Working Group on Environmental Information and Outlooks (WGEIO) organized by the OECD in Paris from 16 to 18 October 2002, which held a special session on national and international approaches to the development of biodiversity indicators. The Secretariat delivered a short presentation outlining decision VI/7 B and sought collaboration with the participating OECD member States, as well as international organizations present. In addition, the global targets for the year 2010 from the Global Strategy for Plant Conservation (decision VI/9) were introduced during the meeting. Participants at this meeting have been informed and contacted for possible contribution to the biodiversity indicators meeting to be organized by the Secretariat in February 2003.

(e) Discussions with the Ramsar Bureau on harmonized criteria

60. In the framework of harmonizing criteria for the identification of inland water biodiversity important for conservation and sustainable use (paragraph 12 in annex of decision IV/4) discussions are being held with the Ramsar Bureau on criteria and indicators of biological diversity.

(f) Collaboration within the United Nations Forum on Forests (UNFF)

61. In response to decision VI/22, paragraph 19 (b), the Secretariat and the United Nations Forum on Forests (UNFF) have identified common elements in the proposals for action of the International Forum on Forests/International Panel on Forests and the programme of work of the Convention on Biological Diversity on forest biological diversity. In UNFF element 7 on criteria and indicators for sustainable forest management, activity 4 involves developing, refining, disseminating and using criteria and indicators for the assessment and prediction of the status and trends of, and threats to, components of forest biodiversity

important for conservation and sustainable use. Moreover, activity 9 C pertains to identifying key structural ecosystem elements to be used as indicators for decision-making.

(g) Biodiversity Indicators for National Use (BINU)

62. The Secretariat is participating in the GEF-funded medium-sized project coordinated by the UNEP World Conservation Monitoring Centre (WCMC) on Biodiversity Indicators for National Use (BINU) as a member of the Steering Committee. In a telephone conference held on 11 October 2002, progress was reviewed on this initiative designed to test the development of indicator frameworks for forests, agricultural land, inland waters and marine and coastal areas in four participating countries (Ecuador, Kenya, Philippines, and Ukraine).

Scientific Assessments

63. In decision VI/7 C, the Conference of the Parties requested SBSTTA to review the findings of the Millennium Ecosystem Assessment and provide recommendations to the Conference of the Parties based on the review (paragraph 2). In addition, in paragraph 29(b) of decision V/20, the Conference of the Parties requested SBSTTA to, *inter alia*, undertake a limited number of pilot scientific assessment projects, to facilitate and support the implementation of these projects; and, at an appropriate stage, to carry out an evaluation of them.

64. In response to this request, the Secretariat is following the developments of the Millennium Ecosystem Assessment and making arrangements for the requested review. With regard to pilot scientific assessment projects, the Executive Secretary developed, in collaboration with the Global Invasive Species Programme (GISP), appropriate institutions, Parties and other bodies, a pilot study on the impacts of invasive alien species on island ecosystems, to facilitate the work of SBSTTA. In preparation of a nine-month assessment on the biological and socio-economic impacts of invasive alien species on island ecosystems, an expert consultation (Honolulu, 18-19 October 2002) has been held to develop the assessment design, identify data sources, identify the assessment team and prepare relevant documentation.

**DECISION V/8 AND DECISION VI/23**

**ALIEN SPECIES THAT THREATEN ECOSYSTEMS, HABITATS OR SPECIES**

65. In decision VI/23, the COP adopted the guiding principles for the prevention, introduction and mitigation of impacts of invasive alien species and requested the Executive Secretary to:

- (a) Carry out specific activities with GISP and other relevant organizations including developing a joint programme of work among the Convention on Biological Diversity, the Global Invasive Species Programme (GISP), the Convention on Wetlands (Ramsar, Iran, 1971), the International Maritime organization, the International Plant Protection Convention and other relevant bodies (paragraph 26);
- (b) Support the development and dissemination on technical tools and related information (paragraph 28);
- (c) Integrate invasive alien species considerations into thematic work programmes of the Convention and when reporting on the thematic work programmes to report specifically on how the threats and impacts of invasive alien species will be addressed (paragraph 29);
- (d) Explore means to facilitate capacity enhancement for eradication work on alien species on continents and islands (paragraph 31); and

- (e) Identify mechanism(s) for providing Parties with access to financial support for rapidly responding to new incursions by alien species in collaboration with GISP, Global Environment Facility (GEF), FAO and the OECD (paragraph 33).

66. In response to the requests, the following progress has been made:

(a) Collaboration with the Global Invasive Species Programme

67. Expert consultation on the impacts of invasive alien species on islands ecosystems was carried out by GISP in collaboration with the CBD Secretariat and U.S. Agency for International Development (USAID) in Hawaii from 18 to 19 October 2002. GISP is expected to deliver a short review on the biological and socio-economic impacts on invasive alien species on island ecosystems by July 2003. A design workshop is being organized to address the impacts of invasive alien species on inland water biodiversity.

(b) Collaboration with International Plant Protection Convention (IPPC)

68. Work is underway to develop a MOU with the IPPC. The Secretary of IPPC will visit the CBD Secretariat in February 2003 to agree on joint activities.

**DECISION V/9 GLOBAL TAXONOMY INITIATIVE: IMPLEMENTATION AND FURTHER ADVANCE OF SUGGESTIONS FOR ACTION**

**AND**

**DECISION VI/8 GLOBAL TAXONOMY INITIATIVE**

69. In decision VI/8, the Conference of the Parties requested the Executive Secretary to:
- (i) Initiate regional meetings of scientists, managers and policy makers to prioritize the most urgent global taxonomic needs (paragraph 3 (b) of decision V/9, paragraph 3 of decision VI/8 and Planned Activities 2 and 3 of the Global Taxonomy Initiative programme of work endorsed by decision VI/8);
  - (ii) Coordinate activities of the Global Taxonomy Initiative with existing initiatives such as the Global Biodiversity Information Facility and the Clearing-house Mechanism (paragraph 4 of decision VI/8); and
  - (iii) Complete the guide to the Global Taxonomy Initiative (paragraph 5 of decision VI/8).
70. In the same decision, the Conference of the Parties requested the Parties to:
- (iv) Initiate the setting up of national and regional taxonomic networks to implement the CBD (paragraph 6 (c) of decision VI/8);
  - (v) Raise awareness of the Global Taxonomy Initiative (Planned activity of the Global Taxonomy Initiative programme of work, as endorsed by decision VI/8); and
  - (vi) Facilitate an improved infrastructure for access to taxonomic information (operational objective 3 the Global Taxonomy Initiative programme of work, as endorsed by decision VI/8).

71. In response to these requests, the following activities have been carried out:

(a) Regional and global meetings



72. Plans have been made, in conjunction with UNESCO and BioNET-INTERNATIONAL, to hold a meeting in Paris in February 2003 with representatives of various initiatives to move forward on actions and commitments to implement the GTI programme of work.

(b) Coordination of activities with Global Biodiversity Information Facility (GBIF) and the CHM

73. Collaboration has continued between the GTI, the clearing-house mechanism of the Convention, the Global Biodiversity Information Facility (GBIF) and other initiatives, as listed in the programme of work. The GTI participated in the outreach and capacity building science subcommittee meeting in Costa Rica in October 2002. The Executive Secretary was represented by the GTI at the GBIF Governing Body meeting in Costa Rica in October 2002. The GTI, the Global Biological Information Facility and the clearing-house mechanism have maintained informal contacts to ensure maximum coordination. Informal linkages have also been maintained with the Global Invasive Species Programme (GISP) in respect of taxonomic needs.

(c) Parties to set up taxonomic networks

74. The formulation workshop for an Andean taxonomic network was attended in October 13-18 2002. The document produced as a result of the meeting scoping their future activities was strongly based on the GTI programme of work.

(d) Raise awareness of the GTI

75. Presentations on the GTI were made at the Latin American Botanical Congress in Colombia on October 14, the International Congress on Protected Areas and Sustainable Development in Egypt, October 23-26, and Conservation International, Washington, November 7, 2002.

**DECISION V/10 AND DECISION VI/9  
GLOBAL STRATEGY FOR PLANT CONSERVATION**

76. In decision VI/9, the Conference of the Parties requested SBSTTA to:

- (i) Take the targets into consideration in its periodic reviews of the thematic and cross-cutting programmes of work; and
- (ii) Develop ways and means for promoting implementation of the Strategy, and for monitoring and assessing progress; and to report to the seventh meeting of the Conference of the Parties.

77. In the same decision, the Conference of the Parties requested the Executive Secretary to:

- (iii) Implement the further work required to develop and implement the Strategy giving due consideration to the need to:
  - Clarify the scope of activities, develop sub-targets or milestones for each target and, develop baseline data and indicators to monitor progress towards achieving the targets;
  - Develop regional components of the Strategy;
  - Establish, if appropriate, a flexible coordination mechanism.

78. In response to these decisions, the following activities were carried out:

(a) SBSTTA to take the targets into consideration in its periodic reviews

79. The targets have been considered in the preparation of SBSTTA-8 pre-session documents for programme of work on dry and sub-humid lands and inland water biodiversity.

(b) Promotion of the implementation of the Strategy

80. The Executive Secretary convened a liaison group meeting to initiate the preparation of further work to be implemented prior to the ninth meeting of SBSTTA. The meeting was held in Cartagena, on 11 and 12 October, in collaboration with Botanic Gardens Conservation International (BGCI) and the Jardín Botánico "Guillermo Pineros". The Group prepared a set of recommendations to the Executive Secretary, which is available from the Secretariat through the clearing-house mechanism.

**DECISION V/21 COOPERATION WITH OTHER BODIES  
AND  
DECISION VI/20 COOPERATION WITH OTHER ORGANIZATIONS, INITIATIVES AND  
CONVENTIONS**

81. The Conference of the Parties in decision VI/20 requested SBSTTA and the Executive Secretary to:

/...

- (i) Continue to cooperate with the United Nations Framework Convention on Climate Change (UNFCCC), including its Kyoto Protocol, and the Intergovernmental Panel on Climate Change (IPCC) on relevant issues such as dry and sub-humid lands, agricultural biological diversity, forest biological diversity, marine and coastal biological diversity, especially on coral reefs, and incentive measures and impacts of measures envisaged under the Kyoto Protocol with a view to maximizing synergies between these processes (paragraph 9);
- (ii) Recognize the need to take immediate actions under Convention on Biological Diversity and UNFCCC to reduce and mitigate the impacts of climate change on the biodiversity of coral reefs (paragraph 10); and
- (iii) Carry out further work with the UNFCCC Subsidiary Body on Scientific and Technical Advice (SBSTA), especially on issues relating to the national level (paragraph 13).

82. In response to these requests, the following activities were carried out:

(a) Cooperation with the UNFCCC.

83. The Secretariat participated in the eighth session of the UNFCCC Conference of the Parties, as well as the seventeenth session of the SBSTA, which took place in New Delhi from 23 October to 1 November 2002, and contributed in the discussion regarding cooperation with other conventions, including in particular the operationalization of the joint liaison group through, *inter alia*, the organization of a joint workshop to promote coordination of activities relevant to the three Rio Conventions at the national level.

84. The Secretariat has also contributed to its ongoing role as general coordinator for the preparation, handling of reviews, and final compilation of the AHTEG report on climate change and biodiversity, which needs to be finalized before SBSTA-9, and the ninth session of the Conference of the Parties to the UNFCCC. The UNFCCC provided substantial input to the Secretariat regarding the first draft of the report. Peer reviews were received and these were later circulated to the authors of relevant chapters during the months of October and November 2002. A revised version of the draft report will be circulated to a larger number of experts and governments during January 2003. The Secretariat also collaborated with UNFCCC as members of the CPF Task Force on Streamlining Forest-related Reporting, which held its first meeting in November 2002.

(b) Cooperation with the “Institut de l’énergie et de l’environnement de la Francophonie (IEPF)”.

85. Representatives of IEPF including its Executive Director visited the CBD Secretariat on 10 December 2002. It was agreed that a memorandum of understanding (MOU) would be developed between IEPF and CBD Secretariat including joint activities for 2003 and 2004. The Executive Secretary contributed an article to the IEPF journal “Liaison Energie-Francophonie”, special issue 55-56-57 for WSSD. The article is an assessment of progress in the framework of CBD since Rio. IEPF is a subsidiary body of the intergovernmental agency of French-speaking countries established to contribute to the strengthening of national capacities and promote partnerships in the field of energy and environment.

86. The Executive Secretary participated in the session of WTO’s Committee on Trade and Environment (CTE) to address the issue of the strengthening of cooperation between the two organizations. In addition, he met with a number of senior WTO officials to discuss matters of common interest including the granting of observer status to the SCBD in relevant WTO Committees.

87. Cooperation with the Group of Like-minded Mega-diverse countries was enhanced with the participation of the Secretariat in the meeting of the Group in Peru, in November.

