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CONFERENCE OF THE PARTIES TO THE CONVENTION
ON BIOLOGICAL DIVERSITY SERVING AS THE
MEETING OF THE PARTIES TO THE CARTAGENA
PROTOCOL ON BIOSAFETY

Seventh meeting

Pyeongchang, Republic of Korea, 29 September - 3 October 2014

ADOPTION OF THE AGENDA

Revised annotations to the provisional agenda

INTRODUCTION

1. Following the offer of the Government of the Republic of Korea, which was welcomed by the Conference of the Parties to the Convention on Biological Diversity in its decision XI/32, the seventh meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety (COP-MOP) will be held in Pyeongchang, Republic of Korea. The meeting will be held from 29 September to 3 October 2014, and will be held in conjunction with the twelfth meeting of the Conference of the Parties.

2. Consultations among delegations and preparatory meetings of regional groups may be held on 28 September 2014. Registration for the meeting will commence on Sunday, 28 September 2014, from noon to 6 p.m. and continue on Monday, 29 September from 8 a.m. at the Alpensia Conference Centre, Pyeongchang, Republic of Korea.

I. ORGANIZATIONAL MATTERS

ITEM 1. OPENING OF THE MEETING

3. The meeting will be opened at 10 a.m. on 29 September 2014 by the President of the sixth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol in accordance with rule 21, paragraph 2, of the rules of procedure. Opening statements may also be made by, among others, representative(s) of the host country, the Executive Director of the United Nations Environment Programme (UNEP) and the Executive Secretary of the Convention on Biological Diversity.

ITEM 2. ORGANIZATION OF THE MEETING

2.1. Officers

4. The current Bureau of the Conference of the Parties to the Convention shall serve as the Bureau of the seventh meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol. In accordance with Article 29, paragraph 3, of the Cartagena Protocol, any member of the Bureau of the Conference of the Parties representing a Party to the Convention but, at that time, not

a Party to the Protocol, shall be substituted by a member to be elected by and from among the Parties to the Cartagena Protocol.

5. Except for the first meeting, the meetings of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol have been scheduled and held before the meeting of the Conference of the Parties to the Convention on Biological Diversity. Bureau members are elected at the commencement of the meeting of the Conference of the Parties to the Convention. In the past, there were occasions where one or more Bureau members were elected from Parties to the Convention that were not Parties to the Cartagena Protocol. This resulted in the need to elect reserve Bureau member, at least one from each region, for substitution, as appropriate, in the event that the twelfth meeting of the Conference of the Parties elects Bureau members from Parties to the Convention not Parties to the Cartagena Protocol. This arrangement is to ensure the full representation of all regions in the Bureau.

2.2. Adoption of the agenda

6. In decision BS-V/16, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol adopted the Strategic Plan for the Cartagena Protocol on Biosafety for the period 2011–2020 (hereinafter the “Strategic Plan for the Cartagena Protocol”) and its programme of work for the period 2012–2016, as contained in annexes I and II of the decision respectively. In accordance with the programme of work, and pursuant to rules 8 and 9 of the rules of procedure for meetings of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, the Executive Secretary has prepared a provisional agenda (UNEP/CBD/BS/COP-MOP/7/1) for consideration by the seventh meeting. The provisional agenda reflects the standing issues identified in the programme of work and reports on substantive issues arising from previous decisions of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and issues stipulated in the operational objectives of the Strategic Plan for the Cartagena Protocol.

7. The provisional agenda also includes a special session on implementation, aimed at providing a platform for sharing experiences and identifying challenges in the implementation of the Cartagena Protocol; exchanging views on better ways of integrating biosafety into relevant national development plans and programmes, including the national biodiversity strategies and national plans (NBSAPs); and mobilizing the necessary human and financial resources to enhance the effective implementation of the Cartagena Protocol at the national level in line with the Strategic Plan for the Protocol, as urged by the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety in paragraphs 2 (a) and (b) of its decision BS-V/16. The special session on implementation is intended to complement and provide input into the deliberations with particular reference to implementation during the seventh meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol.

8. The meeting of the Parties will be invited to consider and adopt its agenda on the basis of the provisional agenda prepared by the Executive Secretary.

2.3. Organization of work

9. The meeting will be invited to consider and adopt the proposal for organization of its work as contained in annex I to this document which provides for a plenary and two working groups. The Executive Secretary prepared this proposal in consultation with the Bureau, with a view to assisting the present meeting to consider all the items on its agenda in the timeframe available. The proposal builds on the experience gained with the past six meetings of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety, during which a plenary and two working groups were established.

10. Interpretation will be available for the morning and afternoon sessions of the two working groups.

11. The working documents and the information documents prepared for the meeting are listed in annex II to this document.

ITEM 3. REPORT ON THE CREDENTIALS OF REPRESENTATIVES TO THE SEVENTH MEETING OF THE CONFERENCE OF THE PARTIES SERVING AS THE MEETING OF THE PARTIES TO THE CARTAGENA PROTOCOL ON BIOSAFETY

12. In accordance with rules 18 and 19 of the rules of procedure for meetings of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, the credentials of representatives to the meeting shall be examined by the Bureau of the meeting, which will submit a report thereon to the plenary for an appropriate decision.

13. In order to assist Parties in fulfilling the requirements of rule 18, the Executive Secretary has prepared a sample format of appropriate credentials which has been distributed to national focal points as an annex to the letter of invitation to the meeting.

14. The meeting will be invited to consider and adopt the report on credentials submitted to it by the Bureau.

II. REPORTS

ITEM 4. REPORT OF THE COMPLIANCE COMMITTEE

15. The Compliance Committee under the Protocol is required to report and submit its recommendations to the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol. The Committee will submit its report on the work it has undertaken following the sixth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol.

