



## Convention on Biological Diversity

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### Conference of the Parties to the Convention on Biological Diversity serving as the meeting of the Parties to the Cartagena Protocol on Biosafety Eleventh meeting

Cali, Colombia, 21 October–1 November 2024

Agenda item 11

#### Risk assessment and risk management

### Decision adopted by the Conference of the Parties to the Convention on Biological Diversity serving as the meeting of the Parties to the Cartagena Protocol on Biosafety on 30 October 2024

#### CP-11/7. Risk assessment and risk management

*The Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol,  
Recalling Article 1 of the Cartagena Protocol on Biosafety,<sup>1</sup>*

*Recalling also decision [CP-10/10](#) of 10 December 2022,*

*Recalling further decision [CP-9/13](#) of 28 November 2018, by which it established a process  
for the identification and prioritization of specific issues regarding the risk assessment of living  
modified organisms that might warrant consideration,*

*Recalling paragraph 7 of decision [14/19](#) of 29 November 2018 of the Conference of the Parties  
to the Convention on Biological Diversity,<sup>2</sup> in which the Conference of the Parties emphasized the  
need for a coordinated, complementary and non-duplicative approach on issues related to synthetic  
biology under the Convention and its Protocols, and noting, where appropriate, the conclusions of  
the multidisciplinary Ad Hoc Technical Expert Group on Synthetic Biology to Support the Process  
for Broad and Regular Horizon Scanning, Monitoring and Assessment,<sup>3</sup>*

*Taking note of the assessment by the Ad Hoc Technical Expert Group on Risk Assessment of  
additional issues on which guidance materials on risk assessment may be needed,<sup>4</sup>*

1. *Welcomes with appreciation the outcomes of the meetings of the Ad Hoc Technical  
Expert Group on Risk Assessment;<sup>5</sup>*

2. *Welcomes the additional voluntary guidance materials to support the case-by-case risk  
assessment of living modified organisms containing engineered gene drives;<sup>6</sup>*

<sup>1</sup> United Nations, *Treaty Series*, vol. 2226, No. 30619.

<sup>2</sup> *Ibid.*, vol. 1760, No. 30619.

<sup>3</sup> See CBD/SYNBIO/AHTEG/2024/1/3.

<sup>4</sup> See CBD/CP/RA/AHTEG/2024/1/3.

<sup>5</sup> See CBD/CP/RA/AHTEG/2023/1/4 and CBD/CP/RA/AHTEG/2024/1/3.

<sup>6</sup> CBD/CP/MOP/11/9.

3. *Invites* Parties, other Governments, indigenous peoples and local communities, women and youth organizations and relevant organizations and stakeholders to make use of the additional voluntary guidance materials in conducting relevant risk assessments and as a tool for capacity-building activities in risk assessment;

4. *Calls for* the consideration of the related issues set out in the additional voluntary guidance materials<sup>7</sup>, including traditional knowledge, innovation and practices of indigenous peoples and local communities, in the decision-making process;

5. *Invites* Parties, other Governments, indigenous peoples and local communities and relevant organizations and stakeholders that have used the voluntary guidance materials to submit to the Executive Secretary of the Convention on Biological Diversity information on their experiences and assessment of the applicability and usefulness of the materials;

6. *Calls for* broad international cooperation, knowledge-sharing, capacity-building and resource mobilization to support, inter alia, the application of the additional voluntary guidance materials;

7. *Decides* to establish an ad hoc technical expert group on risk assessment, with the terms of reference contained in the annex to the present decision, tasked with the evaluation of the needs and priorities identified by Parties through the submission of the information requested in paragraph 8 below;

8. *Invites* Parties to submit detailed information on their needs and priorities for further guidance materials on specific topics of risk assessment of living modified organisms, including a rationale following the criteria set out in annex I to decision [CP-9/13](#);

9. *Decides* to extend the Open-ended Online Forum on Risk Assessment and Risk Management to support the analysis of further topics of risk assessment in accordance with the criteria set out in annex I to decision [CP-9/13](#);

10. *Also decides* to consider at its twelfth meeting additional issues on which guidance materials on risk assessment may be needed, if any, further to the process for the identification and prioritization of specific issues pertaining to the risk assessment of living modified organisms established in decision [CP-9/13](#), taking into account the needs and priorities identified by Parties pursuant to paragraph 8 above and the Subsidiary Body on Scientific, Technical and Technological Advice recommendation pursuant to paragraph 1 (d) of the terms of reference of the Ad Hoc Technical Expert Group on Risk Assessment;

11. *Requests* that the Secretariat, subject to the availability of resources:

(a) Prepare a synthesis of the information submitted in response to paragraph 5 above for consideration by the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety at its twelfth meeting;

(b) Convene online discussions of the Open-ended Online Forum on Risk Assessment and Risk Management;

(c) Prepare a synthesis of information submitted in response to paragraphs 8 and 9 above to support the work of the Ad Hoc Technical Expert Group on Risk Assessment;

(d) Convene at least one in-person meeting of the Ad Hoc Technical Expert Group on Risk Assessment before the twelfth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol;

(e) Convene capacity-building and development activities in support of risk assessment with a particular focus on the risk assessment of living modified organisms containing engineered gene drives.

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<sup>7</sup> Ibid., sect. 7.

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**Annex****Terms of reference for the Ad Hoc Technical Expert Group on Risk Assessment**

1. The Ad Hoc Technical Expert Group on Risk Assessment shall:

(a) Be composed of experts, selected in accordance with section H of the consolidated *modus operandi* of the Subsidiary Body on Scientific, Technical and Technological Advice,<sup>8</sup> with specific scientific and technical expertise relevant to the mandate of the Expert Group, and include experts from academia, relevant international organizations and indigenous peoples and local communities, in line with decision [14/33](#) of 29 November 2018 on the procedure for avoiding or managing conflicts of interest in expert groups;

(b) Subject to the availability of funds, hold at least one in-person meeting before the twelfth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety;<sup>9</sup>

(c) Analyse the information submitted by Parties further to paragraph 8 of the present decision and the discussions in the Open-ended Online Forum on Risk Assessment and Risk Management, and on that basis prepare a list of prioritized topics, if any, on which further guidance materials on risk assessment may be needed according to the criteria set out in annex I to decision [CP-9/13](#);

(d) Prepare a report for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice, with a view to enabling the Subsidiary Body to prepare a recommendation for consideration by the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol at its twelfth meeting.

2. In undertaking its work, the Ad Hoc Technical Expert Group on Risk Assessment shall consider the synthesis of views from the submissions and discussions in the Open-ended Online Forum on Risk Assessment and Risk Management prepared by the Secretariat.

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<sup>8</sup> Decision VIII/10, annex III.

<sup>9</sup> United Nations, *Treaty Series*, vol. 2226, No. 30619.