**DECISION V/23 CONSIDERATION OF OPTIONS FOR CONSERVATION AND  
SUSTAINABLE USE OF BIOLOGICAL DIVERSITY IN DRYLAND, MEDITERRANEAN,  
ARID, SEMI-ARID, GRASSLAND AND SAVANNAH ECOSYSTEMS  
AND  
DECISION VI/4 BIOLOGICAL DIVERSITY OF DRY AND SUB-HUMID LANDS**

88. In decision V/23, the Conference of the Parties requested the Executive Secretary to:
- (i) Review the programme of work in close collaboration with the Executive Secretary of the United Nations Convention to Combat Desertification (UNCCD) and other relevant bodies to provide synergy and avoid duplication (paragraph 6 of decision V/23);
  - (ii) Collaborate with the Secretariat of the UNCCD, and others, in the implementation and further elaboration of the programme of work (paragraph 8 of decision V/23);
  - (iii) Establish a roster of experts in close collaboration with the Executive Secretary of the UNCCD and other relevant bodies to provide synergy and avoid duplication (paragraph 9 of decision V/23);
  - (iv) Make available relevant information on the biological diversity of dry and sub-humid lands (paragraph 9 of decision V/23); and
  - (v) Establish an ad hoc technical working group (paragraph 7 of decision V/23)
89. In decision VI/4, the Conference of the Parties requested the Executive Secretary to prepare, in collaboration with the secretariats of relevant conventions, proposals to develop a mechanism to coordinate activities in biodiversity, desertification/land degradation and climate change (paragraph 2).
90. In response to these decisions, the following activities were carried out:
- (a) Review of the programme of work
91. The results from previous consultations through a questionnaire in August and an AHTEG in September were included and reflected in SBSTTA-8 document UNEP/CBD/SBSTTA/8/10.
- (b) Second ad hoc technical expert group meeting on dry and sub-humid lands
92. The report of the meeting was finalized as an information document for SBSTTA-8 (UNEP/CBD/SBSTTA/INF/2).
- (c) Joint work programme with the United Nations Convention to Combat Desertification:
93. The joint programme of work has been circulated within a UNCCD network (TC-CCD). A short intervention was made during the first meeting of the Committee for the Review of the Implementation of the UNCCD (CRIC), held from 11 to 22 November 2002 in Rome. The CBD Secretariat participated in the first week of the meeting, during which meetings with the UNCCD Secretariat members were held with a view to discussing the joint work programme (JWP) and strategizing further ways of cooperation. Outcomes from the CRIC process are reflected in Section IV of SBSTTA document UNEP/CBD/SBSTTA/8/10 on synergies. A UNCCD proposal on conducting a community-level workshop on agroforestry techniques, including biodiversity, for delegates from the IGAD and SADC regions, was reviewed by the CBD Secretariat and inputs were subsequently provided. The potential for collaborative work in further refining the proposal are being reviewed. A draft proposal for a training workshop for UNCCD and CBD Focal Points on the Programme of Work on dry and sub-humid lands and especially the JWP has been drafted and circulated in-house.

(d) Dissemination of relevant information

94. A briefing note reflecting on the programme of work on dry and sub-humid lands, relevant SBSTTA document specifying refined outputs, timeframes for action and potential collaborating partners, the Joint Work Programme of the CBD and UNCCD as well as the two AHTEG meetings were circulated through the TC-CCD network.

(e) Proposals for the development of a coordination mechanism

95. The Executive Secretary prepared relevant proposals in consultation with the UNCCD, and inputs from the CRIC meeting for consideration by SBSTTA-8.

96. In addition, the Executive Secretary carried out the following activities:

(a) Integration of the targets of the global strategy for plant conservation (VI/9.10(a))

97. The targets of the Global Strategy for Plant Conservation are considered in SBSTTA document UNEP/CBD/SBSTTA/8/10 and should be specified prior to COP-7.

(b) Indicators and Assessments (VI/7)

98. Biodiversity indicators have been one of the main issues of the ad hoc technical working group on dry and sub-humid lands and will further be discussed during the upcoming CBD indicators meeting in February, to which dry and sub-humid lands experts have been invited. SBSTTA document UNEP/CBD/SBSTTA/8/10 explicitly reports on periodic assessments and makes proposals for the further undertaking of such assessments. A first working contact has been established with the Global Land Degradation Assessment (LADA) at FAO, based on recommendations made by the AHTEG. The potential role LADA could play in the periodic assessment of the status and trends of biodiversity of dry and sub-humid lands is being reviewed and a preliminary proposal is currently in preparation.

(c) Cooperation (VI/20)

99. Emphasis has been placed on establishing and strengthening collaboration with the UNCCD. The jointly developed proposals (UNEP/CBD/SBSTTA/8/10) reflect key aspects in this regard.

(d) Technology transfer (VI/30)

100. SBSTTA document UNEP/CBD/SBSTTA/8/7ADD1 reflects on technology transfer relevant to dry and sub-humid lands. Additional examples of technologies are being gathered.

**DECISION VI/6 THE INTERNATIONAL TREATY ON PLANT GENETIC RESOURCES  
FOR FOOD AND AGRICULTURE**

101. Decision VI/6, the Conference of the Parties requested the Executive Secretary to develop cooperation with the Secretariat of the Commission on Genetic Resources for Food and Agriculture, acting as the Interim Committee for the International Treaty on Plant Genetic Resources for Food and Agriculture (paragraph 5).

102. In response to these decisions, the Secretariat participated in the first meeting of the Interim Committee held in FAO, Rome from 9 to 11 October 2002. Agenda item 5.1(e) on cooperation with relevant international bodies, including the CBD, has been postponed to the next meeting of the Commission acting as Interim Committee. Based on the outcome of the meeting, the Secretariat is preparing a memorandum of cooperation between the two Secretariats.

#### **DECISION VI/29 ADMINISTRATION OF THE CONVENTION AND THE BUDGET FOR THE PROGRAMME OF WORK FOR THE BIENNIUM 2003-2004**

103. In decision VI/29, the COP welcomes the generous offer of the Botanic Gardens Conservation International to second a staff member to the Secretariat to promote the implementation of the Global Strategy for Plant Conservation and requests the Executive Secretary to enter arrangements to this end, in accordance with paragraph 29 of the same decision.

104. Arrangements are being made with Botanic Gardens Conservation International regarding the two-year secondment of a programme officer for the Global Strategy for Plant Conservation.

#### **DECISION VI/30 PREPARATIONS FOR THE SEVENTH MEETING OF THE CONFERENCE OF THE PARTIES**

105. In decision VI/30, the Conference of the Parties welcomed the proposals put forward by the Executive Secretary in his note on preparations for the seventh meeting of the Conference of the Parties and requested that preparation for the priority themes for the seventh meeting of the Conference of the Parties continue as outlined in that document.

106. With regard to mountain biodiversity and technology transfer and cooperation, the Executive Secretary prepared document UNEP/CBD/SBSTTA/8/7/ADD1 for SBSTTA-8:

107. In addition, the Executive Secretary has been invited to participate in the organization of the Trondheim workshop on technology transfer and capacity building, scheduled from 23 to 27 June 2003 in Norway. The Executive Secretary is also having discussions with two countries in Europe regarding the possibility of their hosting the AHTEG meetings on protected areas and mountain biodiversity.

#### **SOCIAL ECONOMIC AND LEGAL MATTERS**

108. During the last quarter of 2002, work of the SEL unit focused mainly on initiating implementation of decisions of the sixth meeting of the Conference of the Parties of concern to the unit. These activities are examined below in some detail. Among the highlights was the preparation of the pre-session documentation for the Open-ended Inter-sessional Meeting on the Multi-year Programme of Work, which is expected to formulate recommendations on such issues as the multi-year programme of work, WSSD's outcome and follow up, review of implementation of the Convention and the Strategic Plan and an international regime on access and benefit-sharing.

#### **DECISION V/15 AND DECISION VI/15 INCENTIVE MEASURES**

109. A number of documents and other input were provided for the upcoming eighth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice as well as the meeting on the Multi-Year Programme of Work, in March 2003. This work included the preparation of an information document for the meeting of the SBSTTA, entitled "Work Plan for Developing Methods and Techniques for the Valuation of Goods and Services of Inland Water Ecosystems, Incentives and Policy Reform, and the Understanding of Ecosystem Function", and the preparation of a document on the "Legal and Socio-Economic Aspects of Technology Transfer" for the meeting on the Multi-Year Programme of Work (UNEP/CBD/MYPOW/5).

110. The Secretariat continued work on the first study envisaged under its programme of work to implement paragraph 17 of Decision VI/17, on agricultural biological diversity, in which the Executive Secretary was requested to further study the impact of trade liberalization on agricultural biological diversity. The Secretariat also continued work on the preparatory documents for the workshop on incentive measures, scheduled for June 2003.

#### Environment and Trade

111. The Secretariat participated in the information exchange session with multilateral environmental agreements of the WTO Committee on Trade and Environment in special session, on 12 November, and in the UNEP-sponsored meeting on enhancing information exchange between the WTO and MEAS, held back-to-back to the WTO meeting on the 11 November. The Secretariat gave a presentation at the UNEP meeting on relevant trade-related provisions in the CBD and the Biosafety Protocol, and presented a policy statement during the WTO information exchange session. The Secretariat also met chairs of several WTO Committees (Committee on Agriculture, Council for Trade-Related Aspects of Intellectual Property Rights, Committee on the Application of Sanitary and Phytosanitary Measures, Committee for Technical Barriers to Trade) as well as high-level representatives of the WTO Secretariat to discuss matters of mutual interest.

#### **DECISION V/16 AND DECISION VI /10 ARTICLE 8 (j) AND RELATED PROVISIONS**

112. In response to decision VI/10 relating to the implementation of Article 8(j) and related provisions, work is in progress to prepare relevant reports and documents for the third meeting of the Ad Hoc Open-ended Intersessional Working Group on Article 8(j) and related provisions.

113. Preparation is also in progress for the meeting of Technical Experts on the clearing-house and traditional knowledge, to be held in Santa Cruz de la Sierra, Bolivia, 24-26 February 2003.

114. The Executive Secretary reviewed the qualification of candidates and selected the team of consultants to be responsible for the preparation of the composite report on the status and trends of traditional knowledge relevant to the conservation and sustainable use of biological diversity. Work on the composite report is scheduled to begin in earnest in January 2003. The report will be submitted to the third meeting of the Ad Hoc Working Group on Article 8 (j) and related provisions.

115. The Secretariat was represented at the fourth meeting of the WIPO-Intergovernmental Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore, which was held in Geneva from 9 -17 December 2002. With regard to traditional knowledge and genetic resources the Committee was directed by the Chair to focus on the proposed tasks relating to each topic and to make general statements to the further development of each document under each item for discussion. Main discussion on each item will take place at the fifth meeting of the Committee, from July 7-15, 2003.

116. The Chair concluded that further development of documents presented for discussion at the fourth meeting would form the basis of the work programme for the fifth meeting of the Committee, which will report to the WIPO General Assembly, in the last quarter of 2003

#### **DECISION V/18 AND DECISION VI/11 IMPACT ASSESSMENT, LIABILITY AND REDRESS**

117. The Executive Secretary continued information gathering on pertinent issues identified in decision VI/11. In particular, relevant information on case studies and developments in private international law has been gathered and the process of analysis initiated. Developments in sectoral international and regional legal instruments are also being monitored with a view to updating the documentation prepared for previous meetings under the Convention process.

#### **DECISION V/24 AND DECISION VI/13 SUSTAINABLE USE AS A CROSS-CUTTING ISSUE**

118. Pursuant to decision VI/13 of the Conference of the Parties, the Secretariat has started preparations for the fourth workshop on sustainable use of biological diversity, which will be held in Ethiopia from 6 to 8 May 2003 with the financial support of the Governments of Norway and the Netherlands. Preliminary contacts were made with Ethiopian authorities to discuss logistical arrangements for the meeting. A Memorandum of Understanding between the CBD and the Ethiopian Environmental Protection Authority was submitted to Ethiopian authorities for comments and signature.

119. The Secretariat has also started work on the relevant documents for the workshop. A synthesis report of the previous three workshops, to serve as the main background document for the meeting, is being drafted. Pursuant to decision VI/13, para 6 and 7, a first draft of the "Progress report on case studies on best practices and lessons learned from the sustainable use of biological diversity under the thematic areas of the Convention" was also completed. This document will be submitted to both the fourth workshop and SBSTTA-9 for their consideration. Case studies are also regularly made available through the clearing-house mechanism of the Convention.

120. Pursuant to decision VI/22, para 19f, of the Conference of the Parties, the Secretariat has also started work on the report pertaining to the "Management of forest biodiversity, sustainable use to derive products and services and benefit sharing". A notification, together with a questionnaire, were sent to Parties and relevant organizations to request their contributions and inputs. In order to facilitate Parties' submissions, an e-mail box where to address responses to the questionnaire was opened and is currently operational ([sustainableuse@biodiv.org](mailto:sustainableuse@biodiv.org)). Two contributions, from Iran and Mauritius, have been received to date.

#### **DECISION V/25 AND DECISION VI/14 BIOLOGICAL DIVERSITY AND TOURISM**

121. The Secretariat completed the review of the draft guidelines on sustainable tourism in vulnerable ecosystems, taking into account comments submitted to the Secretariat by Parties and organizations during the two rounds of consultations and the outcome of the World Ecotourism Summit, as requested by decision VI/14 of the Conference of the Parties. The Secretariat acknowledged submissions through a letter sent to all the contributors to the two consultations. The Secretariat also included comments received during a meeting with the SBSTTA bureau. The revised version is contained in document UNEP/BD/SBSTTA/8/11 for consideration by SBSTTA-8 in March 2003.

122. Pursuant to decision VI/14, para 3c, the Secretariat also completed a first draft of the synopsis of two case studies on the implementation of the guidelines on sustainable tourism in vulnerable ecosystems, to be submitted to SBSTTA-8 as an information document. The full text of the case studies is available on the CBD website. In addition, the Secretariat received case studies on sustainable tourism focusing on Eastern Europe, which were also made available on the CBD website.



123. A presentation on the applicability of the CBD guidelines on sustainable tourism in small island ecosystems was prepared and distributed at a Conference on “Islands Innovations and Sustainable Development”, held in Las Palmas, Canary Islands, Spain, in October 2002.