16. The seventh meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol is expected to consider the report and the recommendations of the Compliance Committee (document UNEP/CBD/BS/COP-MOP/7/2) and to take appropriate actions.

17. The Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol will also be invited to elect new members to the Compliance Committee in order to replace five members, i.e., one from each of the five regions, whose term is due to expire at the end of 2014.

ITEM 5. OPERATION AND ACTIVITIES OF THE BIOSAFETY CLEARING-HOUSE

18. Paragraph 1 of Article 20 of the Protocol establishes the Biosafety Clearing-House (BCH) in order to facilitate the exchange of information and experience pertaining to living modified organisms (LMOs) and assist Parties to implement the Protocol.

19. The Strategic Plan for the Cartagena Protocol has set out as one of its strategic objectives (focal area 4) “Information sharing” with the following three operational objectives: (i) to increase the amount and quality of information submitted to and retrieved from the BCH (BCH effectiveness); (ii) to establish the BCH as a fully functional and effective platform for assisting countries in the implementation of the Protocol (BCH as a tool for online discussions and conferences); and (iii) to enhance understanding through other information exchange mechanisms (information sharing other than through the BCH).

20. Accordingly, under this item, the Executive Secretary has prepared a note (UNEP/CBD/BS/COP-MOP/7/3) containing a progress report on the programme elements identified in the Multi-Year Programme of Work and an analysis of the relevant outcomes and indicators set out in the Strategic Plan for the Protocol. A report on the two intersessional meetings held by the Informal Advisory Committee on the Biosafety Clearing house has also been made available as an information document (UNEP/CBD/BS/COP-MOP/7/INF/1).

21. Further, in its decision BS-VI/2, the COP-MOP requested the Executive Secretary to collect, through Biosafety Clearing-House national focal points and online tools made available in the Biosafety Clearing-House, feedback from Parties, other Governments and relevant organizations on existing

capacity and experiences in using the Biosafety Clearing-House and the submission and retrieval of data, and to take this experience into account for future improvements to the Biosafety Clearing-House. In response to this request, the Secretariat prepared a short survey which was made available in all six official languages of the United Nations through the BCH. The detail results of the survey are available in document UNEP/CBD/BS/COP-MOP/7/INF/12 and are summarized on the BCH at <http://bch.cbd.int/managementcentre/register/feedbacksurvey2014.shtml>.

22. The Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol will be invited to take note of the progress report and to provide, as appropriate, further guidance on the operation and activities of the BCH.

ITEM 6. MATTERS RELATED TO THE FINANCIAL MECHANISM AND RESOURCES

23. At its sixth meeting, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol adopted decision BS-VI/5 on matters related to the financial mechanism and resources, which included a recommendation to the Conference of the Parties on further guidance to the financial mechanism with respect to biosafety. The Conference of the Parties included the recommendation it received in its guidance to the Global Environment Facility (GEF) as contained in paragraph 9 and appendix II of its decision XI/5.

24. Under this agenda item, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol will review the status of implementation of previous guidance to the financial mechanism with respect to biosafety and the decision regarding mobilization of additional resources to support the implementation of the Protocol and consider the need for further guidance.

25. To assist the meeting in its consideration of this item, the Executive Secretary has prepared a note providing a summary report on the status of implementation of the previous guidance to the financial mechanism with respect to biosafety based on the information received from Parties, the Secretariat of GEF and the GEF implementing agencies (UNEP/CBD/BS/COP-MOP/7/4). The meeting will also have before it the full report submitted by the GEF Secretariat to the twelfth meeting of the Conference of the Parties on the status of implementation of the guidance to the financial mechanism, including the guidance with respect to biosafety (UNEP/CBD/COP/12/14/Add.1).

ITEM 7. COOPERATION WITH OTHER ORGANIZATIONS, CONVENTIONS AND INITIATIVES

26. At its sixth meeting, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, in its decision BS-VI/6 on cooperation with other organizations, conventions and initiatives, requested the Executive Secretary, subject to the availability of funds, to (a) further pursue cooperation with other organizations, conventions and initiatives with a view to meeting the strategic objective in focal area 5 of the Strategic Plan for the Protocol, on outreach and cooperation; and (b) continue efforts to gain observer status in those committees of the World Trade Organization that are relevant to biosafety.

27. Under this item, the Executive Secretary will provide an update on cooperative activities between the Secretariat and other organizations, conventions and initiatives relevant to the implementation of the Protocol, including the Green Customs Initiative, the International Plant Protection Convention, the Secretariat of the United Nations Economic Commission for Europe Convention on Access to Information, Public Participation in Decision-Making and Access to Justice in Environmental Matters (Aarhus Convention). This update will be available in document UNEP/CBD/BS/COP-MOP/7/5. The meeting will be invited to take note of the report and to provide, as appropriate, further guidance on cooperation, and/or to incorporate, as the case may be, the ongoing cooperation into its consideration of relevant items on its agenda.

ITEM 8. REPORT OF THE EXECUTIVE SECRETARY ON THE ADMINISTRATION OF THE PROTOCOL AND ON BUDGETARY MATTERS

28. In its decision BS-VI/7, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol adopted a programme budget for the distinct costs of the Secretariat services and for the biosafety work programme for the biennium 2013-2014. In paragraph 22 of the decision, the COP-MOP requested the Executive Secretary to prepare and submit, to its seventh meeting, a programme budget for secretariat services and the work programme of the Protocol and the Supplementary Protocol, including terms of reference for any proposals for new staff and agreed to upgrade a post for the implementation of the Supplementary Protocol for the biennium 2015-2016, and to provide three alternatives for the budget based on (a) assessment of the required rate of growth for the programme budget; (b) increasing the core programme budget (BG Trust Fund) from the 2013-2014 level by 7.5 per cent in nominal terms; and (c) maintaining the core programme budget (BG Trust Fund) from the 2013-2014 level in nominal terms.

29. The Conference of the Parties to the Convention on Biological Diversity, in paragraph 2 of its decision XI/10, requested the Executive Secretary, in consultation with the Bureau, to prepare a proposal on improving the efficiency of structures and processes under the Convention and its two Protocols, including the periodicity of meetings until 2020, the organization of intersessional work, and the organization of the meetings of the Conference of the Parties and the COP-MOP of the two Protocols, for consideration by the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention at its fifth meeting. Further, in paragraph 3 of the same decision, the Conference of the Parties requested the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention to consider the proposal and to develop a recommendation for the consideration of the Conference of the Parties at its twelfth meeting.

30. At its fifth meeting, held in Montreal from 16 to 20 June 2014, the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention considered its agenda item on improving efficiency of structures and processes of the Convention and its Protocols on the basis of proposals prepared by the Secretariat. The Working Group generally agreed with the proposal to integrate the implementation processes of the Cartagena Protocol on Biosafety and the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization into the Convention while maintaining the integrity of the two protocols; in that context, it stressed the importance of organizing concurrent meetings of the Convention and its Protocols in a manner that would allow the full and effective participation of all Parties.

31. Furthermore, the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention requested the Executive Secretary to prepare a plan for the concurrent organization, in a two-week period, of subsequent meetings of the Conference of the Parties to the Convention, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol for consideration by the Conference of the Parties at its twelfth meeting, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol at its seventh meeting and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its first meeting.

32. The plan is to address the legal, financial and logistical aspects, the benefits and risks of all options, specific agenda items that would benefit from consideration jointly or in close proximity to one another and the implications of ensuring the full and effective participation of representatives of developing country Parties, in particular the least developed countries and small island developing States among them, and Parties with economies in transition. The Working Group further recommended to the Conference of the Parties to decide, on the basis of the plan prepared by the Executive Secretary, and in the light of the consideration of the issue by the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol at its seventh meeting, that the thirteenth meeting of the Conference of the Parties be organized within a two-week period to include the meetings of the Conference of the

Parties serving as the meeting of the Parties to the Nagoya Protocol and the meeting of the Parties to the Cartagena Protocol.

33. The plan prepared in that regard for the twelfth meeting of the Conference of the Parties to the Convention (UNEP/CBD/COP/12/25) is made available to this meeting as an annex to document UNEP/CBD/BS/COP-MOP/7/6/Add.2, including some elements for a draft decision.

34. The Ad Hoc Open-ended Working Group on Review of Implementation of the Convention also recommended that the Conference of the Parties to the Convention establish a subsidiary body for implementation to replace the Working Group and requested the Executive Secretary to prepare terms of reference for the subsidiary body with a mandate to review the implementation of the Convention and its Protocols for consideration by the twelfth meeting of the Conference of the Parties to the Convention, the seventh meeting of the Parties to the Cartagena Protocol and the first meeting of the Parties to the Nagoya Protocol. These terms of reference are to take into consideration the mandate of the other subsidiary bodies of the Convention and propose changes to their mandates, if desirable. The terms of reference are available as UNEP/CBD/BS/COP-MOP/7/6/Add.3.

35. In paragraph 25 of decision XI/31, the Conference of Parties to the Convention requested the Executive Secretary, to undertake an in-depth functional review of the Secretariat, in consultation with the Executive Director of UNEP, with a view to updating its structure and the grading of posts in line with the Strategic Plan's focus on implementation by Parties and to report to the Conference of Parties at its twelfth meeting. The report on the functional review of the Secretariat is presented in document UNEP/CBD/BS/COP-MOP/7/6/Add.4.

36. The meeting will be invited to review the notes prepared by the Executive Secretary on the administration of the Protocol (UNEP/CBD/BS/COP-MOP/7/6) and on budgetary matters (UNEP/CBD/BS/COP-MOP/7/6/Add.1) and provide, as appropriate, guidance and take necessary action. Furthermore, the meeting will also consider the issues raised by the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention on improving the efficiency of structures and processes under the Convention and its two Protocols including the Working Group's recommendations in documents: (UNEP/CBD/BS/COP-MOP/7/6/Add.2; UNEP/CBD/BS/COP-MOP/7/6/Add.3); and the report on the functional review (UNEP/CBD/BS/COP-MOP/7/6/Add.4) in order to take decision or provide appropriate guidance.

III. SPECIAL SESSION

ITEM 9. SPECIAL SESSION ON IMPLEMENTATION

37. At its meeting held in Montreal on 6 October 2013, the Bureau of COP-MOP 6 endorsed a proposal by the Executive Secretary to convene a special session on the implementation of the Cartagena Protocol on Biosafety during COP-MOP 7. The special session is intended to provide a platform for sharing views, experiences and information on the challenges encountered, in the implementation of the Cartagena Protocol. In particular, the special session will allow Parties to share experience and exchange views on better ways of integrating biosafety into relevant national development plans, other relevant national policies and programmes, including the national biodiversity strategies and national plans (NBSAPs); and of mobilizing additional resources to advance the implementation of the Cartagena Protocol at the national level in line with the Strategic Plan for the Protocol 2011-2020, as urged by the COP-MOP in paragraphs 2 (a) and 2 (b) of its decision BS-V/16.

38. To facilitate the discussions during the special session, the Executive Secretary will prepare a synthesis report on the experiences, challenges and the lessons learned in the integration of biosafety into relevant national plans and programmes, and the potential strategies identified for overcoming the challenges based on the outcomes of the online discussions and other sources of information (UNEP/CBD/BS/COP-MOP/7/7).