**DECISION V/26 AND DECISION VI/24  
ACCESS TO GENETIC RESOURCES**

124. As mandated by the Conference of the Parties in decision VI/24B, and further to consultations with the Bureau, the Secretariat made arrangements for the Open-ended Expert Workshop on Capacity-building for Access and Benefit-sharing to held in Montreal, from 2 to 4 December 2002. A number of activities were carried out by the Secretariat in preparation for the workshop:

- A funding letter was sent to potential donor countries seeking financial assistance for the organization of the workshop
- Notifications were sent respectively to developed countries, developing countries and relevant organizations and indigenous and local community representatives to invite them to nominate experts to participate in the workshop

125. Background documents prepared by the Secretariat include:

- a provisional agenda (UNEP/CBD/ABS/EW-CB/1/1);
- an annotated agenda (UNEP/CBD/ABS/EW-CB/1/1/ADD1);
- a background note entitled: Capacity-building for access to genetic resources and benefit-sharing: Synthesis of submissions received on needs, priorities and existing initiatives, and additional elements for consideration in the development of an action plan (UNEP/CBD/ABS/EW-CB/1/2, UNEP/CBD/ABS/EW-CB/1/2/CORR1);
- a compilation of submissions on needs and priorities of Parties and information on existing initiatives on capacity-building for access and benefit-sharing (UNEP/CBD/ABS/EW-CB/1/INF/2, UNEP/CBD/ABS/EW-CB/1/INF/2/ADD1, UNEP/CBD/ABS/EW-CB/1/INF/2/ADD2, UNEP/CBD/ABS/EW-CB/1/INF/2/ADD3)
- Information for participants

126. The workshop was held as planned and included over 100 participants from Governments, inter-governmental organizations, non-governmental organizations, indigenous and local communities, industry and academia. The Workshop agreed on a draft Action Plan on Capacity-building for Access to Genetic Resources and Benefit-sharing for onward transmission to, and adoption by, the Conference of the Parties at its seventh meeting. The draft action plan is included in the annex to the report of the meeting (UNEP/CBD/ABS/EW-CB/1/3).

127. The Secretariat also participated in the following meetings related to access and benefit-sharing: a scoping meeting on capacity-building approaches for access to genetic resources and benefit-sharing, organized by UNU/IAS and UNEP in collaboration with the SCBD, held in Kuala Lumpur on 5-7 October 2002; a meeting of the Group of Like-minded Megadiverse Countries held in Urubamba, Peru, on 27-29 November; and, a workshop on access and benefit-sharing, organized by the Government of Switzerland and held in Berne on 7 November 2002.

**Decision VI/21 Annex to the Hague Ministerial Declaration**

128. The Executive Secretary reviewed and analyzed the results of WSSD and prepared documentation for consideration by the Open-ended Inter-sessional Meeting on the Multi-year Programme of Work in March 2003. The meeting will review WSSD results and make recommendations to the Conference of the

Parties on how best to follow up on the Plan of Implementation and the Johannesburg Declaration on Sustainable Development within the framework of the Convention.

### **Decision VI/26 Strategic Plan**

129. In this decision, the Conference of the Parties adopted a Strategic Plan for the Convention on Biological Diversity, containing a mission statement and a definition of strategic goals and objectives, and requested the Executive Secretary to provide appropriate information to the Parties at an inter-sessional meeting for consideration of the future evaluation of progress in the implementation of the Convention and the Strategic Plan. The Secretariat prepared its work programme for 2002-2004 on this issue, and it will be presented to the Open-ended Inter-sessional Meeting on the Multi-year Programme of Work in March 2003.

### **Decision VI/28 Multi-year programme of work of the Conference of the Parties up to 2010**

130. The Secretariat finalized pre-session documentation for the intersessional meeting to be held in Montreal, in March 2003.

## **BIOSAFETY**

### **DECISION V/1 AND DECISION VI/1**

### **WORK PLAN OF THE INTERGOVERNMENTAL COMMITTEE FOR THE CARTAGENA PROTOCOL ON BIOSAFETY**

#### The Biosafety Protocol

131. As part of the continuing implementation of the recommendations of the third meeting of the ICCP with a special emphasis on activities that will facilitate the smooth functioning of the Protocol (e.g.: capacity building and information exchange/the Biosafety Clearing-House), the Secretariat organized a meeting of a Liaison Group on capacity building for Biosafety to provide expert advice to the Executive Secretary on ways and means to enhance coordination and effective implementation of the Action Plan for Building Capacities for the Effective Implementation of the Protocol endorsed by the ICCP at its second meeting (recommendation 2/9A). The meeting took place from 4 to 5 November 2002 at the office of the Secretariat and brought together approximately 25 experts drawn from governments and relevant organizations (including intergovernmental, non-governmental and private sector organizations).

132. The Liaison Group considered a number of the elements of the coordination mechanism proposed in recommendation 3/5 of ICCP and made suggestions regarding issues, opportunities and strategic options for operationalizing the coordination mechanism. It also made recommendations to improve the implementation of ICCP recommendations on capacity building and relevant issues to be further considered by the first meeting of the COP/MOP, including the development of indicators for monitoring the implementation of the Action Plan. These recommendations will be used by the Executive Secretary, as appropriate, in furthering the continued development of the framework for the capacity building for the implementation of the Protocol, and in the preparation for the relevant documentation for consideration by the first meeting of the COP/MOP regarding capacity building.

133. With regard to the implementation of the recommendations of the ICCP regarding the roster of experts in biosafety, the Secretariat continued with the ongoing development of the roster. Recommendations 2/9 B and 3/4 of the ICCP regarding capacity building requested the Secretariat, as administrator of the roster, to make available a draft annual report on the status and use of the roster, and the voluntary pilot phase of the voluntary fund. The draft annual report is attached herewith as Annex VIII. In addition, recommendation 2/9 B requested the Executive Secretary to distribute a paper copy of

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the roster at the end of each year. This will be sent to all Governments in January 2003 together with a notification to that effect.

134. The workshop on liability and redress in the context of the Biosafety Protocol organized by the Government of Italy with additional funding from the European Commission and administrative support from the Secretariat took place in Rome from 2 to 4 December 2002. It was attended by approximately 60 experts selected by the Secretariat in consultation with the Bureau of the ICCP on the basis of nominations received from Governments, relevant intergovernmental and non-governmental organizations including the private sector and academia. The purpose of the workshop, convened pursuant to the invitation extended by the ICCP at its second and third meetings to Parties to organize such workshops, was to contribute to the build up of understanding on issues relating to liability and redress for damage resulting from transboundary movement of LMOs, in order to facilitate consideration of issues pertaining to article 27 of the Protocol by the first meeting of COP/MOP. The report of the workshop will be made available to the first meeting of the COP/MOP as an information document and is, in the meantime available on the website of the Secretariat (document UNEP/CBD/BS/WS-L&R/1/3).

135. The Bureau of the ICCP held a meeting from 16 to 17 December 2002 which was followed by a joint meeting with the Bureau of COP6 on 18 December 2002 at the office of the Secretariat. The main purpose of the two meetings was to consider matters related to the preparation and organization, including scenarios and modalities, of the first meeting of the Conference of the Parties to the Convention serving as the meeting of the Parties to the Protocol. The minutes of the meetings have been made available on the website of the Secretariat and will also be sent to all Governments. It was agreed that the two Bureaux will hold another joint meeting on 15 March 2003 in Montreal to further consider matters related to the organization of the first meeting of the COP/MOP.

136. The Secretariat continued also the implementation of the recommendations of ICCP concerning the ongoing development of the pilot phase of the Biosafety Clearing-House. In this regard, preparations are under way for the holding of a meeting of technical experts to provide advice to the Executive Secretary on the requirements for the establishment of national components of the BCH as well other technical aspects necessary for the effective functioning of the BCH (e.g. interoperability and controlled vocabulary) at the time of entry into force of the Protocol.

137. Finally, during the reporting period, the Secretariat also participated actively in several workshops, conferences and training courses organized by a number of organizations involved in capacity building for biosafety (e.g. UNEP/GEF, CGIAR), in order to promote synergies and complementarities in capacity building and promote a greater awareness on the Protocol.

138. With regard to the status of ratification of the Biosafety Protocol, it should be noted that, by end of December 2002, 39 Parties to the CBD had ratified or acceded to the Protocol.

## **IMPLEMENTATION AND OUTREACH**

### **DECISION V/11 AND DECISION VI/16**

#### **ADDITIONAL FINANCIAL RESOURCES**

139. In order to promote coordination, coherence and synergies in financing for biological diversity, the Secretariat has prepared a compilation: "An Introduction to Funding Guidance of the Convention on Biological Diversity", and will make this information available on the website of the Convention and possibly as a booklet.

140. The Secretariat is considering the preparation of a compilation: "Guide to Sources of Financing for Biodiversity 2003" in order to make available relevant funding information in a systematic manner.

141. The Secretariat is reviewing project information contained in various reports (national reports, national biodiversity strategies and action plans, as well as submissions) and has explored the possibility of publishing a compilation "Database of Biodiversity-related Projects in Developing Countries around the World".

142. The Secretariat organized an exploratory workshop on the global initiative on banking, business and biodiversity in Beijing, China on 18 October 2002. Some twenty participants discussed a range of issues surrounding the global initiative, including how to define banking, business and biodiversity, and what enabling environment should be created. A meeting with Shell Canada was held in Montreal to explore further opportunities for developing the global initiative. A website on the global initiative is under development, and will be available shortly.

143. The Secretariat reviewed the Johannesburg Plan of Implementation adopted by the World Summit on Sustainable Development with a view to following up on its outcomes that are relevant to additional financial resources. It is concluded that existing COP decisions already respond to the financial outcomes of the World Summit.

144. The Secretariat has assessed information concerning the impacts of external debts on the conservation and sustainable use of biodiversity, and is examining the possibility of utilizing debt for nature initiatives for supporting the implementation of the Convention.

145. The Secretariat has prepared an initial draft for the format on financial resources and mechanism, which is more issue-oriented, in order to assist in preparing the format for the third national report.

**DECISION V/12 REVIEW OF THE EFFECTIVENESS OF THE FINANCIAL  
MECHANISM  
DECISION V/13 ADDITIONAL GUIDANCE TO THE FINANCIAL MECHANISM  
DECISION VI/17 FINANCIAL MECHANISM UNDER THE CONVENTION**

146. A searchable database on the GEF Council decisions by subjects of interest to the Convention has been developed and made available through the website of the Convention. A notification concerning the availability of this information tool was also conveyed to Parties and Governments and other stakeholders of the financial mechanism.

147. The Secretariat attended the twentieth meeting of the GEF Council in Beijing from 14-15 October 2002. The Council approved the proposed Work Programme, and reviewed the outcomes of the third replenishment of the GEF Trust Fund. It also reviewed the fee-based system and issues related to co-financing.

148. The Secretariat participated in the second meeting of the GEF Assembly in Beijing from 16-18 October 2002. The Executive Secretary delivered a much-welcomed statement to the Assembly. A

CBD exhibition was also organized to promote awareness of biodiversity issues among funding practitioners.

149. The Secretariat held discussions with GEF implementing agencies, in particular with the UNEP/GEF biosafety project with a view to making the project more supportive of the entry into force of the Biosafety Protocol. Additional funding needs in the area of biosafety clearing-house and decision-making were identified for immediate attention.

#### **DECISION V/14 SCIENTIFIC TECHNICAL COOPERATION AND THE CLEARING HOUSE MECHANISM (ARTICLE 18)**

##### Clearing-house Mechanism

150. During the period under review the CHM participated in the following meetings and undertook the following activities:

- European Community Clearing-house Mechanism Steering Committee meeting, held in Copenhagen, Denmark, 28 to 29 November 2002. Discussion focused on the possible organization of a joint EC/CHM capacity building regional workshop for Central and Eastern Europe;
- Meeting with representatives of the Global Biodiversity Information Facility, the Governments of Belgium and The Netherlands and the European Community in Copenhagen, Denmark, on 29 November 2002, to discuss joint development of a CHM Toolkit;
- Participated in the organization of a meeting of the Ad Hoc Technical Expert Group on Traditional Knowledge and the Clearing-House Mechanism, to be held in Santa Cruz de la Sierra, Bolivia, on 24 to 26 February 2003;;
- Participation in the development of the Pilot Phase of the Biosafety Clearing-House, in response to Article 20(1) of the Cartagena Protocol on Biosafety;
- Preparation for the CHM regional workshops.

#### **DECISION V/17 EDUCATION AND PUBLIC AWARENESS AND VI/19 COMMUNICATION, EDUCATION AND PUBLIC AWARENESS**

151. Within the context and framework of the International Year of the Mountain, the SCBD sponsored the City of Montreal for the production of a leaflet and the organization of a conference on this theme in collaboration with the Inter-University Research Group on Forest Ecology (GREFi). The Conference was held at University of Quebec at Montreal (UQAM) on November 2002. The key note address/lecture was delivered by Dr. Edwin Bernbaum of the University of California at Berkley who is also the Director of the Sacred Mountains Programme of The Mountain Institute, USA.

152. The following activities have been undertaken during the period under review:

- The next issue of the CBD Newsletter is being prepared.
- Work has been on-going on the production of a CBD wall calendar for 2003.
- A few interviews with the press and media took place in October and November.
- The Secretariat also responded to many requests of information, distributed specific information material in the different UN languages, and updated the Press database.

- The notification for the International Day for Biological Diversity has been released and planning is underway for the celebrations of 2003.

#### Library and documents management

153. From October to December 2002 the main part of the activities was devoted to developing a new interface to integrate the 3 document databases (library, national reports and CBD documents) in collaboration with CHM. A total of 65 references of the bibliographic database of data were edited during the period under review.

#### Publications

154. Preparations continued for the production of the following publications:

- The Bonn Guidelines;
- A STTM Brochure;
- COP6 booklet in Arabic, Chinese, French, Russian and Spanish

155. The following document has been printed:

- COP 6 Decisions booklet in English.

#### CBD web site

156. A first draft of a web page on CBD achievements has been prepared.

### **DECISION V/19 AND DECISION VI/25 NATIONAL REPORTING**

157. In keeping with paragraph 27 of Decision VI/22, the Executive Secretary has prepared the draft guidelines and format for a voluntary report on the implementation of the programme of work on forest biological diversity, and sent it to the SBSTTA Bureau members and all national focal points for comments and inputs. After all the comments received are incorporated, the draft guidelines and format will be submitted to the COP 6 Bureau for approval.

158. In keeping with paragraphs 21 and 22 of Decision VI/22, a format has been prepared on the expanded programme of work and included as a section in the guidelines and format for third national reports.