39. The main output expected of the special session will be a co-chairs summary of good practices and recommendations on how to improve the integration of biosafety into national development plans and

programmes and the mobilization of the necessary resources to advance the implementation of the Cartagena Protocol. Delegations may wish to take into consideration relevant outcomes of the special session in their deliberations under the other agenda items.

IV. SUBSTANTIVE ISSUES ARISING FROM THE PROGRAMME OF WORK AND PREVIOUS DECISIONS OF THE CONFERENCE OF THE PARTIES SERVING AS THE MEETING OF THE PARTIES TO THE CARTAGENA PROTOCOL ON BIOSAFETY

ITEM 10. HANDLING, TRANSPORT, PACKAGING AND IDENTIFICATION OF LIVING MODIFIED ORGANISMS (ARTICLE 18)

40. The Parties to the Cartagena Protocol, in their decision BS-III/10, paragraph 7, decided to review and assess, at their fifth meeting, experience gained with the implementation of paragraph 4 of decision BS-III/10 with a view to considering a decision at their sixth meeting to ensure that documentation accompanying living modified organisms intended for direct use as food or feed, or for processing (LMOs-FFP) clearly states that the shipment contains such a living modified organism and includes the detailed information in paragraphs 4 (c)-(f) of the decision. This review was also to include an examination of capacity-building efforts in developing countries. At their fifth meeting, the Parties to the Protocol, in their decision BS-V/8, paragraph 6, after taking into account the limited experience gained in the implementation of the requirements of the paragraph i.e. paragraph 4 of decision BS-III/10, further postponed the expected decision referred to in paragraph 7 of decision BS-III/10 to the present meeting.

41. In its decision BS-V/8, paragraph 7, the COP-MOP requested Parties, and invited other Governments and relevant organizations to submit to the Executive Secretary, no later than six months prior to its seventh meeting, further information on experience gained with the implementation of paragraph 4 of decision BS-III/10 as well as the implementation of decision BS-V/8, including any information on obstacles that are encountered in the implementation of these decisions as well as capacity-building needs to implement these decisions. The Parties to the Cartagena Protocol also requested the Executive Secretary to compile the information and prepare a synthesis report for their consideration at the seventh meeting.

42. Accordingly, the meeting will have before it a synthesis of the information submitted by Parties, other Governments and relevant organizations (UNEP/CBD/BS/COP-MOP/7/8) by the Executive Secretary in accordance with the above-mentioned decision. An information document containing a compilation of the information submitted is also made available (UNEP/CBD/BS/COP-MOP/7/INF/2).

43. Further, the Parties to the Cartagena Protocol requested the Executive Secretary, among other things, to examine the potential gaps and inconsistencies identified in the study commissioned under paragraph 1 (d) of decision BS-V/9, available as UNEP/CBD/BS/COP-MOP/6/INF/24, and to provide recommendations, as appropriate, to the seventh meeting.

44. The meeting will therefore have before it a document on further examination of the potential gaps and inconsistencies identified in the study referred to above (UNEP/CBD/COP-MOP/7/8/Add.1), as regards the standards relevant to the handling, transport, packaging and identification of living modified organisms within the context of paragraph 3 of Article 18.

ITEM 11. NAGOYA – KUALA LUMPUR SUPPLEMENTARY PROTOCOL ON LIABILITY AND REDRESS

45. According to the programme of work of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol for the period 2012–2016, contained in annex II to decision BS-V/16, the seventh meeting of the Parties to the Protocol is expected to consider the status of implementation of the Nagoya-Kuala Lumpur Supplementary Protocol on Liability and Redress to the

Cartagena Protocol on Biosafety. Accordingly, the Executive Secretary will submit a status report as document UNEP/CBD/BS/COP-MOP/7/9.

ITEM 12. RISK ASSESSMENT AND RISK MANAGEMENT (ARTICLES 15 AND 16)

46. In decision BS-VI/12, the Parties to the Cartagena Protocol took note of the conclusions and recommendations of the open-ended online forum and the Ad Hoc Technical Expert Group (AHTEG) on Risk Assessment and Risk Management and commended the progress made on the “Guidance on Risk Assessment of Living Modified Organisms” (hereafter “the Guidance”), with the clear understanding that (a) the Guidance is not prescriptive and does not impose any obligations on Parties; and that (ii) the Guidance would be tested nationally and regionally for further improvement in actual cases of risk assessment and in the context of the Cartagena Protocol on Biosafety.

47. The COP-MOP, in its decision BS-VI/12, also extended the open-ended online forum, and established a new Ad Hoc Technical Expert Group on Risk Assessment and Risk Management (AHTEG) to serve until the seventh meeting of the Parties to the Cartagena Protocol. In accordance with the terms of reference annexed to the decision, the open-ended online forum and the AHTEG were to work together, mainly online, with a view to developing and achieving the following:

(a) Moderated online discussions relating to the testing of the practicality, usefulness and utility of the Guidance;

(b) A package that aligns the Guidance on Risk Assessment of Living Modified Organisms (e.g. the Roadmap) with the training manual “Risk Assessment of Living Modified Organisms” in a coherent and complementary manner; and

(c) A recommendation on how to proceed with respect to the development of further guidance on specific topics of risk assessment, selected on the basis of the priorities and needs indicated by the Parties with the view of moving toward operational objectives 1.3. and 1.4 of the Strategic Plan for the Cartagena Protocol and its outcomes.

48. Further, in decision BS-VI/12, the Parties to the Cartagena Protocol requested the Executive Secretary, inter alia, to:

Testing of the Guidance on Risk Assessment of Living Modified Organisms:

(a) Develop appropriate tools to structure and focus the testing of the Guidance;

(b) Gather and analyse, in a transparent manner, feedback provided as a result of testing on the practicality, usefulness and utility of the Guidance, (i) with respect to consistency with the Cartagena Protocol on Biosafety; and (ii) taking into account past and present experiences with living modified organisms;

(c) Provide a report on possible improvements to the Guidance for consideration by the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol at its seventh meeting;

Capacity-building:

(d) Cooperate with the open-ended online forum and Ad Hoc Technical Expert Group to develop a package that aligns the “Guidance on Risk Assessment of Living Modified Organisms” (e.g. the Roadmap) with the training manual “Risk Assessment of Living Modified Organisms” in a coherent and complementary manner, with the clear understanding that the Guidance is still being tested;

Identification of living modified organisms or specific traits that (i) may have or (ii) are not likely to have adverse effects:

(e) Create sections in the Biosafety Clearing-House for the submission and easy retrieval of information on the identification of living modified organisms or specific traits that (i) may have or

(ii) are not likely to have adverse effects on the conservation and sustainable use of biological diversity, taking also into account risks to human health;

Status of implementation of risk assessment and risk management provisions:

(f) Conduct an online survey on the status of the implementation of operational objectives 1.3, 1.4 and 2.2 of the Strategic Plan for the Cartagena Protocol, where data is missing and cannot be retrieved through existing sources of information available to the Secretariat, with a view to establishing baselines for, and collecting data on, the indicators concerned.

49. In annex II to decision BS-V/16, the Parties to the Cartagena Protocol also agreed to include in the work plan for its seventh meeting a consideration on modalities for cooperation and guidance in identifying living modified organisms or specific traits that may have adverse effects on the conservation and sustainable use of biological diversity, taking also into account risks to human health.

50. To facilitate discussions under this item, the Parties have before them for their consideration and further guidance, a note by the Executive Secretary on risk assessment and risk management (UNEP/CBD/BS/COP-MOP/7/10) and the report of the Ad Hoc Technical Expert Group on Risk Assessment and Risk Management (UNEP/CBD/BS/COP-MOP/7/10/Add.1) summarizing the activities pertaining to risk assessment and risk management during the last intersessional period, including elements of a possible way forward and future activities.

51. The Parties to the Cartagena Protocol also have before them, as information documents (i) a summary of the results of the testing of the Guidance (UNEP/CBD/COP-MOP/7/INF/3); (ii) a synthesis of the results of the online survey on the status of the implementation of operational objectives 1.3, 1.4 and 2.2 of the Strategic Plan for the Cartagena Protocol (UNEP/CBD/COP-MOP/7/INF/4); (iii) a report of the Open-ended Online Expert Forum on Risk Assessment and Risk Management (UNEP/CBD/COP-MOP/7/INF/5); and (iv) an overview of the online package aligning the “Training Manual on Risk Assessment of Living Modified Organisms” and the “Roadmap for Risk Assessment of Living Modified Organisms” (UNEP/CBD/COP-MOP/7/INF/6).

ITEM 13. SOCIO-ECONOMIC CONSIDERATIONS (ARTICLE 26)

52. At its sixth meeting, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, in its decision BS-VI/13, established an ad hoc technical expert group to develop conceptual clarity on socio-economic considerations in the context of paragraph 1 of Article 26 of the Protocol. In that same decision, the Parties to the Cartagena Protocol also requested the Executive Secretary to compile, take stock of and review information on socio-economic considerations arising from the impact of living modified organisms on the conservation and sustainable use of biodiversity in order to develop a global overview; to convene online discussion groups and regional online real-time conferences to facilitate and synthesize the exchange of views, information and experiences on socio-economic considerations, and ultimately facilitate the work of the ad hoc technical expert group.

53. Accordingly, the Executive Secretary organized online forums in March and April 2013. Four regional online real-time conferences were also held in June 2013. A consultant was commissioned to prepare the global overview of information on socio-economic considerations. Pursuant to paragraph 4 of decision BS-VI/13, the Ad Hoc Technical Expert Group on Socio-economic Considerations was convened and examined the outcomes of the online forums, the regional online real-time conferences, and the compilation and review of information on socio-economic considerations (global overview) with the aim of developing conceptual clarity on socio-economic considerations.

54. The report of the meeting of the Ad Hoc Technical Expert Group on Socio-economic Considerations is submitted as part of document UNEP/CBD/BS/COP-MOP/7/11/Rev.1 for consideration by COP-MOP 7. The document is submitted with a view to enabling the COP-MOP 7 to deliberate and decide upon appropriate further steps towards fulfilling operational objective 1.7 of the Strategic Plan for the Cartagena Protocol.

ITEM 14. MONITORING AND REPORTING (ARTICLE 33)

55. Article 33 of the Protocol requires each Party to report, at intervals to be determined by the Conference of the Parties serving the meeting of the Parties to the Cartagena Protocol on Biosafety, on measures that it has taken to implement the Protocol. In this regard, at its first meeting, in its decision BS-I/9, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol requested Parties to submit their reports every four years and twelve months prior to the meeting of the Parties to the Cartagena Protocol that would consider the reports. To date, the Parties to the Cartagena Protocol have been requested to submit the following three reports: (a) an interim national report due by September 2005; (b) a first national report due by September 2007 and (c) a second national report due by September 2011.

56. At its sixth meeting, the COP-MOP, in its decision BS-VI/14, requested the Executive Secretary to:

(a) Assess, on the basis of the second national reports, the discrepancies and/or gaps in information made available by Parties through the Biosafety Clearing-House, and to assist Parties to submit, through the Biosafety Clearing-House and without further delay, the updated information contained in their reports;

(b) Update the reporting format, taking into account the experience gained from analysing the second national reports, the recommendations of the Compliance Committee and the feedback received from Parties; and

(c) Submit the revised format to the seventh meeting of the Parties to the Protocol for its consideration.