159. Considering the possible overlaps of information provided by the Parties in the above two reports within a short interval (Note: the voluntary report should be due by COP 7 in early 2004 and the third national report may be due in mid-2005 according to the interval set in accordance with Decision V/19), it was proposed that the voluntary report focuses on selected activities included in the expanded programme of work, and the section in the third national report on forest biological diversity touches on the goals and objectives set in the expanded programme of work. With this, it is understood that the Parties will be in a position to provide comprehensive information on the implementation of the expanded programme of work through a voluntary report and their third national report.

160. In addition, coordination is being undertaken among SCBD, UNFF, CFP, FAO and other organizations and fora to streamline and harmonize the forest-related reporting. A task force established as proposed by CPF is working on how it is possible to achieve this goal. Some proposals emerging from the discussion of the task force undertaken so far have been considered in the process of preparing the

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formats for the above two reports. It should be noted that it is difficult for the time being to harmonize the forest-related reporting under CBD with those under other fora considering the task force is yet to finish its work and there is a need for the respective decision making bodies of each forum to adopt what the task force is going to propose.

161. A first draft of the format for the third national report has been developed and is being circulated within the Secretariat for comments and inputs. It is expected that the draft guidelines and format will be circulated to all national focal points for comments in the next few months. It should be noted that in addition to the requirements contained in paragraph 3 of Decision VI/25, due consideration was also given to some comments and proposals received from the Parties in their submissions on related issues that are to be taken up in the Intersessional Meeting on the Multi-year Programme of Work scheduled from 17 to 20 March, 2003. The guidelines and format for the third national report will be further improved in the light of the relevant comments and recommendations coming out of the afore-mentioned meeting.

162. By the end of December 2002, 21 thematic reports on mountain ecosystems had been received. In addition, the Secretariat has received one thematic report on protected areas and one thematic report on technology cooperation and technology transfer. All the reports received have been posted on the website of the Convention. In addition, a synthesis of information contained in the thematic reports on mountain ecosystems has been prepared and will be presented to SBSTTA 8 for consideration.

163. By the end of December 2002, the Secretariat had received second national reports from 85 countries. A notification has again been sent to those Parties that have not submitted a second national report, requesting them to submit the report as well as provide reasons for late or no submission. In addition, further requests have been sent to some Parties to correct or complete their reports.

164. To follow up with paragraph 8 of Decision VI/25, the Secretariat has provided contribution to the Global Action Plan for Streamlining and Harmonizing National Reporting under Biodiversity-related Conventions, which was drafted by UNEP-WCMC following a recommendation of the meeting of the Environment Management Group.

165. The preparation of the second edition of the Global Biodiversity Outlook is under way. An advisory group has been established to contribute to the preparation of GBO 2. This group will hold its first meeting in Montreal, Canada from 16 to 17 January, 2003.

166. Following a request contained in paragraph 5 of Decision VI/5, the Executive Secretary has prepared a draft format for thematic reports on agricultural biological diversity and included it as a part of the guidelines and format for the third national report.

167. Following a request contained in both paragraph 4 of Decision VI/26 and paragraph 13 of Decision VI/27 A, the Executive Secretary has prepared a pre-session document aiming to provide appropriate information on the future evaluation of progress in the implementation of the Convention and the Strategic Plan. This will be considered by the Parties at the Intersessional Meeting on the Multi-year Programme of Work scheduled from 17 to 20 January, 2003.

168. Logistical and technical arrangements for hosting the Advisory Group meeting of the second edition of the Global Biodiversity Outlook report have been finalized. The meeting will be held on 16-17 January at the SCBD.

#### **DECISION V/20 AND DECISION VI/27 OPERATIONS OF THE CONVENTION**

169. The Executive Secretary has prepared a draft note on the future evaluation of progress in the implementation of the Convention and the Strategic Plan in accordance with the provisions of the Convention. The draft note is one of the substantive documents to be considered by the Open-ended Inter-



Sessional Meeting on the Multi-Year Programme of Work of the Conference of the Parties up to 2010, which will be convened in Montreal, Canada from 17 – 20 March 2003.

170. The Executive Secretary has produced a draft manuscript of an updated version of the Handbook on the Convention on Biological Diversity, which now includes decisions taken by the 6<sup>th</sup> meeting of the Conference of the Parties held in The Hague, The Netherlands in April 2002. The revised Handbook will be published in March 2003.

## ANNEX I

### STATUS OF IMPLEMENTATION OF THE AGREED ADMINISTRATIVE ARRANGEMENTS

#### I Personnel Arrangements

1. During the reporting period, the Secretariat had 30 regularized Professional staff members and 21 regularized General Service staff members funded from the core budget. Additionally, 6 professional posts and 5 General Service posts were funded from other sources. Two new staff members joined the Secretariat during the period under review: Mr. Manuel Guariguata, Programme Officer – Conservation Ecology and Mr. Robert Hoft, Programme Officer – Scientific and Technical Assessments. Additionally, Ms. Adriana Vincent, Secretary - Implementation and Outreach, and Ms. Renata Rubian – Programme Assistant – Liability, Redress and Sustainable Tourism, SEL, joined the Secretariat on a short-term basis. For more information, please refer to the attached staff list and organigram (Appendix 1 and Annex II respectively).

2. The Secretariat initiated a new Fellowship Programme in 2002. Candidates from developing countries were eligible to apply to this six month Programme. The purpose of the SCBD Fellowship Programme is to give nationals from developing countries the opportunity to gain knowledge in the area of biodiversity in order to assist their organizations and communities in protecting and promoting the conservation and sustainable use of biodiversity. It is expected that these fellows will use this opportunity to broaden their professional knowledge and experience on issues they are working on in their countries by acquainting themselves with the issues facing biodiversity conservation and sustainable use worldwide and the functioning of the intergovernmental processes that deal with it.

3. The four selected Fellows (one from each region), all of whom have joined the Secretariat, are:

Ms. Roshni Kutty (India).

Ms. Kutty is working with the Implementation & Outreach and the Socio-Economic & Legal Affairs divisions in the Secretariat on the thematic and cross-cutting issues related to Intellectual Property Rights, sui generis and local communities. Her research explores the alternatives available to local and indigenous communities for protecting their traditional knowledge as well assessing the communication mechanisms between SCBD (more particularly the CHM) and local communities

Ms. Tatyana Stefanovska (Ukraine)

Ms. Stefanovska is working with the Scientific, Technical & Technological Matters division in the Secretariat. She is currently developing a course outline on “Biodiversity and business” as part of a lecture course she will teach in the Ukraine on “Biodiversity conservation: a global perspective”. She is also preparing an indicative outline for compiling case studies on Agricultural biodiversity using the ecosystem approach.

Mr. Joel Awasoh (Cameroon)

Mr. Awasoh is working with the Biosafety division in the Secretariat. He is conducting research on the salient features of the development of a biosafety framework in Cameroon. He is also conducting further research in liability and redress for damage resulting from transboundary movement of living modified organisms, Art. 27 of the Protocol and on Risk Assessment, Art. 15 of the Protocol.

Mr. Fabian Pina Amargos: (Cuba)

Mr. Amargos is working with the Scientific, Technical and Technological Matters division on the Marine and Coastal biodiversity programme of work, particularly on Marine and Coastal Protected areas. He is conducting specific research on the benefits of MCPAs for conservation and sustainable use of fish assemblages; on community involvement in MCPAs processes; on methods and guidelines on the rapid assessment of marine and coastal biological diversity and on the implementation of the CBD guidelines on sustainable tourism in vulnerable ecosystems.

4. The fellowship Programme is expected to end by March 2003.

## II Contributions and Funds

5. In addition to the pledges and contributions of additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**) reported on in the last Quarterly Report, three new pledges were made to the BE Trust Fund during the fourth quarter of 2002. New pledges were received from the European Commission and the Governments of Italy and Norway. The European Commission and the Government of Italy pledged funds for the Workshop on Liability and Redress for the Cartagena Protocol on Biosafety, Rome, Italy, 2-4 December 2002, and the Government of Norway pledged funds for the 4<sup>th</sup> Workshop on Sustainable Use of Biological Resources. Scheduled to take place in Addis Ababa, Ethiopia in May 2003.

6. For 2002 a total of US\$926,290 has been pledged to the BE Trust Fund, of which US\$675,833 or 73% has been collected as at 31 December 2002. Additionally, \$615,262 was collected in 2002 for pledges from 2001 and prior years. Full details of the pledges and contributions to the BE Trust Fund are reflected in Annex III.

7. With regard to the General Trust Fund for the Convention (**BY Trust Fund**), as at 31 December 2002, total unpaid pledges to the BY Trust Fund for 2001 and prior years amounted to US\$487,688. The total amount pledged for the BY Trust Fund in 2002 is US\$6,914,379. Of this amount, US\$6,481,932 or 93.7% has been received as at 31 December 2002. Additionally, US\$1,179,564 has been collected as additional contributions to the BY Trust Fund, and US\$572,351 has been collected as advance payments for 2003. Total unpaid pledges to the BY Trust Fund for 2002 amounts to US\$432,429. Total unpaid pledges for 2002 and prior years are therefore US\$920,117. Full details of the pledges and contributions to the BY Trust Fund are reflected in Annex V.

8. As at 31 December 2002 a total of US\$604,345 was pledged for 2002 as additional voluntary contributions to facilitate the Participation of Parties in the Convention process (**BZ Trust Fund**). This sum includes pledges from Australia (\$10,000), Denmark (\$40,000), the European Commission (\$49,164), Germany (\$49,934), Ireland (\$38,180), Japan (\$45,000), the Netherlands (\$80,000), New Zealand (\$16,664), Norway (\$97,146), Sweden (\$84,694) and the United Kingdom (\$71,835).

9. As at 31 December 2002, contributions to the BZ Trust Fund in 2002 amounted to US\$521,072 or 86.2% of the total pledged for 2002. A total of US\$98,785 remains outstanding for the BZ Trust Fund for 2002 and prior years. Full details of the pledges and contributions to the BZ Trust Fund are reflected in Annex IV.

### III Accounting and Reporting

#### Reimbursement for Services provided to the Secretariat

10. At present seven UNEP staff members, the Chief, Fund Management and Administration, the Administrative Officer, the Finance Officer, the Senior Finance Assistant, two Finance Assistants and the Personnel Assistant are funded from the programme support funds (PSC). Additionally, UNEP has provided funding for one additional general service post to be paid from PSC.

#### Conference and Other Services

11. During the period October to December 2002, no conference servicing assistance was provided to the CBD by UNON.

**Appendix 1**  
**STAFFING TABLE of the Secretariat of the Convention on Biological Diversity**

1 October – 31 December 2002

<b>Post #</b>	<b>Level</b>	<b>Title</b>	<b>PROFESSIONAL STAFF</b>
1101	ASG	Executive Secretary	Mr. H. Zedan (Regularized)
1102	D1	Principal Officer (SEL)	Mr. O. Jalbert (Regularized)
1103	D1	Principal Officer (STTM)	Mr. K. Mulongoy (Regularized)
1104	P5	Senior Programme Officer (Biosafety)	Ms. C. Sendashonga (Regularized)
1105	D1	Principal Officer (I&O)	Mr. A. Nogueira (Regularized)
1106	P4	Chief, Fund & Admin. (RMCS)	Ms. M. Rattray-Huish– (Regularized) (Post funded by UNEP)
1107	P4	Financial Resources & Instruments. PO (I&O)	Mr. Y. Xiang (Regularized)
1108	P4	PO Legal Advice and Support (SEL)	Mr. D.Ogolla (Regularized)
1109	P4	Economist (SEL)	Mr. M. Lehmann (Regularized)
1110	P4	PO Conservation Ecology (STTM)	Mr. M. Guariguata (Regularized)
1111	P4	PO, Agricultural Biodiversity (STTM)	Ms. M. Gauthier –(Regularized) (Post funded by FAO)
1113	P4	PO, Clearing House Mechanism (I&O)	Mr. M. Silva (Regularized)
1114	P3	Special Assistant to the ES. (EDM)	Ms. M. Soliman (Regularized)
1115	P4	PO, Indigenous Knowledge (SEL)	Ms. H. Marrie (Regularized)
1116	P5	PO. Reports & Reviews (I&O)	Mr. K. Kakuyo (Regularized)
1117	P3	Documentation Officer (I&O)	Mr. Z. Bamba (Regularized)
1118	P3	Meetings Services Officer (RMCS)	Mr. A. Yesli (Regularized)
1119	P3	Information Officer (I&O)	Ms. M. Stricker (Regularized)
1120	P3	Internet & Elect. Communications (I&O)	Mr. O. De Munck (Regularized)
1121	P3	PO, Jakarta Mandate (STTM)	Ms. M. Vierros (Regularized)
1122	P3	PO, Website Officer (I&O)	Vacant (Post advertised)
1124	P3	Administrative Officer (RMCS)	Ms. N. Patel (Regularized) (Post funded by UNEP)
1126	P3	Associate Programme Officer (Biosafety)	Mr. E. Tamale (Regularized)
1127	P2	Associate Legal Affairs Officer (Biosafety)	Mr. W. Damena (Regularized)
1128	P2	Associate Computer Systems Officer (I&O)	Mr. Q. Nguyen (Regularized)
1129	L5	Senior Programme Officer (STTM & I&O)	Mr. A. Heydendael (Regularized) (Post funded by The Netherlands)