57. Further, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, in paragraph 3 of its decision BS-VI/15, also requested the Executive Secretary to:

(a) Undertake a dedicated survey to gather information corresponding to indicators in the Strategic Plan for the Protocol that could not be obtained from the second national reports or through other existing mechanisms;

(b) Review the information gathered through the survey referred to in subparagraph (a) above and make the results available to the Parties before their seventh meeting. The results are given in UNEP/CBD/BS/COP-MOP/7/INF/10.

58. Accordingly, the Executive Secretary made available through the Biosafety Clearing-House the results of the survey and has prepared a note which contains a synthesis of the main results of the survey (UNEP/CBD/BS/COP-MOP/7/12) as well as a revised draft format for the third national report.

59. The seventh meeting of the Parties to the Cartagena Protocol will be invited to consider the draft format that will be used to gather information to be submitted through the third national reports and to provide further guidance on the modalities for the preparation of the third national report. Information to be provided by Parties is also intended to be used as the source of data for both the mid-term review of the implementation of the Strategic Plan for the Cartagena Protocol and the third assessment and review of the Protocol by Parties at their eighth meeting.

ITEM 15. ASSESSMENT AND REVIEW OF THE EFFECTIVENESS OF THE PROTOCOL (ARTICLE 35)

60. At their sixth meeting, the Parties to the Cartagena Protocol, in their decision BS-VI/15, stressed the need to undertake activities to enable COP-MOP to initiate the processes for the third assessment and review of the effectiveness of the Cartagena Protocol and for the mid-term evaluation of the Strategic Plan for the Cartagena Protocol on Biosafety for the period 2011-2020. The COP-MOP further requested the Executive Secretary to commission a consultant to develop a sound methodological approach for the third assessment and review of the effectiveness of the Protocol, focusing primarily on the effectiveness of its institutional processes, annexes, procedures and mechanisms, provide Parties with the opportunity

to submit views on the methodological approach developed and submit a proposal for consideration at its seventh meeting.

61. Accordingly, the Parties to the Protocol will have before them a note prepared by the Executive Secretary that includes the proposed sound methodological approach for the third assessment and review of the effectiveness of the Protocol and for the mid-term evaluation of the Strategic Plan for the Cartagena Protocol for its consideration (UNEP/CBD/BS/COP-MOP/7/13).

ITEM 16. UNINTENTIONAL TRANSBOUNDARY MOVEMENTS AND EMERGENCY MEASURES (ARTICLE 17)

62. In paragraph 3 of decision BS-VI/16, Parties and other Governments were invited to cooperate in building capacity, transferring the technology and exchanging information necessary to detect and respond to occurrences resulting in a release that could lead to unintentional transboundary movement of a living modified organism that is likely to have significant adverse effects on the conservation and sustainable use of biological diversity, taking also into account risks to human health.

63. The Parties to the Cartagena Protocol, in paragraph 4 of their decision BS-VI/16 also requested Parties and invited other Governments and relevant organizations to provide views and information on any challenges and experiences relating to the implementation of Article 17 of the Protocol and on the scope and elements of possible guidance or tools that may facilitate appropriate responses by Parties to unintentional transboundary movements of living modified organisms.

64. To facilitate discussions on this item for guidance and decision, the Executive Secretary has prepared a note which includes a summary of the outcomes of activities undertaken under the Network of Laboratories for the Detection and Identification of Living Modified Organisms (UNEP/CBD/BS/COP-MOP/7/14), established further to decision BS-V/9, relevant to the detection and identification of living modified organisms in the context of unintentional transboundary movement as well as a synthesis of views submitted on challenges and experiences relating to the implementation of Article 17 of the Protocol and on the scope and elements of possible guidance or tools that may facilitate appropriate responses by Parties to unintentional transboundary movements of living modified organisms.

65. The Parties to the Cartagena Protocol will also have before them, as information documents: (a) a summary of the results of the survey on the status of the implementation of operational objectives 1.6, 1.8 and 2.3 of the Strategic Plan for the Cartagena Protocol (UNEP/CBD/BS/COP-MOP/7/INF/7); (b) the report of the Workshop of the Network of Laboratories for the Detection and Identification of Living Modified Organisms, held in Ispra, Italy, from 25 to 27 November 2013 (UNEP/CBD/BS/COP-MOP/7/INF/8); and (c) a synthesis of the online discussions under the Network carried out during the intersessional period (UNEP/CBD/BS/COP-MOP/7/INF/9).

ITEM 17. CONTAINED USE OF LIVING MODIFIED ORGANISMS

66. For the purposes of the Protocol, Article 3 (b) states that “[c]ontained use’ means any operation, undertaken within a facility, installation or other physical structure, which involves living modified organisms that are controlled by specific measures that effectively limit their contact with, and their impact on, the external environment”.

67. Article 6 of the Protocol further provides that, notwithstanding the scope of the Protocol and without prejudice to any right of a Party to subject all living modified organisms to risk assessment prior to decisions on import and to set standards for contained use within its jurisdiction, the provisions of the Protocol with respect to the advance informed agreement procedure shall not apply to the transboundary movement of living modified organisms destined for contained use undertaken in accordance with the standards of the Party of import.

68. Article 18, paragraph 2 (b), sets out the requirements for documentation accompanying living modified organisms destined for contained use, such that the documentation, inter alia, clearly identifies

them as living modified organisms, and specifies any requirements for the safe handling, storage, transport and use.

69. In its programme of work for the period 2012-2016 (annex II of decision BS-V/16), the COP-MOP agreed to include in the work plan for its seventh meeting an item on the contained use of living modified organisms within the context of Article 6, paragraph 2, and operational objective 1.8 of the Strategic Plan for the Cartagena Protocol. The purpose, as stated in the programme of work, is to consider the development of tools and guidance that facilitate the implementation of the Protocol's provisions on contained use of living modified organisms.

70. Further, in paragraph 4 of decision BS-VI/8, the COP-MOP invited Parties, other Governments and relevant international organizations to cooperate with and support developing country Parties and Parties with economies in transition to build capacity to implement the detection and identification requirements of paragraphs 2 (b) and 2 (c) of Article 18 of the Protocol and related decisions, including by facilitating the transfer of technology.

71. To assist in the deliberations on further steps regarding the development of tools and guidance on this issue, the Executive Secretary has prepared a note (UNEP/CBD/BS/COP-MOP/7/15) which includes an overview of how Parties are implementing, at the national level, the provisions on contained use of living modified organisms, as well as elements for consideration of further steps.

IV. FINAL MATTERS

ITEM 18. OTHER MATTERS

72. Under this item, the Conference of the Parties serving as the meeting of the Parties to the Protocol may wish to consider other matters raised and accepted for discussion in accordance with the rules of procedure.

ITEM 19. DATE AND VENUE OF THE EIGHTH MEETING OF THE CONFERENCE OF THE PARTIES SERVING AS THE MEETING OF THE PARTIES TO THE CARTAGENA PROTOCOL ON BIOSAFETY

73. In accordance with paragraph 2 of rule 4 of the rules of procedure, the Conference of the Parties serving as the meeting of the Parties to the Protocol is to decide on the date and venue of its next ordinary meeting.

ITEM 20. ADOPTION OF THE REPORT

74. The meeting will consider and adopt its report, on the basis of the draft report that will be presented by the Rapporteur. In accordance with established practice, the Parties will be invited to authorize the Rapporteur to complete the final report after the meeting, with the guidance of the President of Conference of the Parties serving as the meeting of the Parties to the Protocol and assistance of the Secretariat.

ITEM 21. CLOSURE OF THE MEETING

75. It is expected that the seventh meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol will be closed by its President in the afternoon of Friday, 3 October 2014.

Annex I

**PROPOSED ORGANIZATION OF WORK FOR THE SEVENTH MEETING OF THE CONFERENCE OF THE PARTIES SERVING
AS THE MEETING OF THE PARTIES TO THE PROTOCOL ON BIOSAFETY**

	<i>Plenary</i>	<i>Working Group I</i>	<i>Working Group II</i>
<p><i>Monday</i></p> <p><i>29 September 2014</i></p> <p>10 a.m. to 11 a.m.</p>	<p><i>Agenda item:</i></p> <p>1. Opening of the meeting</p>		
<p>11 a.m. to 1 p.m.</p>	<p><i>Agenda items:</i></p> <p>2. Organizational matters:</p> <p> 2.1. Officers;</p> <p> 2.2. Adoption of the agenda;</p> <p> 2.3. Organization of work.</p> <p>3. Report on the credentials of representatives to the seventh meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol on Biosafety</p> <p>4. Report of the Compliance Committee.</p> <p>6. Matters related to the financial mechanism and resources</p> <p>7. Cooperation with other organizations, conventions and initiatives</p> <p>8. Report of the Executive Secretary on the administration of the Protocol and on budgetary matters</p>		
<p>3 p.m. to 6 p.m.</p>	<p><i>Agenda items:</i></p> <p>Special session on implementation</p>		

<p><i>Tuesday</i> 30 September 2014 10 a.m to 11 a.m</p>		<p><i>Agenda items:</i></p> <ol style="list-style-type: none"> 4. Report of the Compliance Committee 6. Matters related to the financial mechanism and resources 11. Nagoya – Kuala Lumpur Supplementary Protocol on Liability and Redress 13. Socio-economic considerations (Article 26) 14. Monitoring and reporting (Article 33) 15. Third assessment and review of the effectiveness of the Protocol. (Article 35) 	<p><i>Agenda items:</i></p> <ol style="list-style-type: none"> 5. Operation and activities of the Biosafety Clearing-House 10. Handling, transport, packaging and identification (Article 18) 12. Risk assessment and risk management (Articles 15 and 16) 16. Unintentional transboundary movements and emergency measures (Article 17) 17. Contained use of living modified organisms
<p>3 p.m. to 6 p.m.</p>		<p><i>Agenda items:</i> Continuation of agenda items 4, 6, 11, 13, 14 and 15</p>	<p><i>Agenda items:</i> Continuation of agenda items 5, 10, 12, 16, and 17</p>
<p><i>Wednesday</i> 1 October 2014 10 a.m. to 11 a.m.</p>	<p>Plenary to review progress of the working groups</p>		
<p>11 a.m. to 1 p.m.</p>	<p><i>Agenda items:</i> Continuation of agenda items 7 and 8</p>		
<p>3 p.m. to 6 p.m.</p>		<p><i>Agenda items:</i> Continuation of agenda items 4, 6, 11, 13, 14 and 15</p>	<p><i>Agenda items:</i> Continuation of agenda items 5, 10, 12, 16, and 17</p>

<p><i>Thursday</i> 2 October 2014 10 a.m. to 1 p.m.</p>		<p><i>Agenda items:</i> Continuation of agenda items 4, 6, 11, 13, 14 and 15</p>	<p><i>Agenda items:</i> Continuation of agenda items 5, 10, 12, 16, and 17</p>
<p>3 p.m. to 6 p.m.</p>		<p><i>Agenda items:</i> Continuation of agenda items 4, 6, 11, 13, 14 and 15</p>	<p><i>Agenda items:</i> Continuation of agenda items 5, 10, 12, 16, and 17</p>
<p><i>Friday</i> 3 October 2014 10 a.m. to 1 p.m. <i>and</i> 3 p.m. to 6 p.m.</p>	<p><i>Agenda items:</i> 18. Other matters. 19. Date and venue for the eighth meeting of the Parties to the Protocol. 20. Adoption of the report. 21. Closure of the meeting.</p>		