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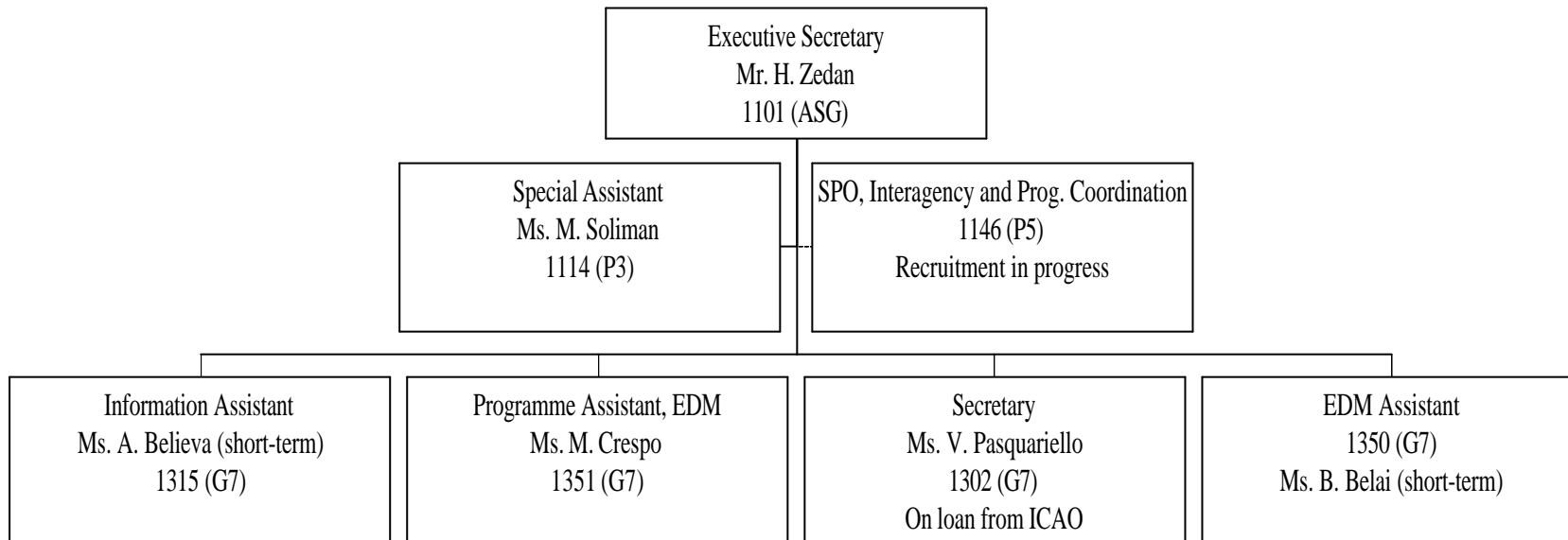
1131	P4	PO, Inland Waters (STTM)	Vacant (Post advertised)
<b>Post #</b>	<b>Level</b>	<b>Title</b>	<b>PROFESSIONAL STAFF</b>
1132	P4	PO, Scientific & Technical Assessments (STTM)	Mr. R. Hoft (Regularized)
1133	P3	PO, Access & Benefit Sharing (SEL)	Ms. V. Normand (Regularized)
1134	P3	PO, Scientific, Technical Information (Biosafety)	Ms. K. Galloway-McLean (Regularized)
1135	P4	Editor (RMCS)	Mr. J. Fry (Regularized)
1136	P2	Associate Finance Officer (RMCS)	Ms. T.C. Fon Sing (Regularized) (Post funded by UNEP)
1137	P4	Taxonomy, PO (STTM)	Vacant (Post being re-classified)
1139	L2	JPO, EIS (STTM)	Ms. I. Chung (Regularized) (Post funded by Republic of Korea)
1141	P4	PO, Dry and Sub-humid lands (STTM)	Ms. J. Zeidler (Regularized)
1142	P3	PO, Reports (I&O)	Mr. L. Cai (Regularized)
1143	P3	PO, Sustainable Use and Tourism (SEL)	Ms. P. Deda (Regularized)
1144	P4	PO, Legal & Policy Affairs (Biosafety)	Ms. X. Wang (Regularized)
1145	P3	PO, Scientific Assessments (Biosafety)	Mr. R. Hill (Regularized)
1146	P5	SPO, Interagency & Programme Coordination (EDM)	Vacant (Recruitment in progress) – new post
1148	P4	PO, In situ- and ex-situ Conservation (STTM)	Vacant (post advertised)
1149	P3	Computer Information Systems Officer, (I&O)	Vacant (Post advertised)

<b>Post #</b>	<b>Level</b>	<b>Title</b>	<b>GENERAL SERVICE STAFF</b>
1301	G8	Finance Assistant (RMCS)	Mr. M. Reyes (Regularized) (Post funded by UNEP)
1302	G7	Senior Secretary to the Executive Secretary (EDM)	Ms. V. Pasquariello (Regularized) (on secondment from ICAO)
1303	G6	Secretary (SEL)	Ms. F. Velarde (Regularized)
1304	G7	Programme Assistant - (STTM)	Mr. D. Hamel (Regularized)
1305	G7	Programme Assistant - (STTM)	Ms. I. Anderson (Regularized)
1306	G7	Programme Assistant - (I&O)	Ms. S. Penney (Regularized)
1307	G7	Programme Assistant - (Biosafety)	Ms. D. Stepic (Regularized)
1308	G7	Programme Assistant - (I&O)	Ms. M. Chiasson (Regularized)
1309	G7	Programme Assistant - (SEL)	Ms. J. Crowley (Regularized)

1310	G6	Administrative Assistant - (RMCS)	Ms. C. Risch (Regularized)
1311	G7	Travel Assistant - (RMCS)	Ms. R. Rabbath (Regularized)
<b>Post #</b>	<b>Level</b>	<b>Title</b>	<b>GENERAL SERVICE STAFF</b>
1312	G7	Personnel Assistant (RMCS)	Ms. J. Martinez (seconded from ICAO) (post funded by UNEP)
1313	G6	Secretary - (STTM)	Ms. V. Allain (Regularized)
1314	G6	Secretary - (I&O)	Ms. A. Vincent (short-term)
1315	G7	Information Assistant (EDM)	Ms. A. Beliaeva (Regularized)
1316	G7	Computer Operations Assistant (I&O)	Mr. V. Gopez (Regularized)
1317	G5	Receptionist (RMCS)	Ms. L. Boutin (Regularized)
1318	G4	Messenger/Clerk (RMCS)	Mr. R. Bellido (Regularized)
1319	G6	Secretary (Biosafety)	Ms. J. Huppé (Regularized)
1329	G7	Programme Assistant, CHM (I&O)	Mr. H. Perea (Regularized)
1331	G7	Programme Assistant (SEL)	Ms. G. Dosen (Regularized)
1332	G5	Contributions Assistant - (RMCS)	Ms. M. Harrison (Regularized). Post funded by UNEP
1334	G5	Fund Mgmt Assistant - (RMCS)	Ms. L. Ali (Regularized). Post funded by UNEP
1337	G5	Conference Assistant – (RMCS)	Ms. T. Zavarzina (Regularized). Post funded by UNEP
1350	GS	EDM Assistant – (EDM)	Ms. B. Belai (short-term)
1351	G7	Programme Assistant – (EDM)	Ms. M. Crespo (Regularized)
1352	G7	Programme Assistant – (I&O)	Ms. F. D’Amico (Regularized)
1353	G7	Programme Assistant – (Biosafety)	Ms. M. Ocampo (Regularized)
1354	G7	Programme Assistant – Liability, Redress & Sustainable Tourism (SEL)	Ms. R. Rubian (short-term)
1355	G7	Editorial Assistant (RMCS)	Vacant (Post advertised)
1356	G6	Travel Assistant – (RMCS)	Vacant (Post Advertised)

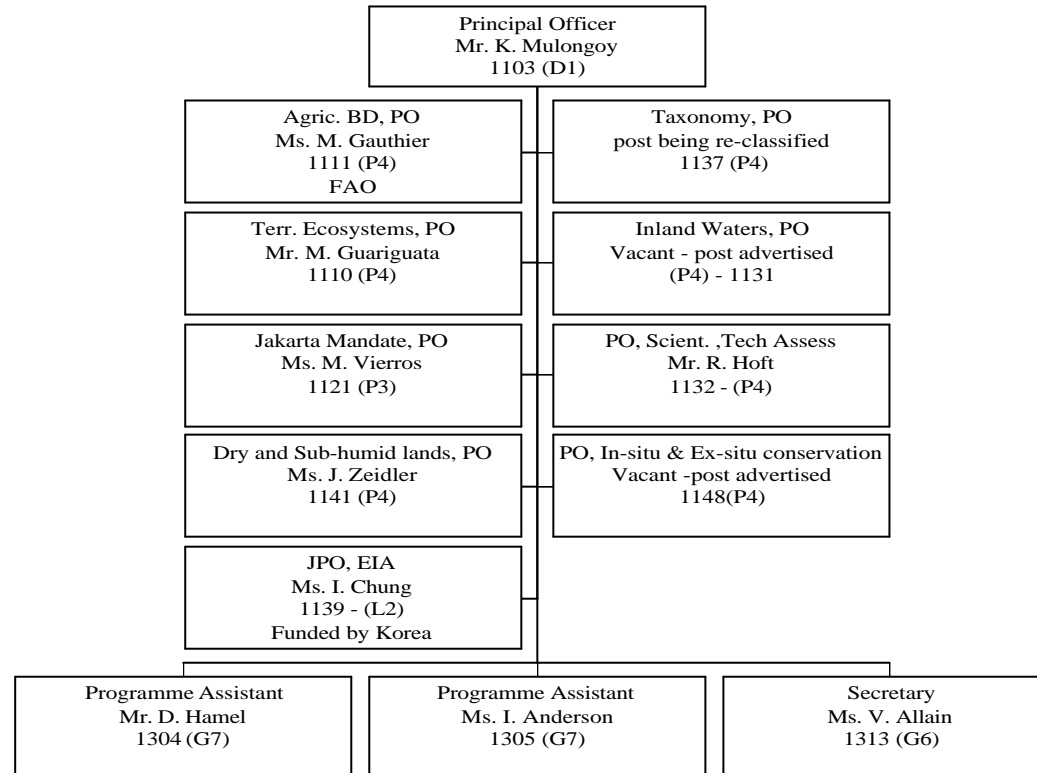
Annex II

# Executive Direction, Management & Inter-governmental Affairs

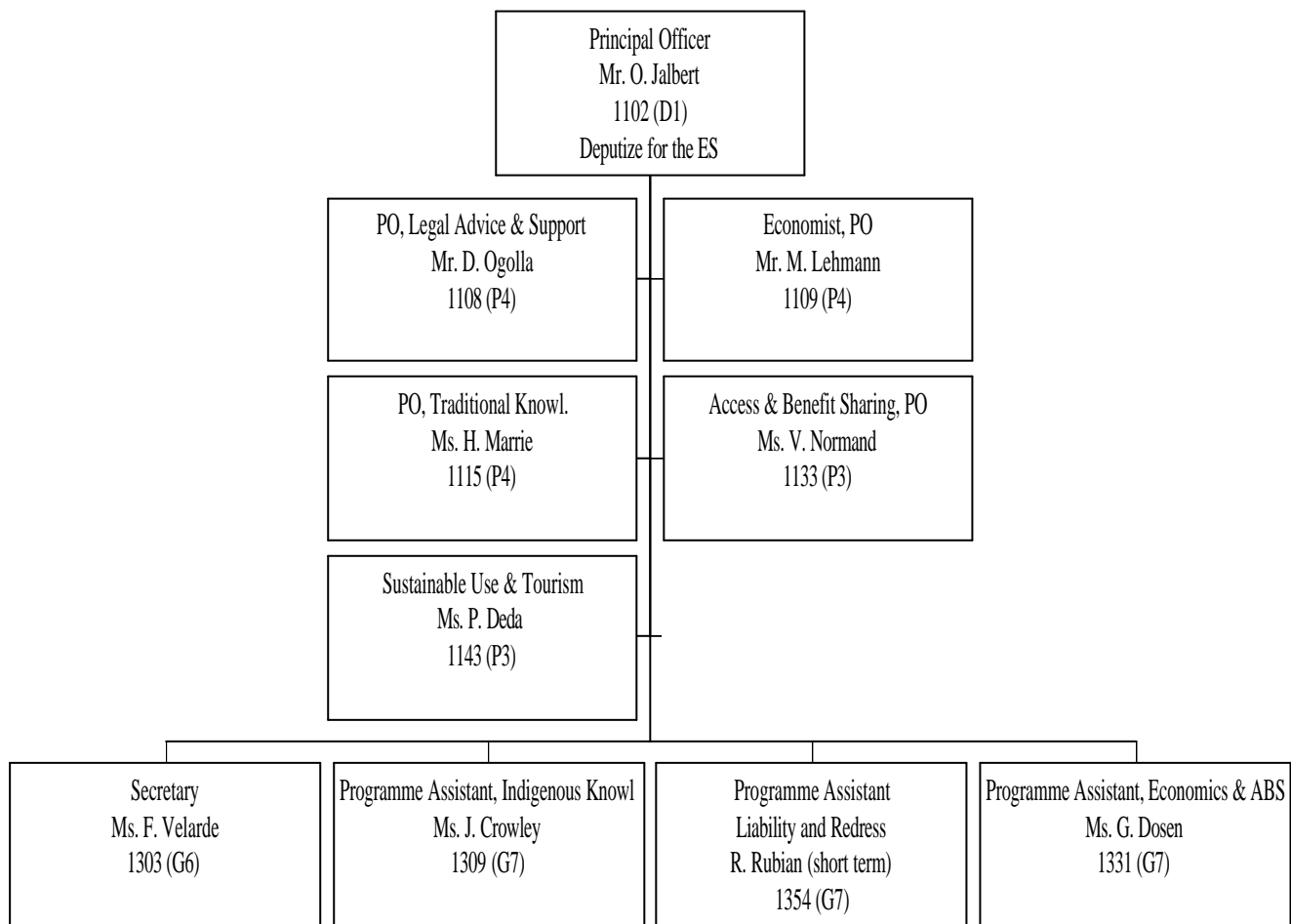




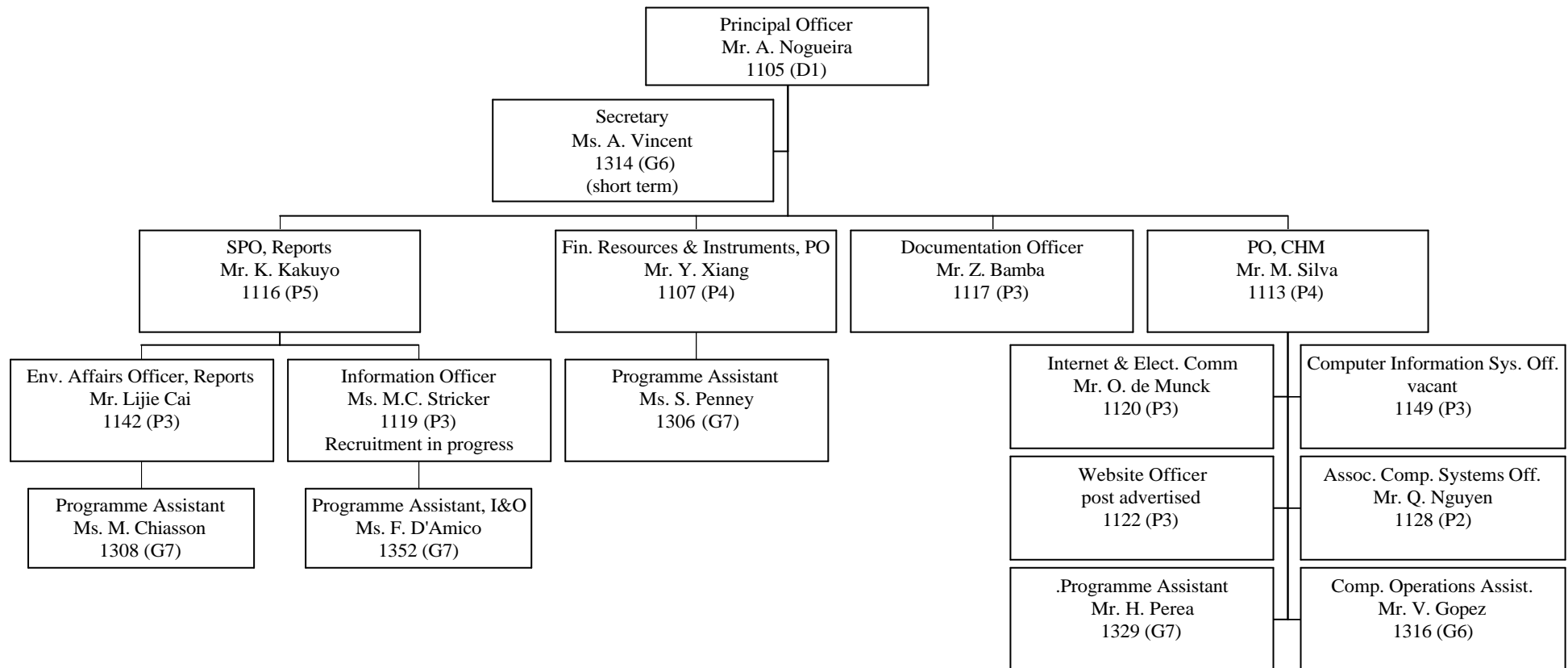
## Scientific, Technical & Technological Matters



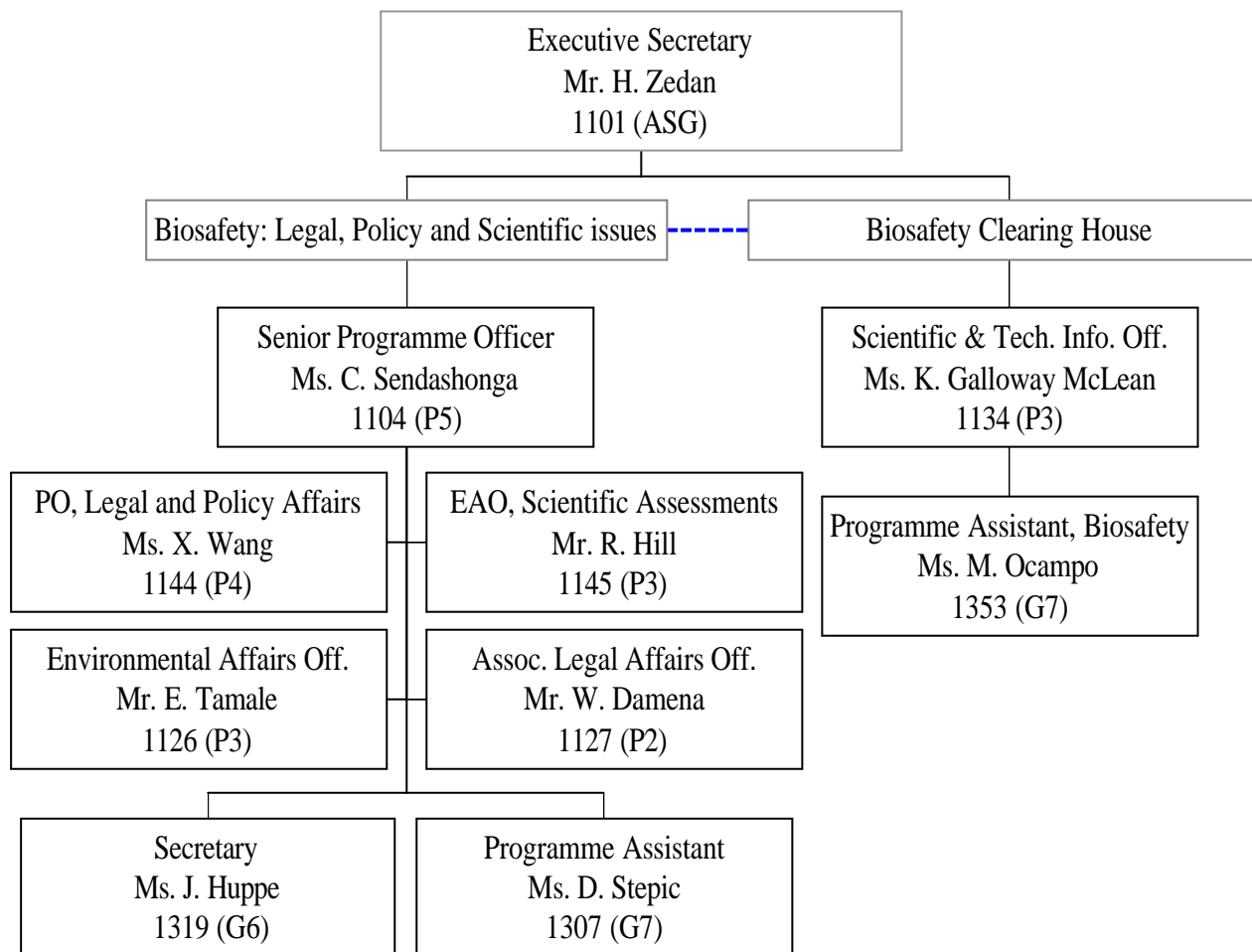
## Social, Economic and Legal Matters



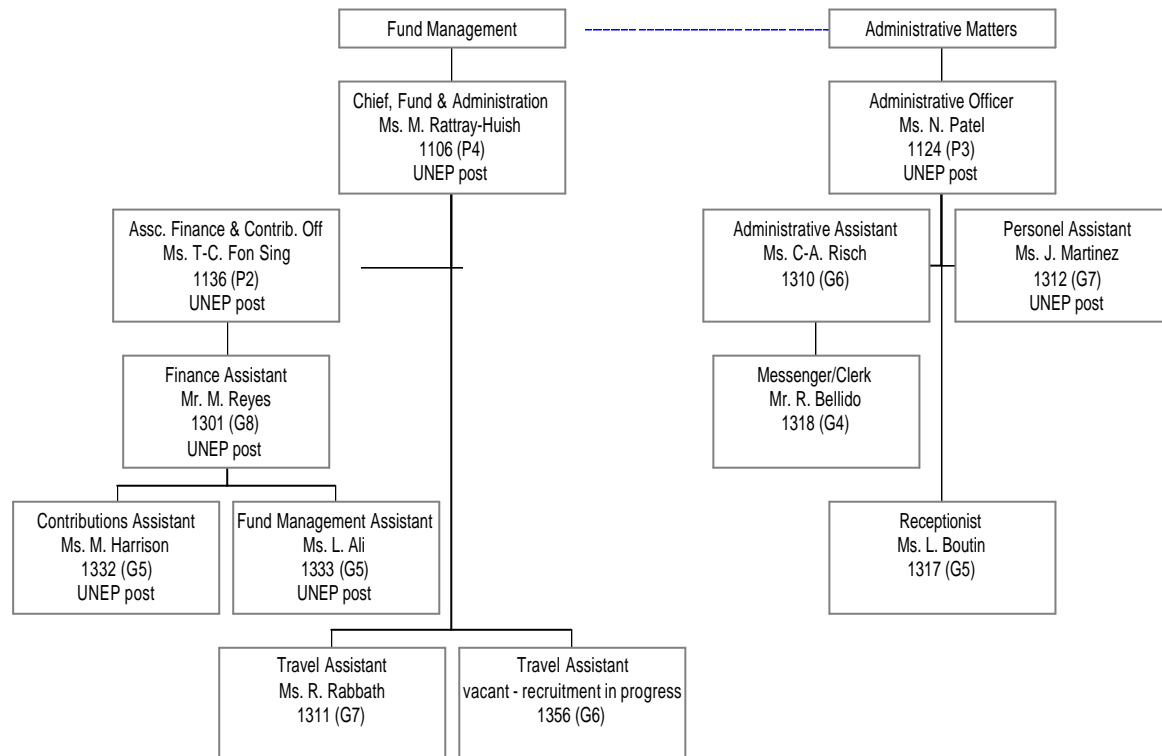
# Implementation & Outreach



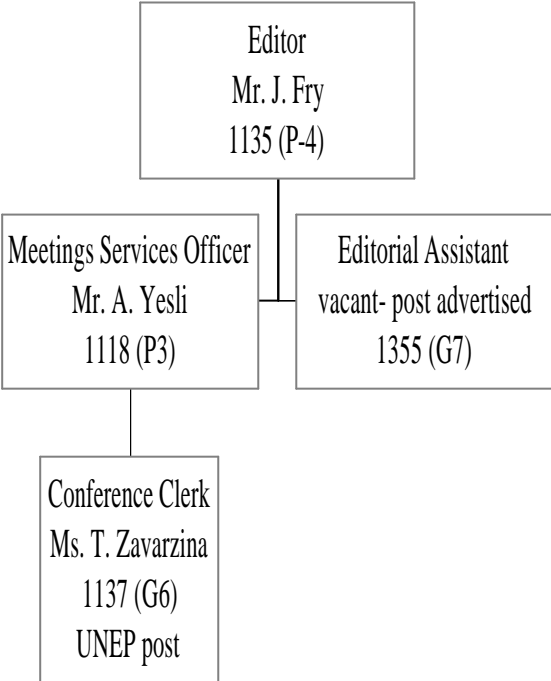
# Biosafety Protocol



# Resource Management



# Conference Services



## ANNEX III

GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS IN SUPPORT OF APPROVED ACTIVITIES UNDER  
THE CONVENTION ON BIOLOGICAL DIVERSITY (BE)  
Status of Contribution as at 31 December 2002 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.01		Adjustments	Pledges for 2002		Collections in 2002 for prior years	Collections in 2002 for 2002 & futures yrs	Unpaid pledges for 2002 & prior years
Canada	78,616	1/				38,118		40,498
Canada	100,000	2/				100,000		0
Canada				2,238	18/		2,238	0
Denmark	3,415	3/						3,415
EEC				29,354	27/		23,400	5,954
France				25,000	25/			25,000
Germany	20,882	4/						20,882
Italy				70,000	28/		70,000	0
Japan				20,000	14/		20,000	0
Japan				30,000	15/		30,000	0
Netherlands	99,000	5/				99,000		0
Netherlands	99,000	6/				99,000		0
Netherlands	99,000	7/				99,000		0
Netherlands	147,000	8/						147,000
Netherlands	0			455,000	20/		455,000	0
Norway				100,000	29/			100,000
Spain	25,000	9/						25,000
Spain				27,076	23/			27,076
Sweden	38,095	21/				23,020		15,075
Sweden				42,493	22/			42,493

COUNTRIES	Unpaid Pledges as at 31.12.01		Adjustments	Pledges for 2002		Collections in 2002 for prior years	Collections in 2002 for 2002 & futures yrs	Unpaid pledges for 2002 & prior years
Sweden			66	16,129	26/		16,195	0
Switzerland	30,139	10/				30,139		0
Switzerland				35,000	16/		35,000	0
UK	6,000	11/	(15)			5,985		0
USA	10,000	12/						10,000
USA	0			50,000	17/			50,000
USA				24,000	24/		24,000	0
FAO	10,000	13/				10,000		0
FAO		19/	111,000			111,000		0
Total	766,147		111,051	926,290		615,262	675,833	512,393

1/Canada pledge Cdn\$125,000 for meetings convened under the Cartagena Protocol on Biosafety

2/Canada pledge US\$100,000 for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002

3/Denmark pledge in support of the Open-ended Meeting of Experts on Capacity-building, Havana, Cuba 11-13 July 2001

4/Germany pledge for Ad Hoc Working Group on Access and Benefits Sharing, 22-26 October 2001, Bonn, Germany

5/Netherlands pledge for African Workshop on Sustainable Use of Biodiversity

6/Netherlands pledge for Asian Workshop on Sustainable Use of Biodiversity

7/Netherlands pledge for Latin American and the Caribbean Workshop on Sustainable Use of Biodiversity

8/Netherlands pledge US\$147,000 for the Workshop on Forest Biological Diversity, Accra, Ghana 28-30 January 2002

9/Spain pledge US\$25,000 for the Capacity Building Experts Meeting on Biosafety Protocol, Havana, Cuba 11-13 July 2001

10/Switzerland pledge CHF50,000 for the Ad Hoc Technical Expert Group on Biological Diversity and Climate Change, Helsinki, Finland 21-25 January 2002

11/UK pledge US\$6,000 for two consultants on Forests Biological Diversity

12/USA pledge for GISP Meeting on Global Invasive Species

13/FAO pledge towards the settlement of invoices for Ocean Atlas

14/Japan pledge for the Asia and Pacific Regional Meeting on Capacity Building for Biosafety