Annex II

**PROVISIONAL LIST OF DOCUMENTS FOR THE SEVENTH MEETING OF THE
CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL
DIVERSITY SERVING AS THE MEETING OF THE PARTIES TO THE
CARTAGENA PROTOCOL ON BIOSAFETY**

A. Working documents

<i>Symbol</i>	<i>Title</i>
UNEP/CBD/BS/COP-MOP/7/1	Provisional agenda
UNEP/CBD/BS/COP-MOP/7/1/Add.1	Annotations to the provisional agenda
UNEP/CBD/BS/COP-MOP/7/2	Report of the Compliance Committee
UNEP/CBD/BS/COP-MOP/7/3	Operation and activities of the Biosafety Clearing-House
UNEP/CBD/BS/COP-MOP/7/4	Matters related to the financial mechanism and resources
UNEP/CBD/COP/12/14/Add.1	Report of the Global Environment Facility
UNEP/CBD/BS/COP-MOP/7/5	Cooperation with other organizations, conventions and initiatives
UNEP/CBD/BS/COP-MOP/7/6	Report of the Executive Secretary on the administration of the Protocol
UNEP/CBD/BS/COP-MOP/7/6/Add.1	Report of the Executive Secretary on budgetary matters
UNEP/CBD/BS/COP-MOP/7/6/Add.2	Improving the efficiency of structures and processes under the Convention and its Protocols
UNEP/CBD/BS/COP-MOP/7/6/Add.3	Improving the efficiency of structures and processes under the Convention and its Protocols: Terms of reference for a subsidiary body on implementation
UNEP/CBD/BS/COP-MOP/7/6/Add.4	Report on the functional review of the Secretariat
UNEP/CBD/BS/COP-MOP/7/7	Special session on implementation
UNEP/CBD/BS/COP-MOP/7/8	Handling, transport, packaging and identification of living modified organisms (Articles 18)
UNEP/CBD/BS/COP-MOP/7/8/Add.1	Examination of the potential gaps and inconsistencies as regards standards relevant to the handling, transport, packaging and identification of living modified organisms (Articles 18)
UNEP/CBD/BS/COP-MOP/7/9	Nagoya-Kuala Lumpur Supplementary Protocol on Liability and Redress
UNEP/CBD/BS/COP-MOP/7/10	Risk assessment and risk management (Article 15 and 16)
UNEP/CBD/BS/COP-MOP/7/10/Add.1	Report of the Open-ended Online Expert Forum on Risk Assessment and Risk Management
UNEP/CBD/BS/COP-MOP/7/10/Add.2	Report of the Ad Hoc Technical Expert Group on Risk Assessment and Risk Management
UNEP/CBD/BS/COP-MOP/7/11	Socio-economic considerations (Article 26)

<i>Symbol</i>	<i>Title</i>
UNEP/CBD/BS/COP-MOP/7/12	Monitoring and reporting (Article 33)
UNEP/CBD/BS/COP-MOP/7/13	Assessment and review of the effectiveness of the Protocol (Articles 35)
UNEP/CBD/BS/COP-MOP/7/14	Unintentional transboundary movements and emergency measures (Article 17)
UNEP/CBD/BS/COP-MOP/7/15	Contained use of living modified organisms

B. Information documents

<i>Symbol</i>	<i>Title</i>
UNEP/CBD/BS/COP-MOP/7/INF/1	Report of intersessional meetings of the Informal Advisory Committee on the Biosafety Clearing-House
UNEP/CBD/BS/COP-MOP/7/INF/2	Compilation of the information gained with the implementation of paragraph 4 of decision BS-III/10 as well as the implementation of decision BS-V/8
UNEP/CBD/BS/COP-MOP/7/INF/3	Analysis of the results of the testing of the “Guidance on Risk Assessment of Living Modified Organisms”
UNEP/CBD/BS/COP-MOP/7/INF/4	Compilation of the suggestions for improvements to the “Guidance on Risk Assessment of Living Modified Organisms”
UNEP/CBD/BS/COP-MOP/7/INF/5	Overview of the status of implementation of Operational Objectives 1.3, 1.4 and 2.2 of the Strategic Plan for the Cartagena Protocol on Biosafety
UNEP/CBD/BS/COP-MOP/7/INF/6	Revised Training Manual on Risk Assessment of “Living Modified Organisms”
UNEP/CBD/BS/COP-MOP/7/INF/7	Summary of the results of the survey on the status of the implementation of operational objectives 1.6, 1.8 and 2.3 of the Strategic Plan for the Protocol
UNEP/CBD/BS/COP-MOP/7/INF/8	Report of the Workshop of the Network of Laboratories for the Detection and Identification of Living Modified Organisms
UNEP/CBD/BS/COP-MOP/7/INF/9	Summary of the activities under the electronic network of laboratories for the detection and identification of living modified organisms (2012-2014)
UNEP/CBD/BS/COP-MOP/7/INF/10	Results of the survey to gather information corresponding to indicators in the Strategic Plan
UNEP/CBD/BS/COP-MOP/7/INF/11	Compilation of submissions on challenges and experiences relating to unintentional transboundary movements of living modified organisms
UNEP/CBD/BS/COP-MOP/7/INF/12	Report on the results of the “Collection of feedback on existing capacity and experiences in using the BCH”