15/Japan pledge for the Technical Expert Group Meeting on Article 18.2(b) and (c), Montreal, Canada 13-15 March 2002

16/Switzerland pledge for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002

17/USA pledge for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002



- 18/Canada pledge towards the participation of Ms. Esther Camac from Costa Rica to the 2nd Meeting of the Ad Hoc Open-Ended Inter-sessional Working Group
- 19/FAO 1999 pledge towards the secondment of a Programme Officer to SCBD. Pledge was received but not allocated.
- 20/Netherlands, pledge for COP-6 and ICCP-3, the Hague, the Netherlands, 7-26 April 2002
- 21/Sweden pledge SEK400,000 towards the cost of a Programme Officer for the Global Taxonomy Initiative in 2001
- 22/Sweden pledge SEK450,000 towards the cost of a Programme Officer for the Global Taxonomy Initiative in 2002
- 23/Spain pledge Euros 30,000 for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002
- 24/USA pledge US\$24,000 for the 2nd Meeting of the Ad Hoc Technical Expert Group on Marine and Coastal Protected Areas, New Zealand, 20-24 May 2002
- 25/France pledge for the Technical Expert Group Meeting on Article 18.2(b) and (c), Montreal, Canada 13-15 March 2002
- 26/Sweden pledge SEK150,000 for the Open-ended Expert Workshop on capacity-building on access and benefit-sharing
- 27/EEC pledge Euros 30,000 for the Workshop on Liability and Redress - Cartagena Protocol, Rome, Italy, 2-4 December 2002
- 28/Italy pledge US\$70,000 for the Workshop on Liability and Redress - Cartagena Protocol, Rome, Italy, 2-4 December 2002
- 29/Norway pledge US\$100,000 for the 4th Workshop on Sustainable Use of Biological Resources, Addis Ababa, Ethiopia, May 2003

**ANNEX IV**

**GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF  
PARTIES IN THE PROCESS OF THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)**

Status as at 31 December 2002 (in United States dollars)

<b>COUNTRIES</b>	<b>Unpaid Pledges as at 31.12.01</b>		<b>Pledges for 2002</b>	<b>Collections in 2002 for prior years</b>	<b>Collections in 2002 for 2002 &amp; fut yrs</b>	<b>Unpaid pledges for 2002 &amp; prior years</b>
Australia			8/ 10,000		10,000	0
Denmark	15,512	1/				15,512
Denmark	75,525	2/		75,525		0
Denmark		14/	40,000			40,000
EEC		17/	49,164		39,180	9,984
Germany		10/	11,536		11,536	0
Germany		15/	38,398		38,398	0
Ireland		13/	38,180		38,180	0
Japan		4/	45,000		45,000	0
Netherlands		3/	80,000		80,000	0
New Zealand		5/	16,664		16,664	0
Norway		9/	63,857		63,857	0
Norway		16/	33,289			33,289
Sweden		6/	28,409		28,409	0
Sweden		7/	57,915		57,915	0
Switzerland		18/	20,098		20,098	0
UK		11/	42,675		42,675	0
UK		12/	29,160		29,160	0
<b>Total</b>	<b>91,037</b>		<b>604,345</b>	<b>75,525</b>	<b>521,072</b>	<b>98,785</b>

\*\* Please note that the figures in bracket ( ) means credit

1/Denmark pledge for travel expenses for Central and Eastern European countries-COP-5, Nairobi Kenya, 15-26 May 2000

2/Denmark pledge towards the participation of Representatives at the Central and Eastern European Regional Workshop, February 2002

3/The Netherlands pledge towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002

4/Japan pledge US\$45,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002

5/New Zealand pledge NZ\$40,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002

- 6/Sweden pledge SEK300,000 towards the ICCP-3 Meeting, the Hague, the Netherlands, 22-26 April 2002
- 7/Sweden pledge SEK600,000 towards the Article 8(j) Meeting, Montreal, Canada, 4-8/2/2002 and COP-6 Meeting, the Hague, the Netherlands, 7-19/4/2002
- 8/Australia pledge US\$10,000 towards the COP-6 Meeting, the Hague, the Netherlands, 7-19 April 2002
- 9/Norway pledge NOK500,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002
- 10/Germany pledge towards the COP-6 Meeting, the Hague, the Netherlands, 7-19 April 2002
- 11/ UK pledge UK pounds 30,000 towards COP 6, the Hague, the Netherlands, 7-19 April 2002
- 12/UK pledge US\$29,160 towards the ICCP-3 meeting, the Hague, the Netherlands, 22-26 April 2002
- 13/Ireland pledge Euros 40,000 for SBSTTA-8 and other meetings
- 14/Denmark pledge DKK300,000 towards the support of participants from Central and Eastern European countries to attend CBD meetings
- 15/Germany pledge Euros 38,325 towards the Capacity Building meeting on Access and Benefits Sharing, Montreal, Canada, 2-4 December 2002
- 16/Norway pledge NOK250,000 towards the Capacity Building meeting on Access and Benefits Sharing, Montreal, Canada, 2-4 December 2002  
& the Open-Ended meeting of the Ad Hoc Group of Experts on Access and Benefits Sharing, 2003
- 17/EEC pledge Euros 50,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002
- 18/Switzerland pledge CHF30,000 towards the support of participants from Western and Central African countries to attend the Capacity Building meeting on Access and Benefits Sharing, Montreal, Canada, 2-4 December 2002

ANNEX V

**GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)  
as at 31 December 2002**

Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2002 for prior yrs	Collections During 2002 for 2002 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
	US \$	US \$		US \$	US \$	US \$	US \$
Albania	(110)	274			(110)	274	164
Algeria	7,552	7,841			7,552	7,841	15,393
Angola	(912)	912		1,799	(912)	(887)	(1,799)
Antigua & Barbuda	(182)	182		185	(182)	(3)	(185)
Argentina	124,243	100,566			124,243	100,566	224,809
Armenia	19,782	547			19,782	547	20,329
Australia	(135,213)	135,213		150,455	(135,213)	(15,242)	(150,455)
Austria	0	85,887		85,887	0	0	0
Azerbaijan	965	1,002			965	1,002	1,967
Bahamas	(1,368)	1,368		1,110	(1,368)	258	(1,110)
Bahrain	0	1,550			0	1,550	1,550
Bangladesh	0	912		479	0	433	433
Barbados	(3)	729		1,558	(3)	(829)	(832)
Belarus	74,881	5,197	5,197		69,684	5,197	74,881
Belgium	0	100,658			0	100,658	100,658
Belize	0	91		183	0	(92)	(92)
Benin	128	182			128	182	310
Bhutan	(91)	91		92	(91)	(1)	(92)
Bolivia	0	638			0	638	638
Bosnia & Herzegovina		47				47	47
Botswana	0	912		912	0	0	0

Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2002 for prior yrs	Collections During 2002 for 2002 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
Brazil	232,816	134,119	232,816	103,415	0	30,704	30,704
Bulgaria	966	1,003	966	1,003	0	0	0
Burkina Faso	464	182			464	182	646
Burundi	307	91			307	91	398
Cambodia	88	91	88	66	0	25	25
Cameroon	1,054	1,185			1,054	1,185	2,239
Canada	0	249,091		249,091	0	0	0
Cape Verde	2,180	182			2,180	182	2,362
Central African Rep.	138	91	138	91	(0)	0	(0)
Chad	2,415	91			2,415	91	2,506
Chile	(26,771)	3,282		3,161	(26,771)	121	(26,650)
China	0	90,719		90,719	0	0	0
Colombia	11,461	9,938	11,461	7,047	0	2,891	2,891
Comoros	2,415	91			2,415	91	2,506
Congo	2,072	274	263	274	1,809	0	1,809
Congo Dem.Rep	3,874	638			3,874	638	4,512
Cook Islands	88	91			88	91	179
Costa Rica	6,932	1,459			6,932	1,459	8,391
Cote d'Ivoire	810	821			810	821	1,631
Croatia	(2,735)	2,735		3,606	(2,735)	(871)	(3,606)
Cuba	12,705	2,188			12,705	2,188	14,893
Cyprus	0	3,100		3,100	0	0	0
Czech Republic	0	9,756		28,478	0	(18,722)	(18,722)
Denmark	0	63,093		63,093	0	0	0
Djibouti	88	91			88	91	179
Dominica	(24)	91		226	(24)	(135)	(159)
Dominica Rep.	4,666	1,368			4,666	1,368	6,034
Ecuador	1,756	1,824	1,756		0	1,824	1,824

EEC	0	171,168		171,168	0	0	0
Egypt	0	5,926		5,926	0	0	0
<b>Countries</b>	<b>Unpaid Pledges as at 31/12/01</b>	<b>Pledges for 2002</b>	<b>Collections in 2002 for prior yrs</b>	<b>Collections During 2002 for 2002 &amp; future yrs</b>	<b>Unpaid Pledges for Prior Years</b>	<b>Unpaid Pledges for 2002</b>	<b>Unpaid Pledges for 2002 &amp; Prior years</b>
El Salvador	0	1,094		1,094	0	0	0
Equatorial Guinea	2,415	91			2,415	91	2,506
Eritrea	(91)	91			(91)	91	0
Estonia	0	1,094		1,094	0	0	0
Ethiopia	0	547		917	0	(370)	(370)
Fiji	(365)	365		684	(365)	(319)	(684)
Finland	0	49,508		49,508	0	0	0
France	0	596,742		596,742	0	0	0
Gabon	4,794	1,368			4,794	1,368	6,162
Gambia	88	91			88	91	179
Georgia	40,553	638			40,553	638	41,191
Germany	0	898,715		898,715	0	0	0
Ghana	2,853	638	2,853	638	0	0	0
Greece	0	32,003		30,821	0	1,182	1,182
Grenada	0	91			0	91	91
Guatemala	8,957	1,641	8,957	1,671	0	(30)	(30)
Guinea	2,211	274	2,211	551	0	(277)	(277)
Guinea-Bissau	1,936	91			1,936	91	2,027
Guyana	1,586	91			1,586	91	1,677
Haiti	1,490	182			1,490	182	1,672
Honduras	838	274			838	274	1,112
Hungary	0	10,941		10,941	0	0	0
Iceland	0	2,918		5,970	0	(3,052)	(3,052)
India	50	27,261	50	27,161	0	100	100
Indonesia	49,839	17,141	49,839	17,141	0	0	0
Iran	27,438	14,679	27,438		0	14,679	14,679
Ireland	(20,373)	20,423		27,237	(20,373)	(6,814)	(27,187)

Israel	0	31,911		31,911	0	0	0
Italy	0	495,720		495,720	0	0	0
Jamaica	(547)	547			(547)	547	0
Japan	0	1,711,675		1,711,675	0	0	0
<b>Countries</b>	<b>Unpaid Pledges as at 31/12/01</b>	<b>Pledges for 2002</b>	<b>Collections in 2002 for prior yrs</b>	<b>Collections During 2002 for 2002 &amp; future yrs</b>	<b>Unpaid Pledges for Prior Years</b>	<b>Unpaid Pledges for 2002</b>	<b>Unpaid Pledges for 2002 &amp; Prior years</b>
Jordan	527	547			527	547	1,074
Kazakhstan	55,573	4,376	4,979		50,594	4,376	54,970
Kenya	612	638			612	638	1,250
Kiribati	(3)	91			(3)	91	88
Korea, Dem. People's Rep.	18,411	1,368	18,411	2,200	0	(832)	(832)
Korea, Rep. of	0	91,722		91,722	0	0	0
Kuwait		1,951				1,951	1,951
Kyrgyzstan	5,638	547			5,638	547	6,185
Lao PDR	0	91			0	91	91
Latvia	0	1,550			0	1,550	1,550
Lebanon	25	1,459		1,459	(0)	(25)	(0)
Lesotho	0	182			0	182	182
Liberia	161	182			161	182	343
Libya	2,469	11,274			2,469	11,274	13,743
Liechtenstein	0	547		547	0	0	0
Lithuania	0	1,368		2,940	0	(1,572)	(1,572)
Luxembourg	0	6,200		6,200	0	0	0
Macedonia	1,291	365	1,219		72	365	437
Madagascar	(285)	274			(285)	274	(11)
Malawi	176	182			176	182	358
Malaysia	0	16,685		16,685	0	0	0
Maldives	(91)	91	92		(183)	91	(92)
Mali	2,180	182			2,180	182	2,362
Malta	922	1,276	922	2,663	0	(1,387)	(1,387)

Marshall Island	88	91	88	91	0	0	0
Mauritania	1,246	91			1,246	91	1,337
Mauritius	(821)	821		1,017	(821)	(196)	(1,017)
Mexico	33,480	90,719	33,480	78,042	(0)	12,677	12,677
Micronesia, Fed. States	0	91		183	0	(92)	(92)
<b>Countries</b>	<b>Unpaid Pledges as at 31/12/01</b>	<b>Pledges for 2002</b>	<b>Collections in 2002 for prior yrs</b>	<b>Collections During 2002 for 2002 &amp; future yrs</b>	<b>Unpaid Pledges for Prior Years</b>	<b>Unpaid Pledges for 2002</b>	<b>Unpaid Pledges for 2002 &amp; Prior years</b>
Moldova, Rep. of	21,840	912	2,591		19,249	912	20,161
Monaco	(21)	365		344	(21)	21	0
Mongolia	0	182			0	182	182
Morocco	3,600	3,738	3,600		0	3,738	3,738
Mozambique	0	91			0	91	91
Myanmar	0	729		729	0	0	0
Namibia	615	638	615	85	0	553	553
Nauru	2,535	91			2,535	91	2,626
Nepal	(2,032)	365			(2,032)	365	(1,667)
Netherlands	0	148,798		148,780	0	0	0
New Zealand	(20,150)	20,150		22,286	(20,150)	(2,136)	(22,286)
Nicaragua	88	91	88	183	0	(92)	(92)
Niger	2,180	182			2,180	182	2,362
Nigeria	8,380	2,918			8,380	2,918	11,298
Niue	0	91			0	91	91
Norway	0	55,617		115,562	0	(59,945)	(59,945)
Oman	0	4,650		4,650	0	0	0
Pakistan	9,899	5,379	9,899	5,379	0	0	0
Palau	88	91	88	691	0	(600)	(600)
Panama	0	1,185		1,185	0	0	0
Papua New Guinea	0	638			0	638	638
Paraguay	4,746	1,276			4,746	1,276	6,022
Peru	16,610	9,026			16,610	9,026	25,636
Philippines	7,113	7,385	7,113	6,938	0	447	447



Poland	(17,870)	17,870			(17,870)	17,870	0
Portugal	360	39,297	360	38,937	0	360	360
Qatar	0	3,009		6,153	0	(3,144)	(3,144)
Romania	0	5,106		5,106	0	0	0
Russian Federation	94,572	98,196	94,572	98,196	0	0	0
Rwanda	913	91			913	91	1,004
<b>Countries</b>	<b>Unpaid Pledges as at 31/12/01</b>	<b>Pledges for 2002</b>	<b>Collections in 2002 for prior yrs</b>	<b>Collections During 2002 for 2002 &amp; future yrs</b>	<b>Unpaid Pledges for Prior Years</b>	<b>Unpaid Pledges for 2002</b>	<b>Unpaid Pledges for 2002 &amp; Prior years</b>
St.Kitts & Nevis	(91)	91		92	(91)	(1)	(92)
St. Lucia	168	91	168	91	0	0	0
Saint Vincent & Grenadines	1,586	91			1,586	91	1,677
Samoa	0	91		183	0	(92)	(92)
San Marino	0	182		367	0	(185)	(185)
Sao Tome Principe	88	91			88	91	179
Saudi Arabia	0	50,578			0	50,578	50,578
Senegal	36	547	36	518	0	29	29
Seychelles	(1,467)	182			(1,467)	182	(1,285)
Sierra Leone	1,915	91			1,915	91	2,006
Singapore	(16,320)	16,320		36,342	(16,320)	(20,022)	(36,342)
Slovak	0	3,191		7,167	0	(3,976)	(3,976)
Slovenia	(5,536)	5,562		7,516	(5,536)	(1,954)	(7,490)
Solomon Is.	1,936	91			1,936	91	2,027
South Africa	0	33,370		71,099	0	(37,729)	(37,729)
Spain	0	236,235		236,235	0	0	0
Sri Lanka	1,054	1,094			1,054	1,094	2,148
Sudan	3,395	638			3,395	638	4,033
Suriname	972	365			972	365	1,337
Swaziland	0	182			0	182	182
Sweden	15	98,378	15	98,348	0	30	30
Switzerland	(110,778)	110,778		117,812	(110,778)	(7,034)	(117,812)

Syria	(16,983)	5,835			(16,983)	5,835	(11,148)
Tajikistan	1,558	365			1,558	365	1,923
Togo	88	91			88	91	179
Tonga	88	91			88	91	179
Trinidad & Tobago	(8,647)	1,459			(8,647)	1,459	(7,188)
Tunisia	0	2,553		2,553	0	0	0
Turkey	0	40,117		40,117	0	0	0
<b>Countries</b>	<b>Unpaid Pledges as at 31/12/01</b>	<b>Pledges for 2002</b>	<b>Collections in 2002 for prior yrs</b>	<b>Collections During 2002 for 2002 &amp; future yrs</b>	<b>Unpaid Pledges for Prior Years</b>	<b>Unpaid Pledges for 2002</b>	<b>Unpaid Pledges for 2002 &amp; Prior years</b>
Turkmenistan	6,980	547			6,980	547	7,527
Uganda	(1,236)	365			(1,236)	365	(871)
Ukraine	0	17,323			0	17,323	17,323
U. K.	(464,082)	464,082			(464,082)	464,082	0
United Arab Emirates	(15,820)	16,229			(15,820)	16,229	409
Un.Rep. of Tanzania	1,313	274	1,313	20,377	0	(20,103)	(20,103)
Uruguay	8,053	4,376			8,053	4,376	12,429
Uzbekistan	11,963	2,279			11,963	2,279	14,242
Vanuatu	88	91	88	69	0	22	22
Venezuela	8,383	14,588			8,383	14,588	22,971
Viet Nam	0	638		638	0	0	0
Yemen	3,774	912			3,774	912	4,686
Yugoslavia		1,381		1,381		0	0
Zambia	196	182			196	182	378
Zimbabwe	1,510	821			1,510	821	2,331
<b>Sub Total</b>	<b>140,374</b>	<b>6,914,379</b>	<b>523,771</b>	<b>6,183,174</b>	<b>(383,422)</b>	<b>731,162</b>	<b>347,766</b>
<b>Additional Contributions</b>						0	0
Canada	400,000	800,000	400,000	800,000	0	0	0
USA	0	175,000		175,000	0	0	0
Quebec	200,000	200,000	200,000	204,564	0	(4,564)	(4,564)
<b>Grand Total</b>	<b>740,374</b>	<b>8,089,379</b>	<b>1,123,771</b>	<b>7,362,738</b>	<b>(383,422)</b>	<b>726,598</b>	<b>343,202</b>



**ANNEX VI**

LIST OF MEETINGS ORGANIZED BY THE  
SECRETARIAT OF THE CONVENTION ON BIOLOGICAL DIVERSITY  
(as of 31 December 2002)

<b>2002</b>		
<b>DATES</b>	<b>MEETING TITLE</b>	<b>VENUE</b>
9- 12 January 2002	Asian Regional Workshop on Sustainable Use of Biological Diversity	Hanoi, Vietnam
19 January 2002	African Regional Meeting on Capacity-Building for the Biosafety Clearing House	Nairobi, Kenya
21-25 January 2002	Ad-Hoc Working Group on the Interlinkages between Biological Diversity and Climate Change	Helsinki, Finland
28-30 January 2002	Workshop on Incentive Measures for the Workshop on Forests and Biological Diversity	Accra, Ghana
3 February 2002	Impacts of Genetic Use Restriction Technologies on Indigenous and Local Communities, and on Farmers' Rights in keeping with the Revision of the International Treaty on Plant Conservation for Food and Agriculture	Montreal, Canada
4-8 February 2002	Open-ended Meeting of the Ad-Hoc Intersessional Working Group on Article 8(j) and Related Provisions	Montreal, Canada
5-9 February 2002	Eastern and Central Europe Regional Meeting on the Pilot Phase of the Biosafety Clearing house.	Nitra, Slovak Republic
11-13 February 2002	Technical Expert Group Meeting on Global Strategy for Plant Conservation	Gran Canaria, Spain
18-19 February 2002	Meeting of the Bureau of the Intergovernmental Committee for the Cartagena Protocol on Biosafety	Yaounde, Cameroon
18-20 February 2001	Latin American and the Caribbean Regional Workshop on Sustainable Use of Biological Diversity	Salinas, Ecuador
19-20 February 2002	Informal Meeting on Formats, Protocols and Standards for the Improved Exchange of Biodiversity Information	Montreal, Canada
4-8 March 2002	Asian and Pacific Regional Meeting on the Pilot Phase of the Biosafety Clearing house	Beijing, China

<b>DATES</b>	<b>MEETING TITLE</b>	<b>VENUE</b>
13-15 March 2002	Technical Expert Meeting on Handling, Transport, Packaging and Identification of Living Modified Organisms, (Article 18.2b and 18.2c of the Biosafety Protocol)	Montreal , Canada
18-20 March 2002	Technical Experts Meeting on Handling, Transport, Packaging and Identification of Living Modified Organisms, (Article 18.2a of the Biosafety Protocol)	Montreal, Canada
18-20 March 2002	Regional Meeting for Asia and the Pacific in preparation for the Sixth Meeting of the Conference of the Parties	Bangkok, Thailand
18-22 March 2002	Regional Meeting for Latin America and the Caribbean in preparation for the Sixth Meeting of the Conference of the Parties	Kingston, Jamaica
18-22 March 2002	Ad hoc Technical Expert Group on Dry and Sub-humid Land Biodiversity	Montreal, Canada
19-21 March 2002	Regional Meeting for Africa in preparation for the Sixth Meeting of the Conference of the Parties	Nairobi, Kenya
7-19 April 2002	Sixth meeting of the Conference of the Parties to the Convention on Biological Diversity	The Hague, The Netherlands
22-26 April 2002	Third meeting of the Intergovernmental Committee on the Cartagena Protocol on Biosafety	The Hague, The Netherlands
20-24 May 2002	Second Meeting of the Ad Hoc Technical Expert Group on Marine and Coastal Protected Areas	Nelson, New Zealand
1-5 July 2002	Ad-Hoc Technical Expert Group on Mariculture	Rome, Italy
9-13 September 2002	Ad Hoc Technical Expert Group on Biological Diversity and Climate Change	Montreal, Canada
23-24 September 2002	Meeting of the Bureau of the Sixth meeting of the Conference of the Parties to the CBD	Montreal, Canada
23-27 September 2002	Second meeting of the Ad Hoc Technical Expert Group on Dry and Sub-Humid Lands	Montreal, Canada
11 - 12 Oct. 2002	Liaison Group meeting on the Global Strategy for Plant Conservation	Cartagena de Indias, Colombia
18 October 2002	Exploratory Workshop on the Global Initiative on Banking, Business and Biodiversity	Beijing, China
21 - 22 Oct. 2002	SBSTTA Bureau meeting	Montreal, Canada

4 - 5 Nov. 2002	Liaison Group meeting on capacity-building for biosafety	Montreal, Canada
1 Dec. 2002	Meeting of the COP Bureau	Montreal, Canada
2 - 4 Dec. 2002	Expert Meeting on methods and guidelines for the rapid assessment of biological diversity of inland water ecosystems	Montreal, Canada
2 - 4 Dec. 2002	Open-ended expert workshop on capacity-building for access to genetic resources and benefit-sharing	Montreal, Canada
2 - 4 Dec. 2002	Workshop on Liability and Redress in the context of the Cartagena Protocol	Rome, Italy
16 - 17 Dec. 2002	Meeting of the ICCP Bureau	Montreal, Canada
18 Dec. 2002	Joint meeting of the COP Bureau and ICCP Bureau	Montreal, Canada

**ANNEX VII****LIST OF PARTIES TO THE CARTAGENA PROTOCOL ON BIOSAFETY**  
as at 31 December 2002

	<b>COUNTRY</b>	<b>DATE OF RATIFICATION</b>
1.	Trinidad and Tobago	5 October 2000
2.	Bulgaria	13 October 2000
3.	Norway	10 May 2001
4.	St. Kitts and Nevis	23 May 2001
5.	Fiji	5 June 2001
6.	Lesotho	20 September 2001
7.	Czech Republic	8 October 2001
8.	Nauru	12 November 2001
9.	Uganda	30 November 2001
10.	The Netherlands	8 January 2002
11.	Spain	16 January 2002
12.	Kenya	24 January 2002
13.	Liberia	15 February 2002
14.	Switzerland	26 March 2002
15.	Djibouti	8 April 2002
16.	Mauritius	11 April 2002
17.	Bolivia	22 April 2002
18.	Panama	1 May 2002
19.	Venezuela	13 May 2002
20.	Samoa	30 May 2002
21.	Botswana	11 June 2002
22.	Niue	8 July 2002
23.	Sweden	8 August 2002
24.	Belarus	26 August 2002
25.	Bhutan	26 August 2002
26.	European Community	27 August 2002
27.	Denmark	27 August 2002
28.	Austria	27 August 2002
29.	Mexico	27 August 2002
30.	Nicaragua	28 August 2002
31.	Mali	28 August 2002
32.	Luxembourg	28 August 2002
33.	Croatia	29 August 2002
34.	Maldives	2 September 2002
35.	Barbados	6 September 2002
36.	Cuba	17 September 2002
37.	Mozambique	21 October 2002

38.	Slovenia	20 November 2002
39.	Ukraine	6 December 2002



## ANNEX VIII

### Report of the Roster of Experts on Biosafety

This is a summary report on the status and use of the roster of experts on biosafety, and the voluntary fund for the roster of experts on biosafety. A report will be made annually as part of the fourth quarter report of the Secretariat, in accordance with recommendations 2/9 and 3/4 of the Intergovernmental Committee for the Cartagena Protocol on Biosafety (ICCP), pending adoption of those recommendations by the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol.

#### *Roster Statistics*

At the end of 2002, there were 470 experts in the roster, nominated by 65 governments, broken down as follows:

#### Regional Breakdown:

<b>Region</b>	<b># Governments Making Nominations</b>	<b># Experts Nominated</b>
Africa	16	139
Asia and the Pacific	16	121
Central and Eastern Europe	9	85
Latin America and the Caribbean	13	64
Western Europe and Others	11	61

#### Gender Breakdown:

<b>Gender</b>	<b># Experts</b>
Female	78
Male	284
Not yet specified	108

#### Sectoral Breakdown:

<b>Area of Expertise*</b>	<b># Experts</b>
Legislation and Regulation	128
Risk Assessment and Risk Management	333
Social and Economic Sciences	81
Institutional Development	124
Teaching and Training	83
Public Awareness and Participation	97
Data Management and Information Sharing	22
Research and Development	42

\* Note that many experts have indicated multiple areas of expertise

#### *Use of the Roster*

There have been no requests made to the Secretariat to facilitate contacts between governments and experts in the roster in 2002, and no expert assignments have been reported to the Secretariat during 2002. In future, the report will provide details on expert assignments and results of contacts between Parties and experts, as specified in the Interim Guidelines for the Roster of Experts on Biosafety.

*The Voluntary Fund*

The voluntary fund for the roster of experts on biosafety was established in April 2002 by the Conference of the Parties to the Convention. There were no contributions to the fund at the end of 2002. In future, the report will provide details on the use of the fund as specified in the Interim Guidelines for the Pilot Phase of the Voluntary Fund for the Roster of Experts on Biosafety.